

# Status of Sterile Neutrinos

**Sanjib Kumar Agarwalla**

[sanjib@iopb.res.in](mailto:sanjib@iopb.res.in)

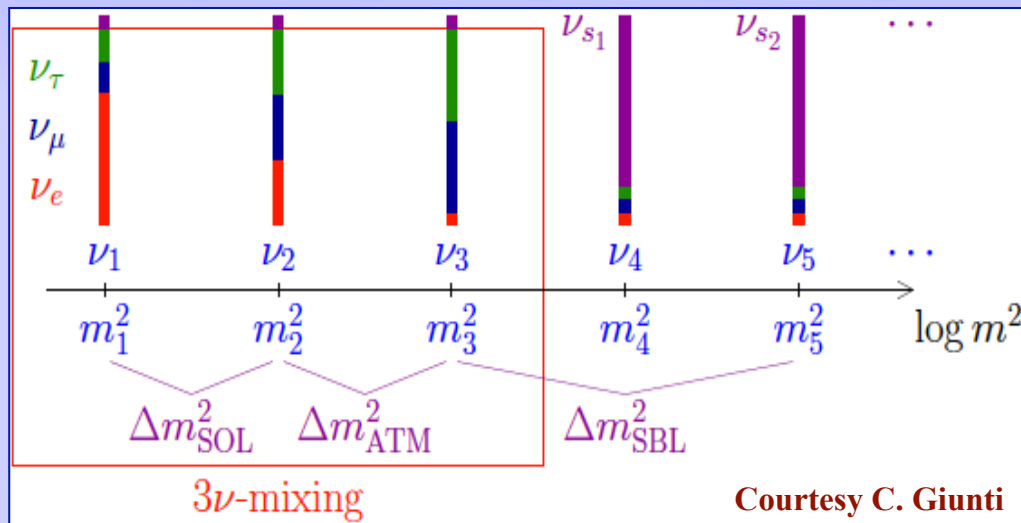
**Institute Of Physics, Bhubaneswar, India**



# Short Baseline Oscillation & Sterile Neutrinos

Recent Results from short baseline neutrino experiments hint towards high  $\Delta m^2 \approx 0.1 - 10 \text{ eV}^2$  oscillation

Require additional neutrinos with masses at eV scale



- $\nu_s$  : Sterile States (no weak interactions)
- Can feel gravity
- Can affect oscillations through mixing
- Well postulated in see-saw models

Introduce  $\nu_R$  in the SM:  $\text{Dirac mass } m_D \overline{\nu_R} \nu_L + \text{Majorana mass } m_M \overline{\nu_R^c} \nu_R$

6 massive Majorana neutrinos :  $(\nu_{eL}, \nu_{\mu L}, \nu_{\tau L}) + (\nu_{eR}, \nu_{\mu R}, \nu_{\tau R})$

Light anti- $\nu_R =$  Light left-handed  $\nu_s$   $\nu_R^c \rightarrow \nu_{sL}$

## *Definition of Short Baseline*

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Short-baseline means :  $L/E \sim 1$  (m/MeV or km/GeV)

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It covers a wide range of experiments

- Radioactive  $\nu_e/\bar{\nu}_e$  Source experiments  
( $L/E \sim 1$  m/1 MeV)
- Reactor  $\bar{\nu}_e$  experiments  
( $L/E \sim 5$  m/5 MeV)
- Accelerator produced  $\nu$  experiments  
( $L/E \sim 1$  km/1 GeV)
- Atmospheric Neutrinos in IceCube  
( $L/E \sim 1000$  km/1 TeV)

# Short Baseline Experiments

## $\bar{\nu}_e$ disappearance search (reactor experiments)

- Spectral data: Bugey-3 (at 15, 40 & 95 m)
- Rate only: Bugey-4 (at 15 m), ROVNO, Gösgen, Krasnoyarsk, ILL
- Chooz and Palo Verde at  $L \approx 1$  km

## $\nu_e$ disappearance search

- KARMEN & LSND  $\nu_e$ - carbon cross section estimates
- GALLEX & SAGE radioactive source calibration experiments

## Appearance searches ( $\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )

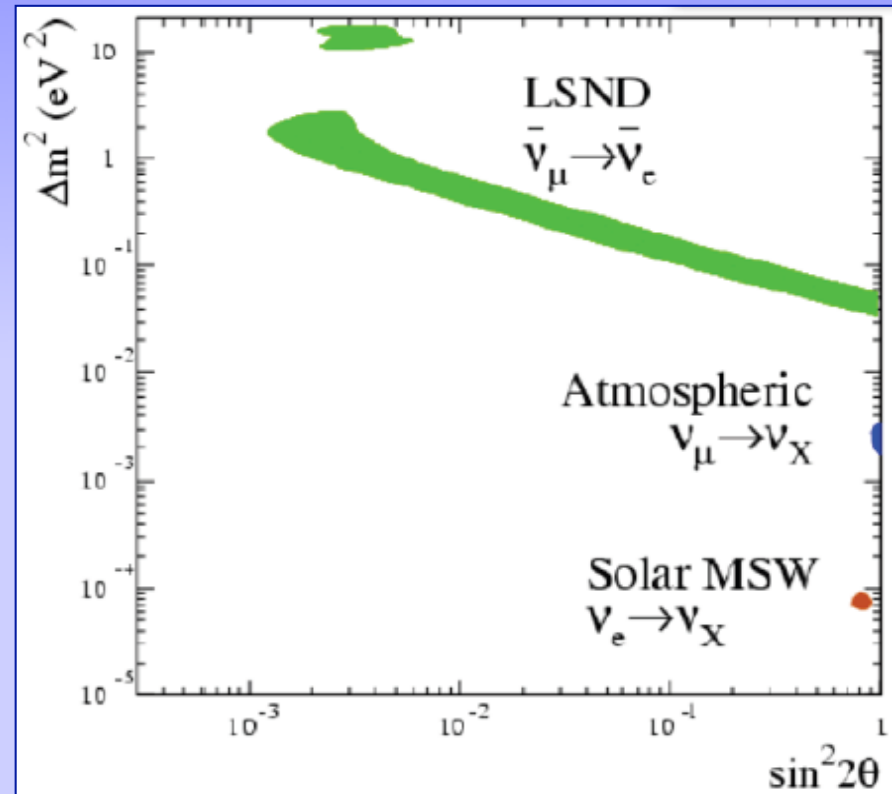
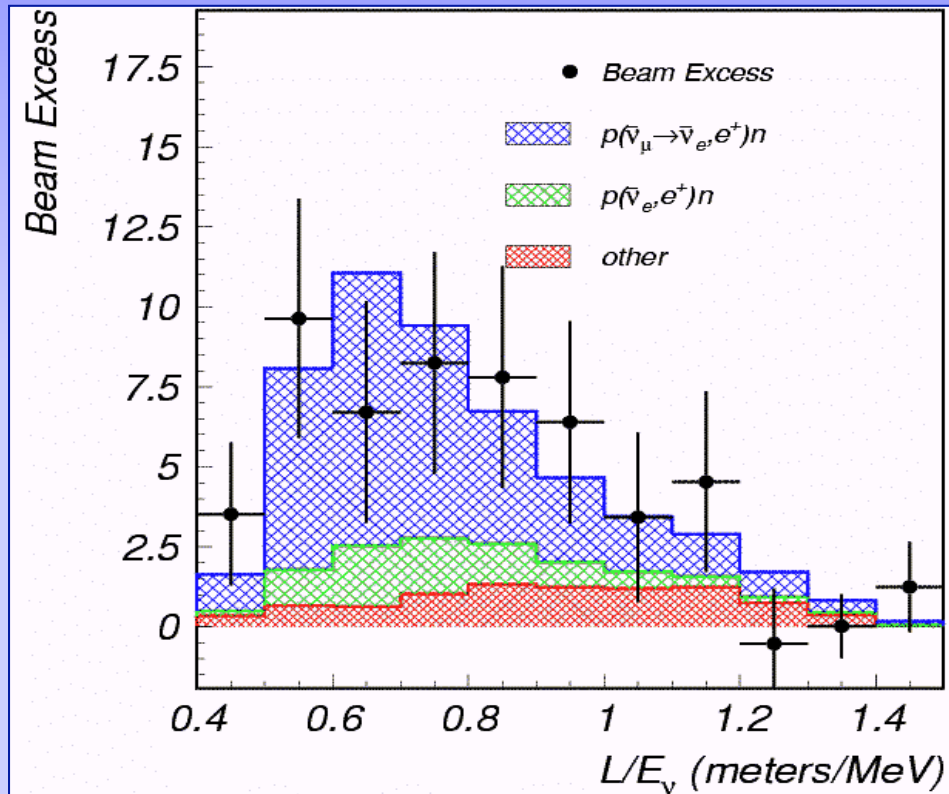
- LSND, MiniBooNE, KARMEN, NOMAD

## $\nu_\mu$ disappearance limits

- CCFR, CDHS, MiniBooNE, Atmospheric neutrinos
- Neutral current measurement of MINOS

# LSND Result

LSND :  $L = 30$  m,  $\langle E_{\nu_\mu} \rangle = 40$  MeV



Saw an excess of  $87.9 \pm 22.4 \pm 6.0$  events

$3.8 \sigma$  excess of  $\bar{\nu}_e$  events in a beam of  $\bar{\nu}_\mu$

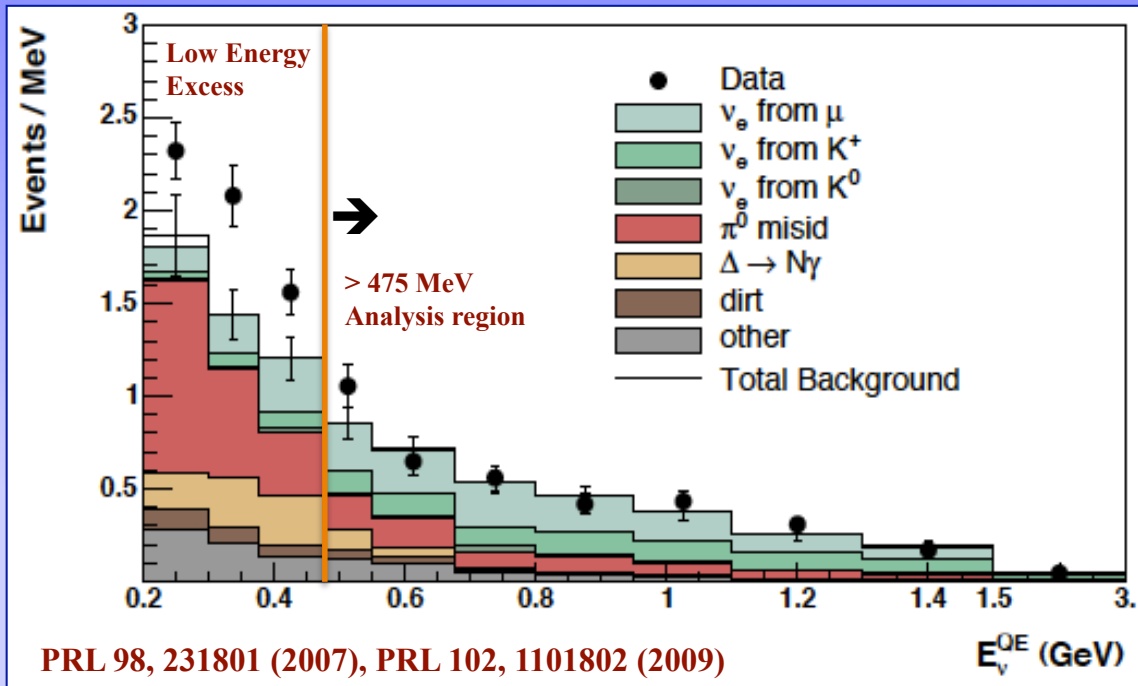
PRD 64, 112007 (2001)

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = (0.264 \pm 0.067 \pm 0.045)\%$$

$\Delta m^2 \sim 0.1 - 10$  eV<sup>2</sup>, small mixing  
Large ( $\sin^2 2\theta, \Delta m^2$ ) degeneracy

HARP @ CERN can test LSND  $\bar{\nu}_e$  background estimate

# MiniBooNE Neutrino Results



MiniBooNE :  $L = 541 \text{ m}$ ,  $\langle E_{\nu_{\mu}, \nu_{\mu}} \rangle = 700 \text{ MeV}$

Aim to establish/refute the LSND claim:  
Similar L/E as LSND

$6.5 \times 10^{20}$  POT in neutrino mode

## $E > 475 \text{ MeV}$

- *Data matches quite well with background prediction*
- *Ruled out simple  $2\nu$  oscillations as LSND explanation at 90% C.L.*

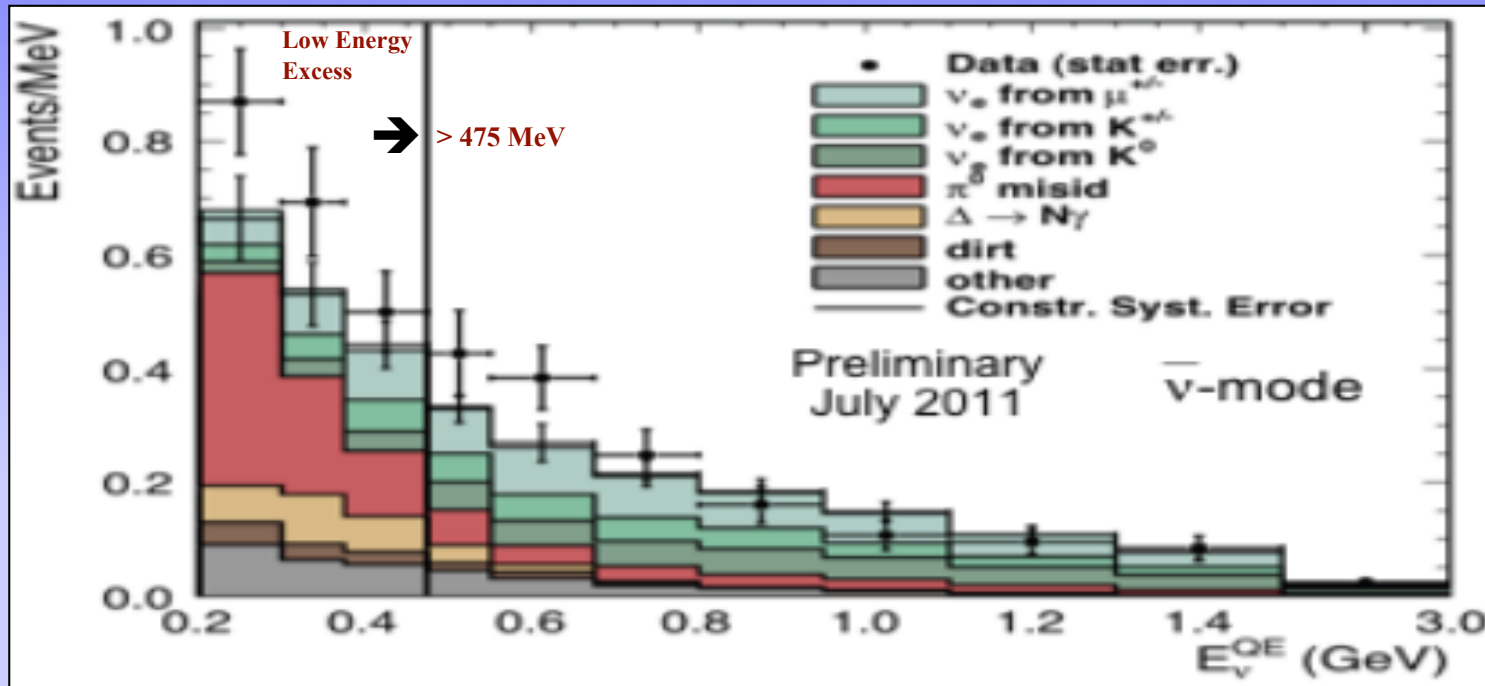
## $E < 475 \text{ MeV}$

- *Excess of  $e^-/\gamma$ -like events:  $128.8 \pm 20.4 \pm 38.3$  ( $3\sigma$ )*
- *Shape not consistent with simple  $2\nu$  oscillations*
- *Magnitude consistent with LSND*

**Low-Energy  
Anomaly!**

**Who ordered  
this?**

# MiniBooNE Anti-neutrino (2009-2010)



M. Shaevitz, LowNu2011, Seol, Korea

Excess events:  $38.6 \pm 18.5$  (200-475 MeV),  $16.3 \pm 19.4$  (475-1250 MeV)

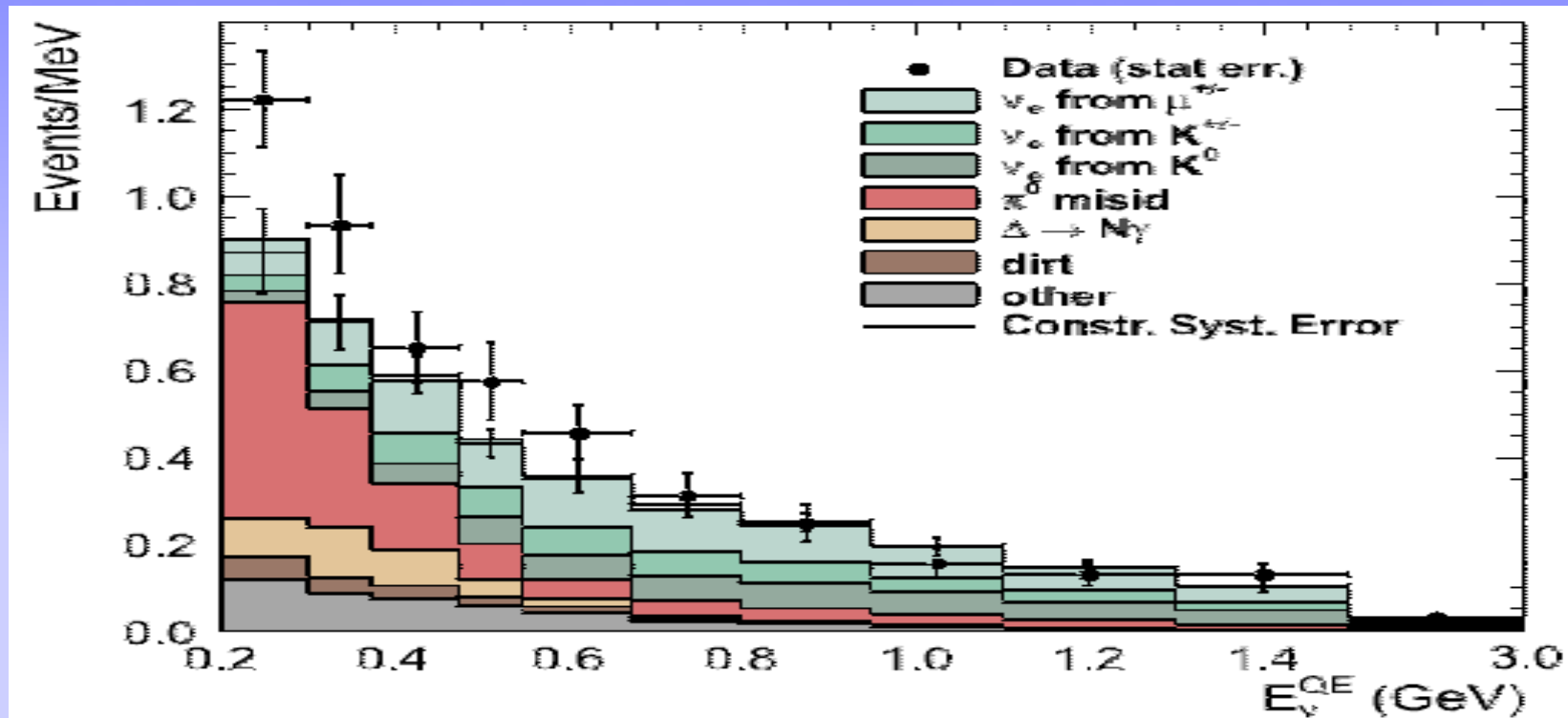
**$E > 475$  MeV (200 MeV)**

*Excess consistent with a LSND-like  $2\nu$  oscillation over background only (null) hypothesis at 91.1% C.L. (97.6% C.L.) [hard to interpret as pure oscillation]*

**$E < 475$  MeV**

*Excess of  $e^+/\gamma$ -like events:  $38.6 \pm 18.5$  [ $\nu$  &  $\bar{\nu}$  results were looking more similar]*

# MiniBooNE Anti-neutrino (Neutrino 2012)

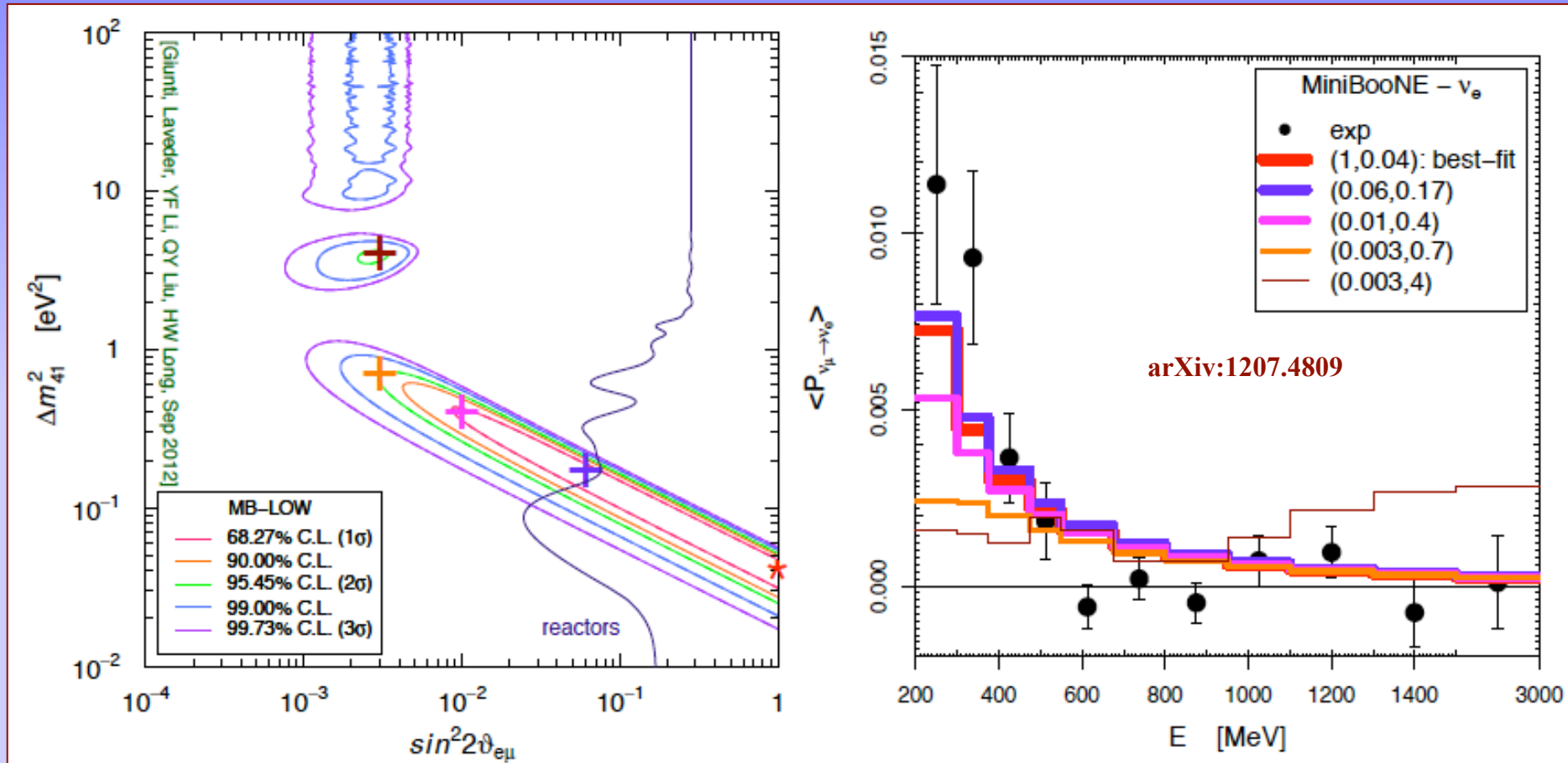


		1st half			2nd half		
	data	mc	excess	data	mc	excess	
200-475	119	100.5±14.3	18.5 (1.3s)	138	100.0±14.1	38 (2.7s)	
475-1250	120	99.1±14.0	20.9 (1.5s)	101	103.1±14.4	-2.2 (-0.2s)	

Agreement with LSND is no more there!



# MiniBooNE Neutrino & Anti-neutrino

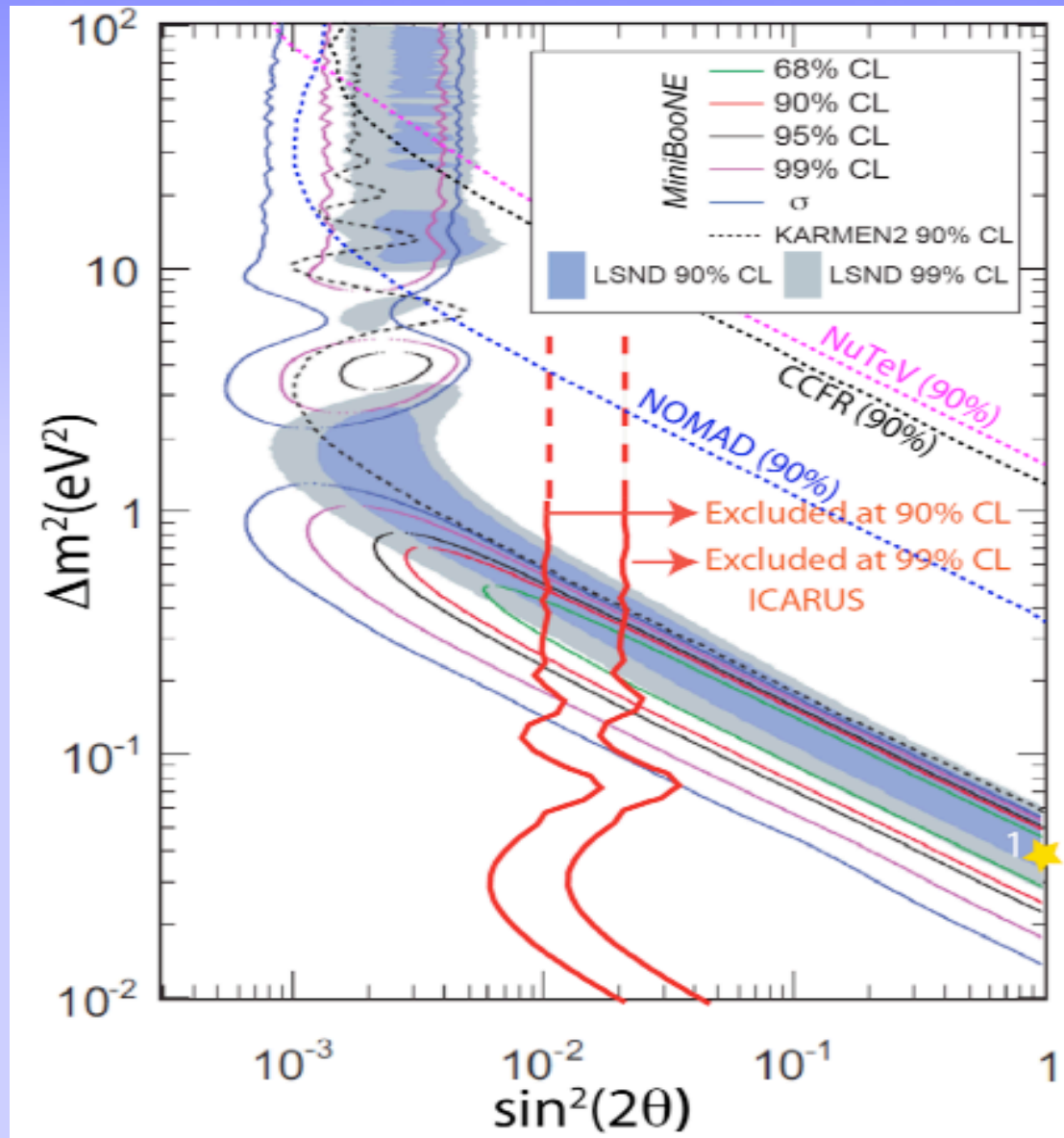


Fit of low-energy excess is marginal and it requires a mass splitting of  $\Delta m_{41}^2 \lesssim 0.4 \text{eV}^2$

Nice idea! There might be some problem in Neutrino energy reconstruction

Martini, Ericson, Chanfray, arXiv:1202.4745

# New Results from ICARUS



Electron neutrino appearance

Baseline = 730 km (CNGS)

Energy range: 10 to 30 GeV

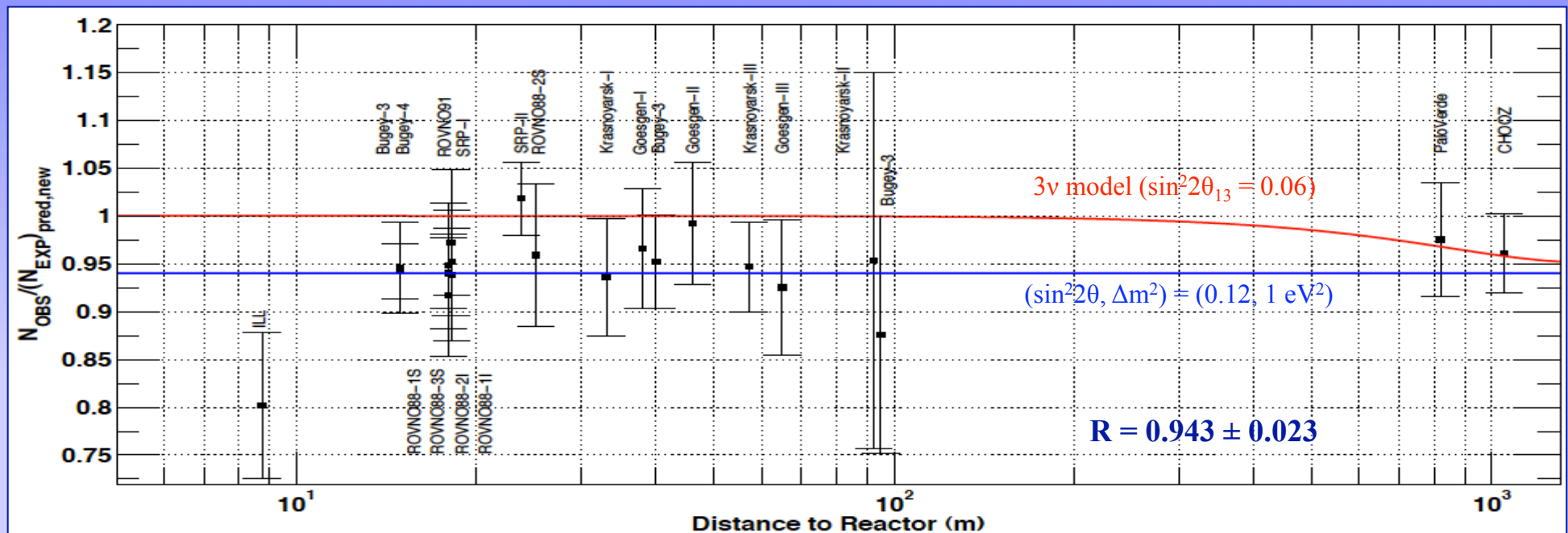
$$3 \times 10^{-3} < \frac{E}{L} < 9 \times 10^{-3} \text{ eV}^2$$

2 observed electron  
neutrino events!

3.7 background electron  
neutrino events!

arXiv:1209.0122

# Reactor Anti-neutrino Anomaly



Mention et al., arXiv:1101.2755 [hep-ex]

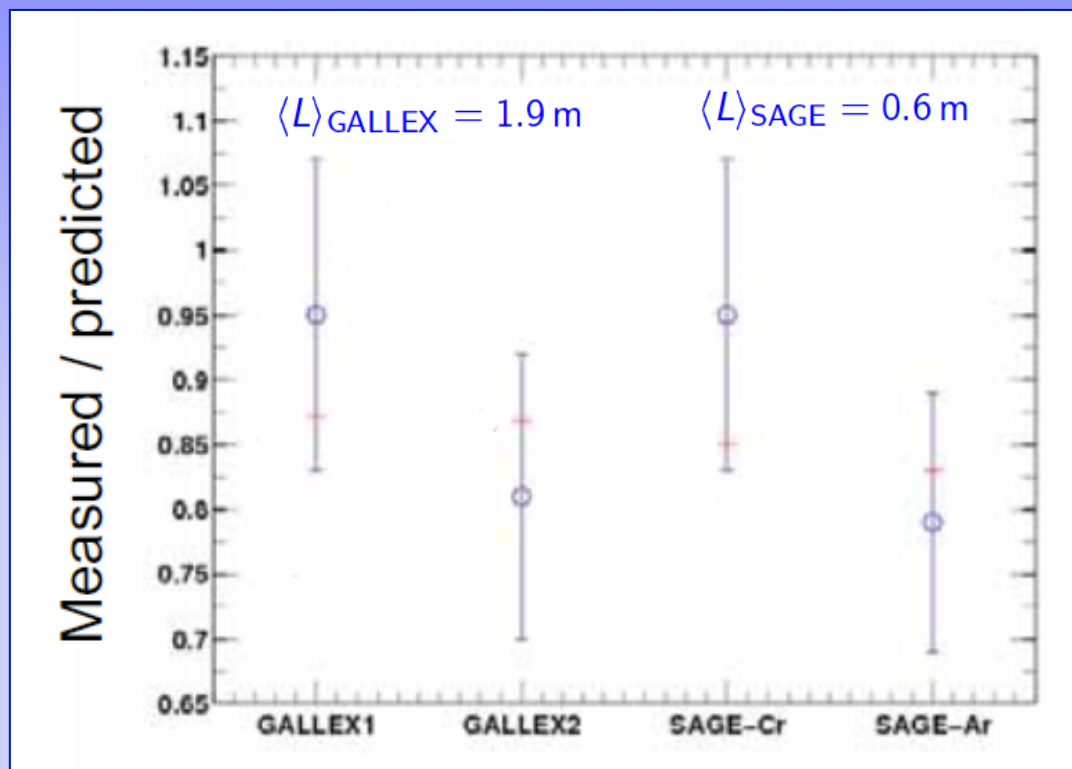
Recent reanalysis of reactor fluxes shows  $\sim 3.5\%$  upward shift in flux

Mueller et al., arXiv:1101.2663, confirmed by P. Huber, arXiv:1106.0687

Overall reduction in predicted flux compared to existing data can be interpreted as  $\bar{\nu}_e$  disappearance with  $\Delta m^2 \sim 1 \text{ eV}^2$  and  $L = 10 - 100 \text{ m}$

**Does source and detector size wash out oscillations?**

# Gallium Neutrino Anomaly

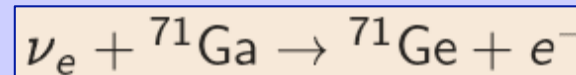


*Calibration measurements for the GALLEX & SAGE solar neutrino detectors using intense radioactive  $\nu_e$  fluxes from  $^{51}\text{Cr}$  &  $^{37}\text{Ar}$*

$^{51}\text{Cr}$  : 747 KeV (82%)

$^{37}\text{Ar}$ : 811 KeV (90%)

**Detection process:**



**Measurements consistently lower than expectation**

**Suggests possible  $\nu_e$  disappearance at  $2.7\sigma$  due to active – sterile oscillation**

Giunti and Laveder, arXiv:1006.3244

**How well do we know the efficiencies of the radiochemical detection processes?**

# *Severe constraints for short baseline oscillations*

- ✧ Limit on  $\nu_e$  disappearance from LSND & KARMEN using  $\nu_e$  - C scattering data

Conrad & Shaevitz, arXiv:1106.5552 ; Giunti & Laveder, arXiv:1111.1069

- ✧ Strong limit on  $\nu_\mu$  disappearance from CDHS & CCFR experiments

CDHS: PLB 134 (1984) 281 ; CCFR: PRD 59 (1999) 031101

- ✧ New SciBooNE/MiniBooNE  $\nu_\mu$  disappearance limit even stronger than earlier

K.B.M. Mahn et al., arXiv:1106.5685

- ✧ Less stringent limits for  $\bar{\nu}_\mu$  disappearance from MiniBooNE

A.A. Aguilar-Arevalo et al., PRL 103, 061802 (2009)

- ✧ No hint of steriles in atmospheric & solar  $\nu$  data in the required parameter range

Maltoni & Schwetz, arXiv:0705.0107

- ✧ MINOS near and far detector NC data set limits on  $\nu_\mu$  disappearance

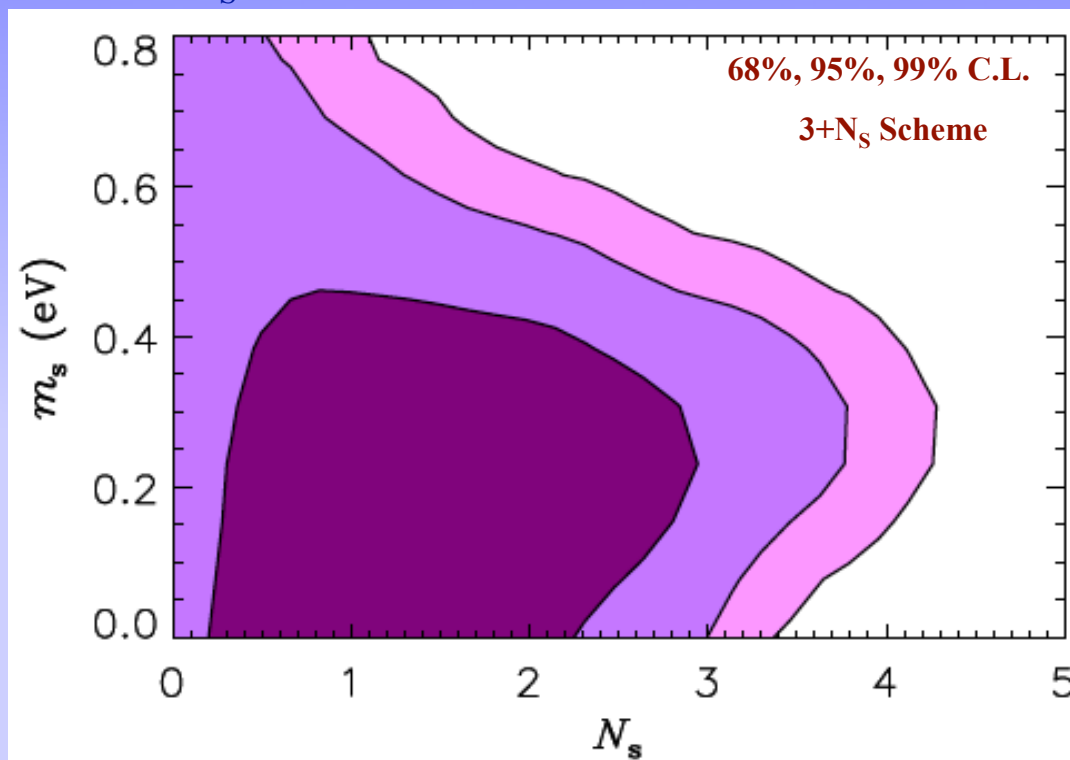
P. Adamson et al., PRL 107, 011802 (2011) ; Giunti & Laveder, arXiv: 1109.4033

- ✧ KARMEN limits  $\bar{\nu}_e$  appearance, NOMAD limits  $\nu_e$  appearance

KARMEN: PRD 65, 112002 (2002) ; NOMAD: PLB 570, 19 (2003)

# Cosmological Constraints

$N_s$  = # of thermalized sterile  $\nu$  states



Hamann et al., arXiv:1006.5276

CMB & LSS in  $\Lambda$ CDM model:  $N_s = 1.3 \pm 0.9$  with  $m_s < 0.66$  eV @ 95% C.L.

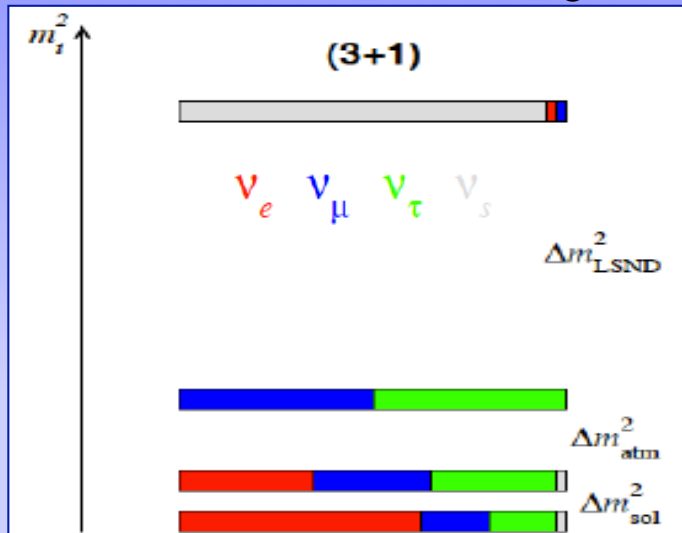
CMB+LSS+BBN:  $N_s = 0.85^{+0.39}_{-0.56}$  (95% C.L.)

Hamann et al., arXiv:1108.4136

**! New CMB data from Planck spacecraft will shed more light on this issue !**

# 3+1 short baseline oscillations

Perturbation of 3ν mixing



$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

↑  
SBL

Add one sterile  $\nu$  with three active ones at the eV scale

SBL approximation :  $\Delta m_{21}^2 \approx \Delta m_{31}^2 \approx 0$  (2-flavor case)

Appearance

$$P_{\mu e} = \sin^2 2\theta_{\text{app}} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\text{app}} = 4|U_{e4}|^2|U_{\mu 4}|^2$$

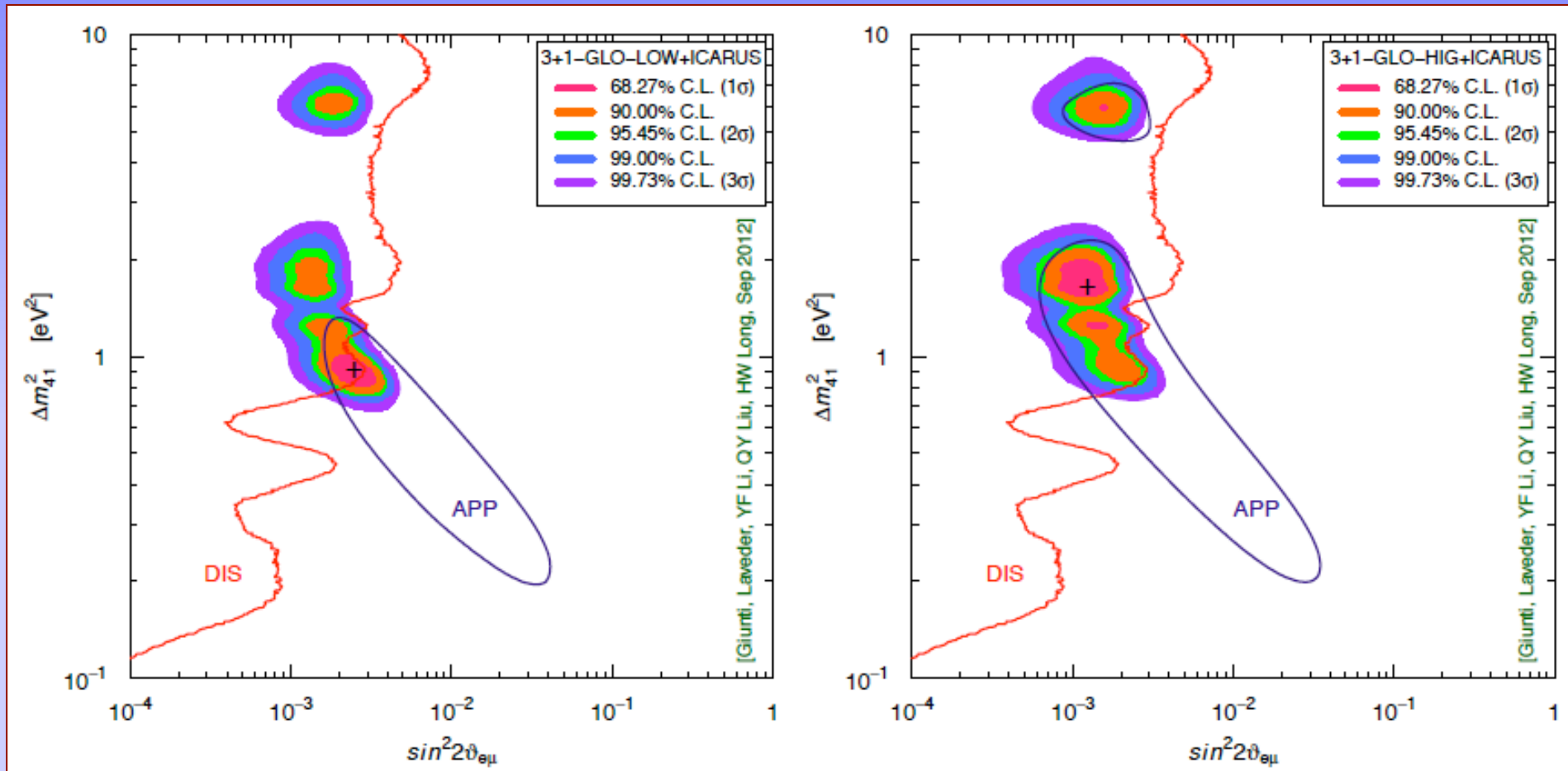
Disappearance

$$P_{\alpha\alpha} = 1 - \sin^2 2\theta_{\text{dis}} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\text{dis}} = 4|U_{\alpha 4}|^2(1 - |U_{\alpha 4}|^2)$$

Constrain  $U_{e4}$  ( $U_{\mu 4}$ ) from  $\nu_e$  ( $\nu_\mu$ ) disappearance experiments which put bound on appearance amplitude  $|U_{e4} U_{\mu 4}|$



# 3+1 Global Fit



Carlo Giunti, NOW2012

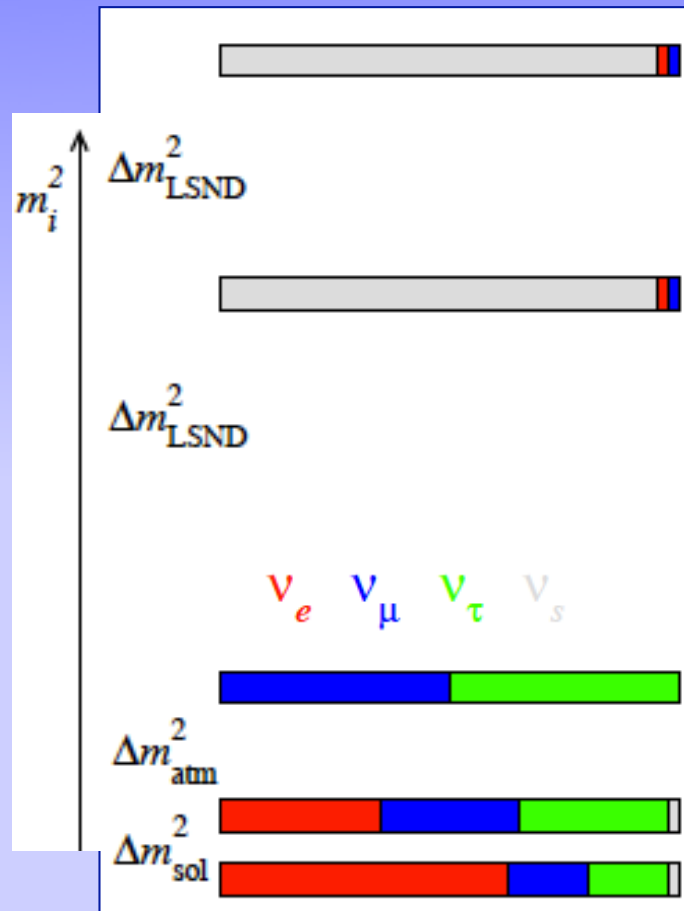
No Osc. GoF = 0.021%  
 3+1 GoF = 9.9%  
 PGoF = 0.01%

No Osc. GoF = 0.87%  
 3+1 GoF = 32%  
 PGoF = 0.7%

**Appearance & disappearance data are marginally compatible!**



## 3+2 short baseline oscillations



Add 2 sterile neutrinos with 3 active ones at the eV scale

SBL approximation :  $\Delta m_{21}^2 \approx \Delta m_{31}^2 \approx 0$  and  $x_{ij} \equiv \Delta m_{ij}^2 L/4E$

### Appearance

$$P_{\mu e} = 4|U_{e4}|^2|U_{\mu4}|^2 \sin^2 x_{41} + 4|U_{e5}|^2|U_{\mu5}|^2 \sin^2 x_{51} + 8|U_{e4}U_{\mu4}U_{e5}U_{\mu5}| \sin x_{41} \sin x_{51} \cos(x_{54} - \delta)$$

$\delta \equiv \arg(U_{e4}^* U_{\mu4} U_{e5} U_{\mu5}^*)$  is the  $CP$ -phase

### Disappearance

$$P_{\alpha\alpha} = 1 - 4(1 - |U_{\alpha4}|^2 - |U_{\alpha5}|^2)(|U_{\alpha4}|^2 \sin^2 x_{41} + |U_{\alpha5}|^2 \sin^2 x_{51}) - 4|U_{\alpha4}|^2|U_{\alpha5}|^2 \sin^2 x_{54}$$

CPV ( $\delta$ ): **Can accommodate the possible mismatch between neutrino & anti-neutrino data!**

Constrain  $|U_{ei}|$  &  $|U_{\mu i}|$  ( $i=4,5$ ) from disappearance experiments which put bound on appearance amplitude  $|U_{ei} U_{\mu i}|$

## *Do we need 3+2 scenario anymore?*

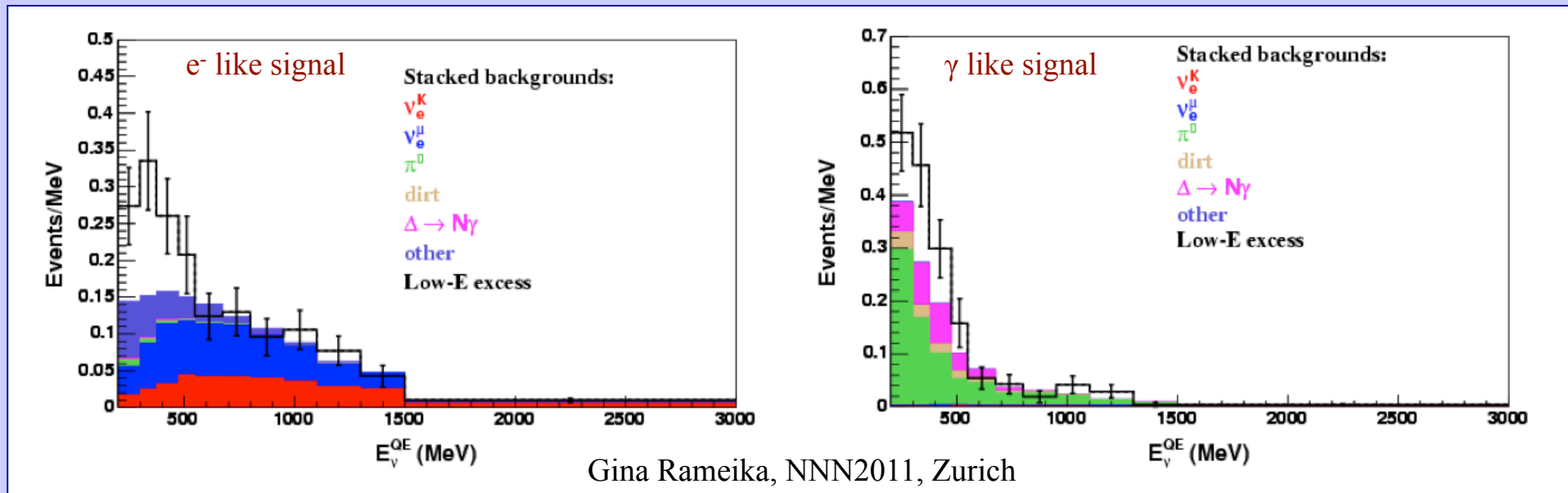
- ⊙ 3+2 is preferred over 3+1 only if there is CP-violating difference in neutrino and anti-neutrino appearance experiments
- ⊙ 2010 MiniBooNE anti-neutrino data indicated **neutrino-anti-neutrino** difference
- ⊙ Then it was reasonable and useful to consider 3+2
- ⊙ Neutrino-Anti-neutrino difference almost vanished with **new 2012 MiniBooNE** anti-neutrino data
- ⊙ Also, there are severe constraints from cosmology for two extra sterile neutrinos, specially in  $\Lambda$ CDM model

## *What do we need? Any Future Plans?*

- Both positive & negative hints for sterile high  $\Delta m^2$  oscillation  
**!! Nothing is conclusive !!**
- Need new **high precision** short baseline experiments to perform **appearance** and **disappearance** searches at high significance involving both **neutrinos** and **anti-neutrinos**
- There is a diverse set of SBL experiments, spanning a wide range in **L and E**, have been proposed to validate/refute the 3+N models and to resolve the present anomalies at high significance

# MicroBooNE at FNAL (Approved)

- *LArTPC (70 tons fiducial volume), located at 470 m in the Booster Neutrino Beamline*
- *2 times better PID efficiency than MiniBooNE, only 3% mis-ID (Online late 2013)*
- *Unique  $e^-/\gamma$  discrimination: photons give twice the ionization at conversion point*
- *Can predict if low-E excess in MiniBooNE ( $\nu$ ) due to single electron or photon events*



36.8 excess events, 41.6 background  
 $5.7\sigma$  stat. significance for  $E < 475\text{MeV}$

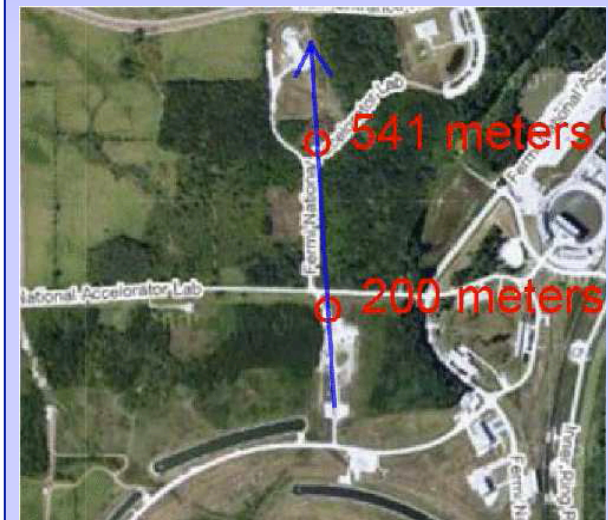
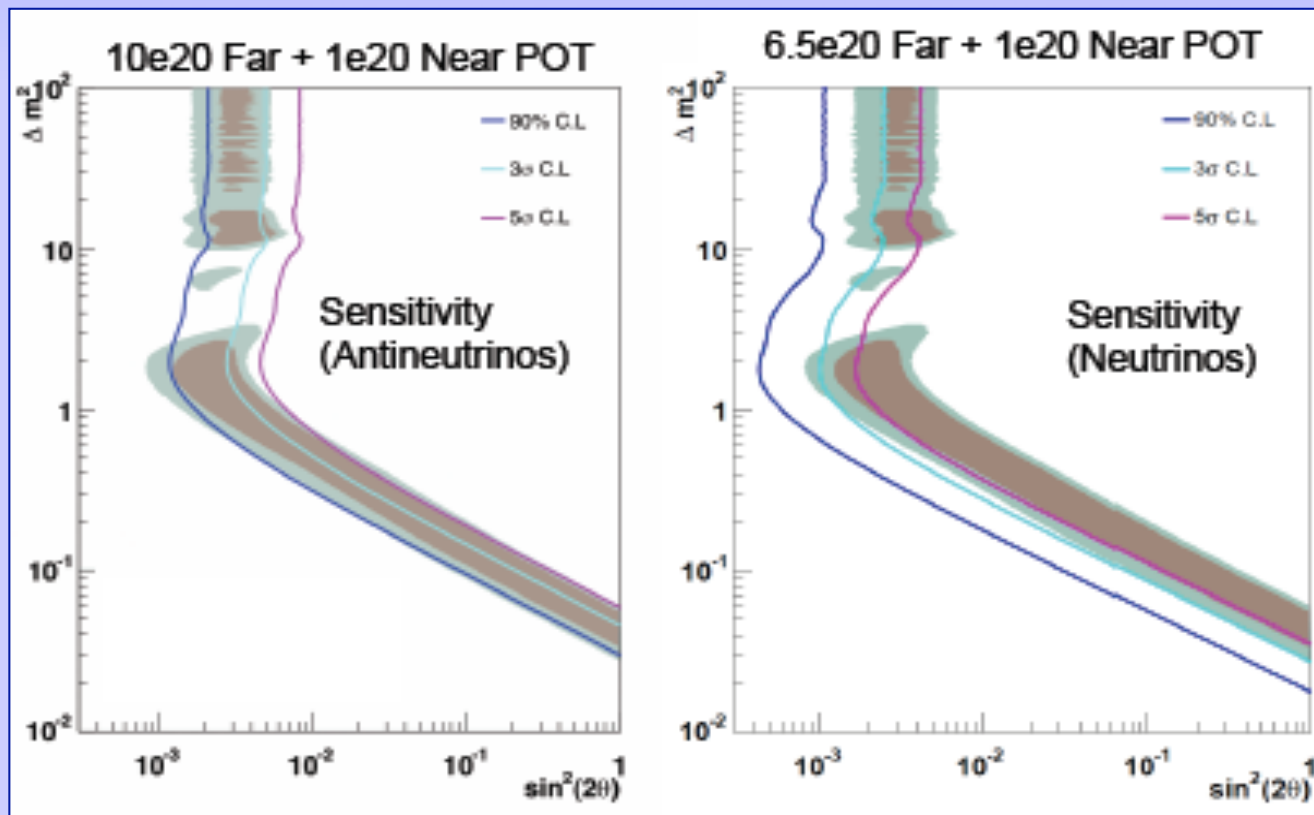
$6 \times 10^{20}$  POT

36.8 excess events, 78.9 background  
 $4.1\sigma$  stat. significance for  $E < 475\text{ MeV}$

# *BooNE (a near detector for MiniBooNE)*

- *Build a new MiniBooNE like detector at 200 m (near detector for MiniBooNE)*
- *Flux, cross-section and optical model errors cancel in 200 m/500 m ratio analysis*

*Gain statistics rapidly, already have far detector data*

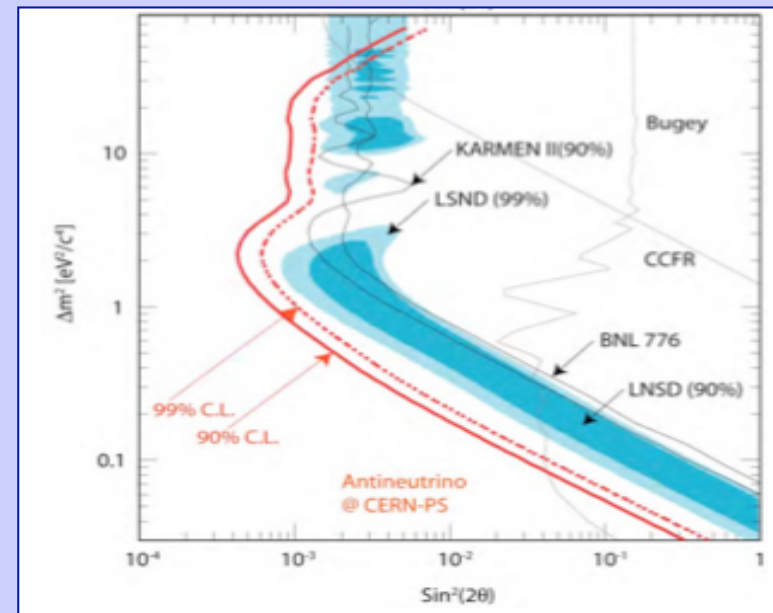
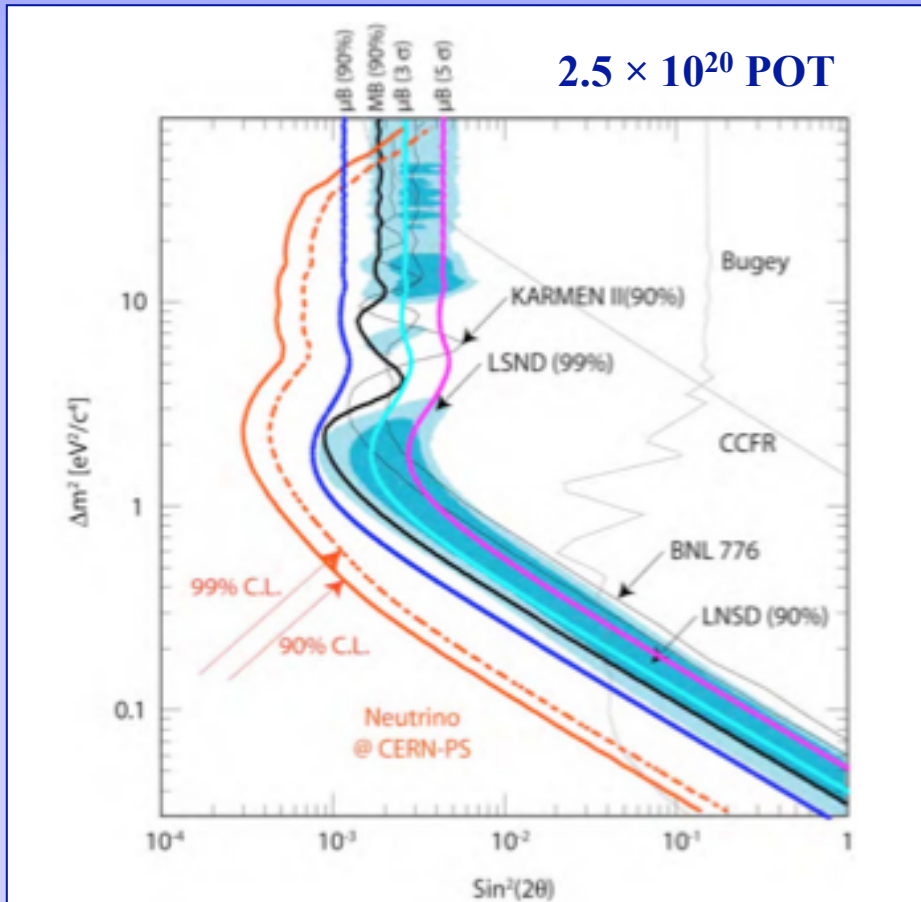
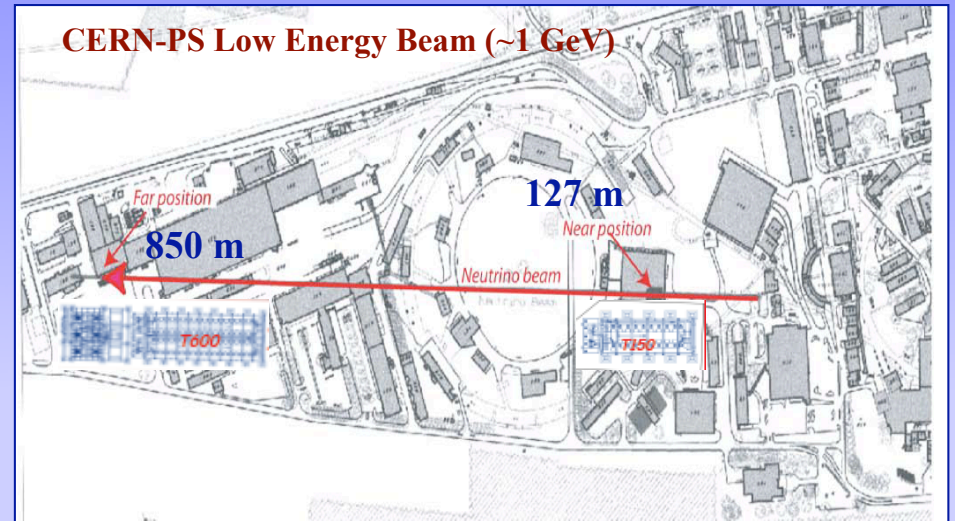


**LOI arXiv:0910.2698**



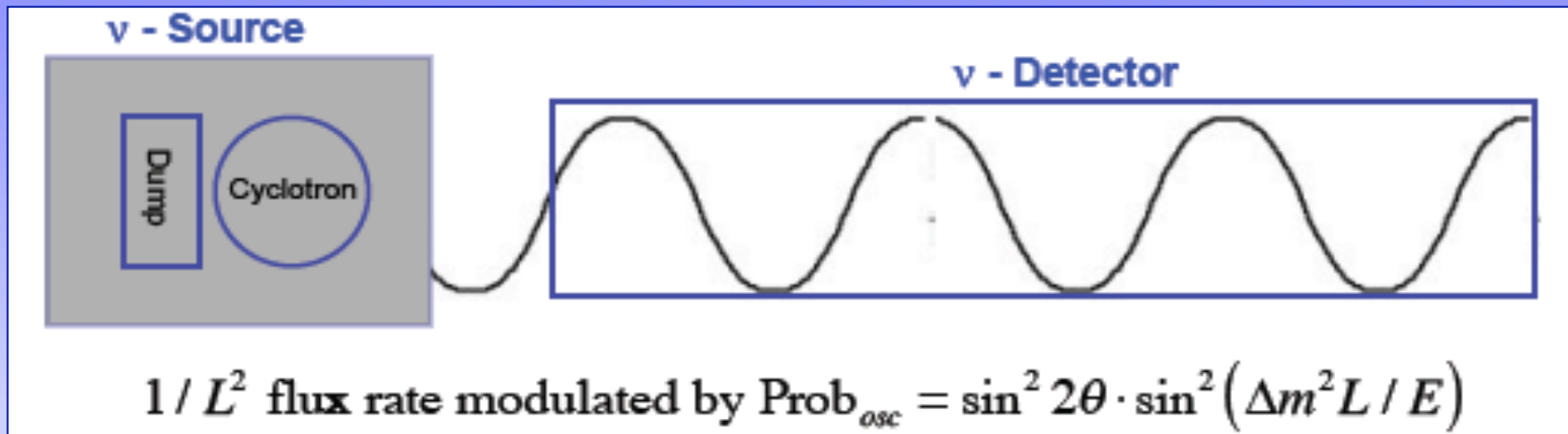
# CERN Low Energy Two Detector Experiment

600 tons ICARUS at 850 m and 150 tons LAr at 127 m in the CERN-PS beam line



LOI arXiv:0909.0355

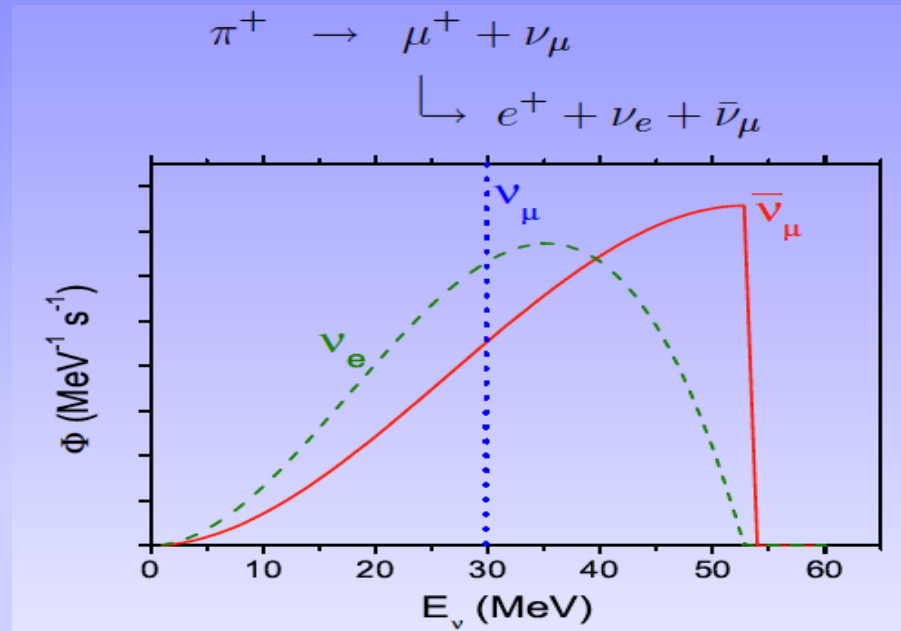
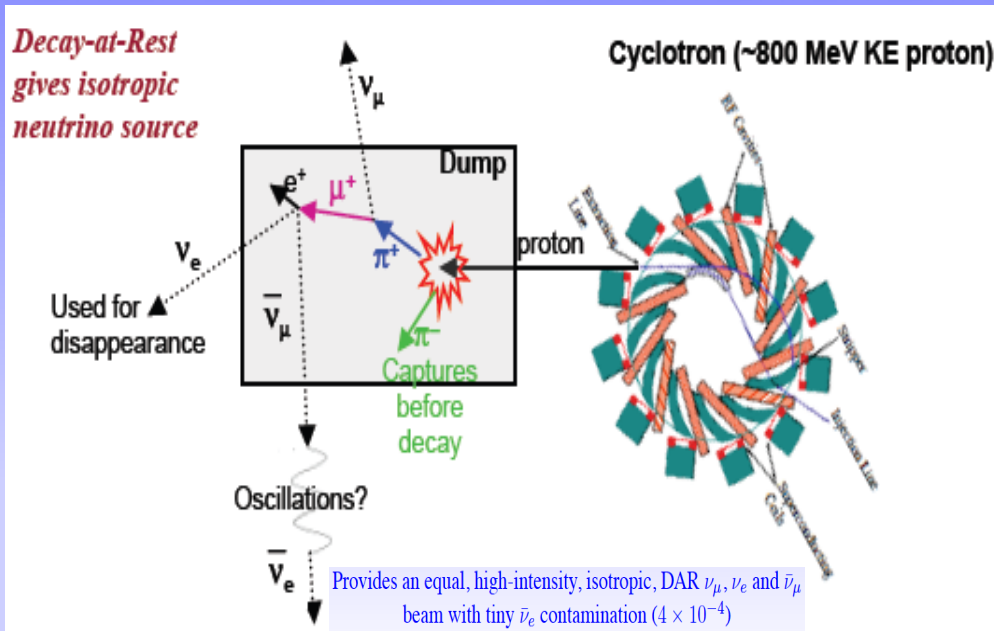
# Very Short Baseline Oscillation Experiment



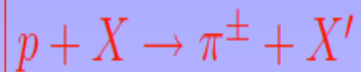
## Neutrino Sources

- Decay-at-rest beam from proton beam dump
  - Small core reactor source
  - Very high activity radioactive source
- 
- Observe the  $L/E$  dependence of the event rates within a long  $\nu$  detector
  - Background distribution is either independent of  $L$  or goes like  $1/L^2$
  - Powerful verification of the short baseline oscillation/new physics

# Decay-At-Rest (or Beam Dump) Neutrino Source

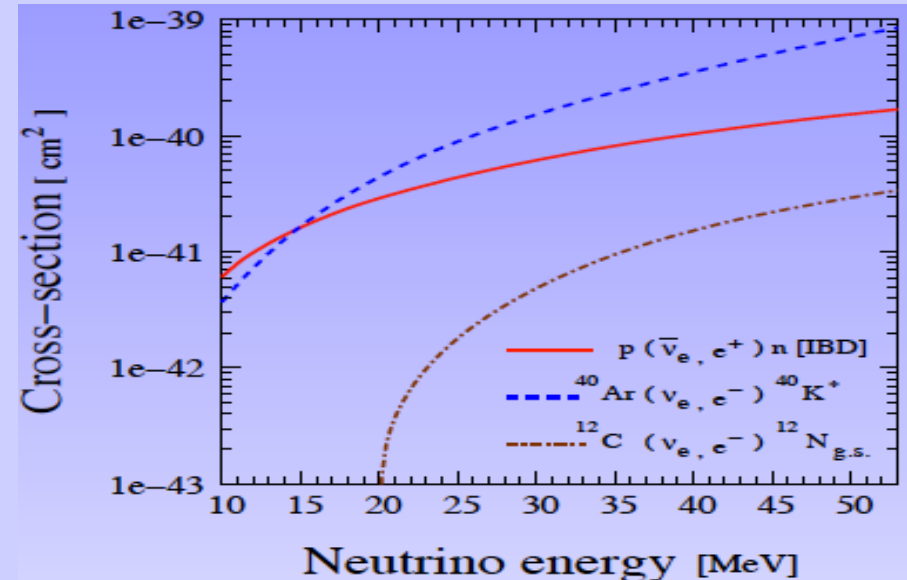


800 MeV protons from cyclotrons interact in a low-A target (C, H<sub>2</sub>O) producing  $\pi^+$  and, at a low level,  $\pi^-$



Low-A target is embedded in a high-A, dense material where pions are brought to rest

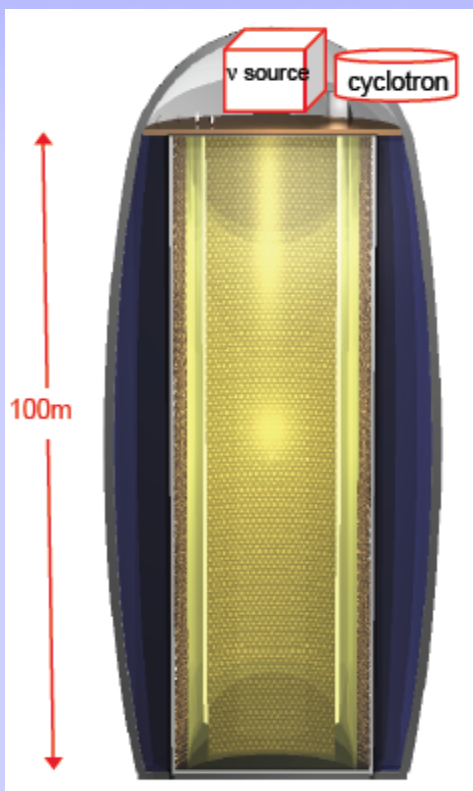
$\pi^-$  & daughter  $\mu^-$  captured before DIF, minimizing  $\bar{\nu}_e$



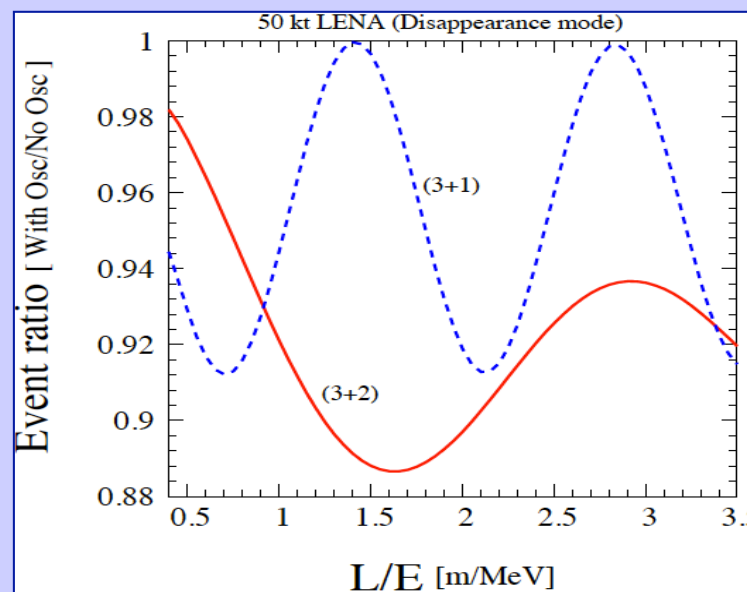
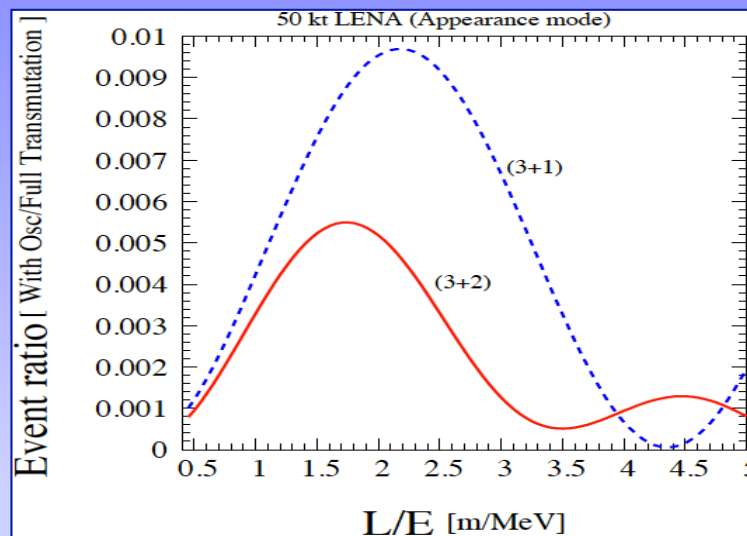


# Short Baseline Neutrino Oscillation Waves

- LENA Scintillation Detector
- 50 kt fiducial mass
- Source-to-detector face = 20 m
- Deep location (4000 mwe)
- Negligible cosmic muon background



Similar study with NOvA & Gd doped Super-Kamiokande



Distinguish between (3+1) & (3+2) models

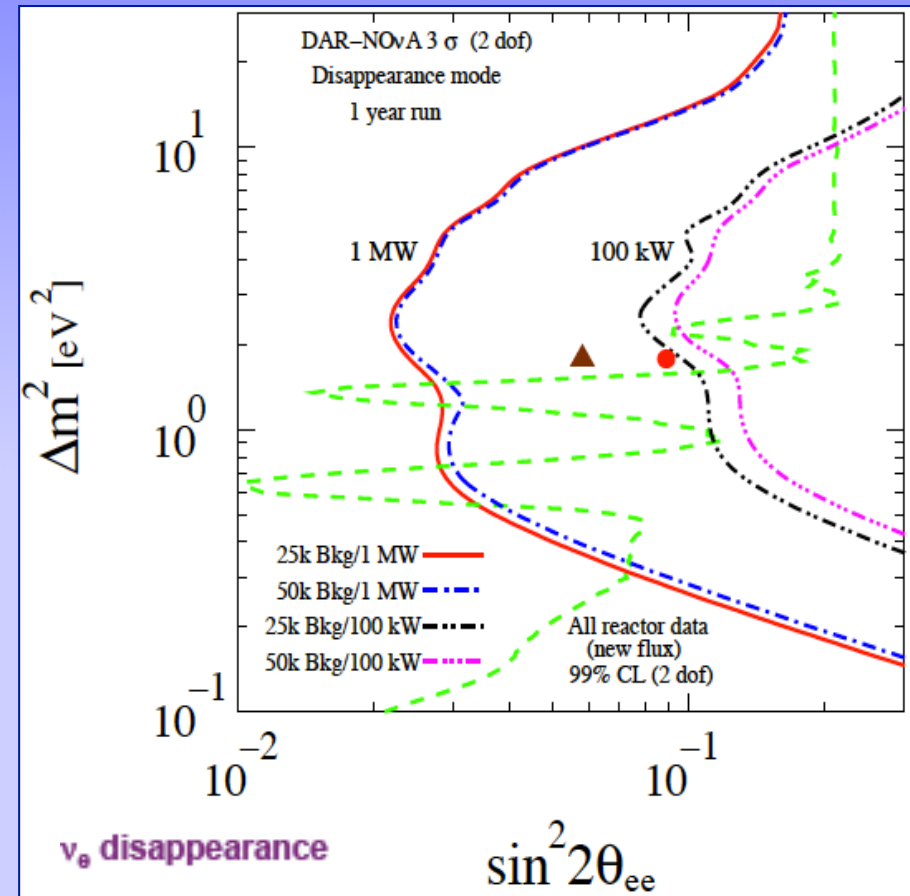
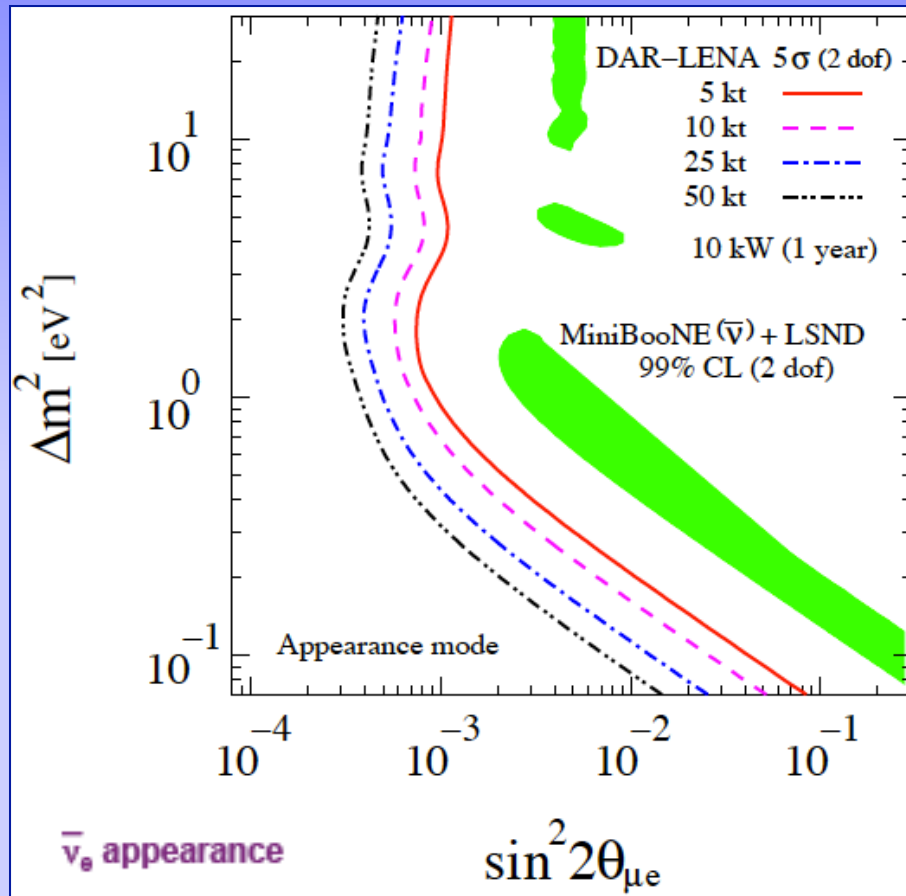
Rate + Shape measurement

Several L/E bins cancel systematic uncertainties

Agarwalla and Huber, arXiv: 1007.3228

Agarwalla, Conrad and Shaevitz, arXiv: 1105.4984

# Sensitivity Limit to Sterile Neutrino Oscillation



- LENA style detector
- Cover 'LSND' at  $5\sigma$  with 5 kt LENA & 10 kW cyclotron in 1 year

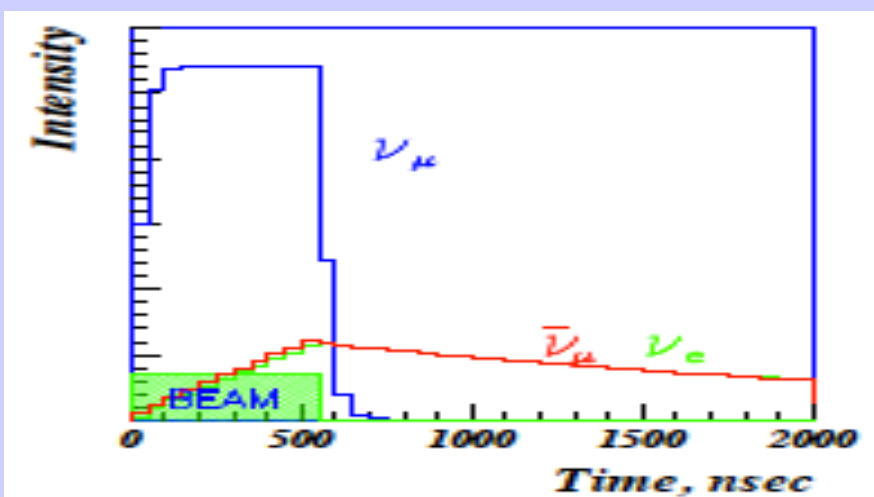
- NOvA
- Cover 'Reactor Anomaly' at  $3\sigma$  with 100 to 1000 kW in 1 year

Agarwalla, Conrad and Shaevitz, arXiv: 1105.4984

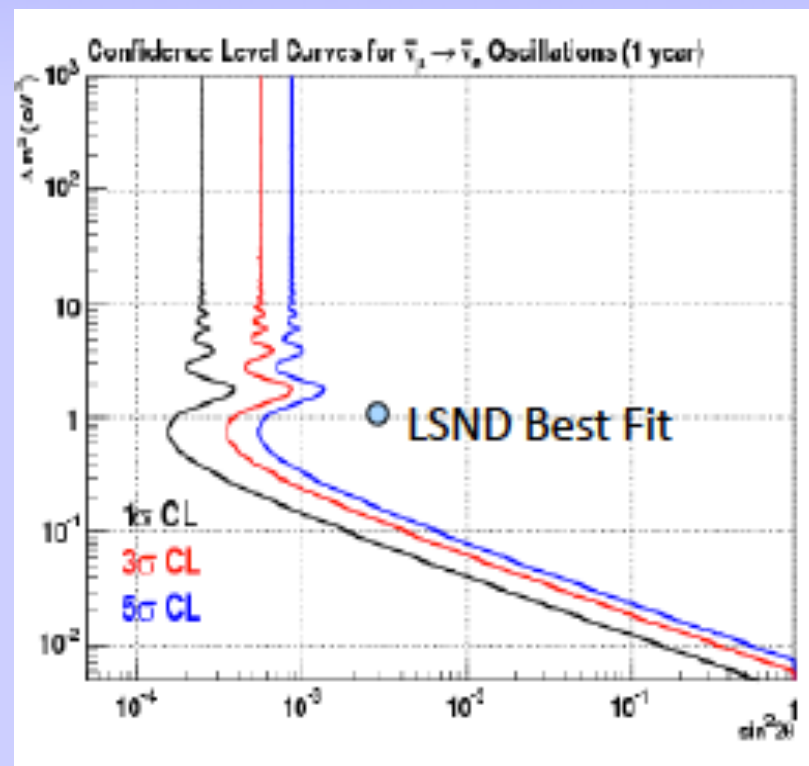
# *OscSNS proposal at ORNL@USA*



- Spallation Neutron Source @ ORNL
- 1.3 GeV protons on Hg target (1.2 MW)
- Free source of  $\nu$  (well known spectrum)
- Place 25 tons LS near detector at 18 m
- Place 500 tons LS far detector at 60 m

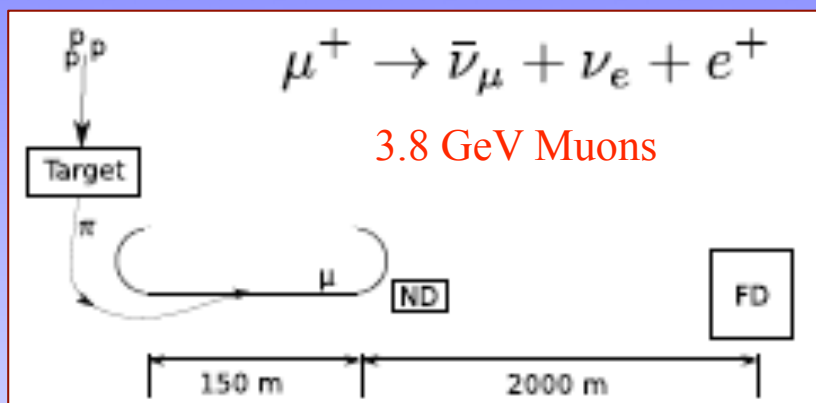


Short duty-factor, beam pulse 695 ns



OscSNS proposal, hep-ph/0501013

# *$\nu$ STROM: Neutrinos from Stored Muons*



LSND: Muon anti-neutrino  $\rightarrow$  electron anti-neutrino

$\nu$ STORM: electron neutrino  $\rightarrow$  muon neutrino

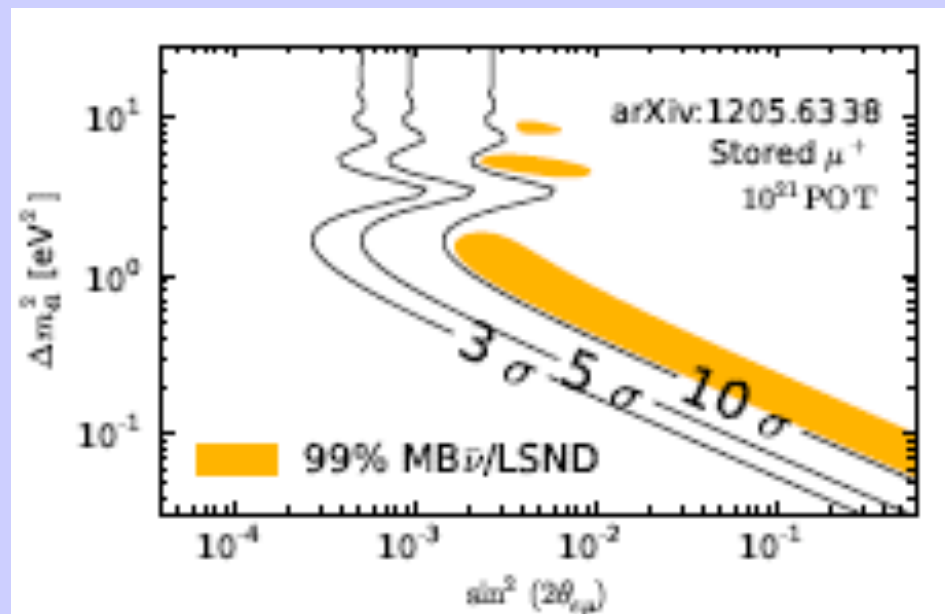
CPT(LSND) =  $\nu$ STORM

Muons are easier to detect than positrons

Flux uncertainties are less in  $\nu$ STORM

- Simplest implementation of the NF concept
  - 60 GeV protons on solid target (100 kW)
  - Horn capture and  $\pi$  transfer
  - Decay ring

- Performance assumptions
  - $10^{21}$  60 GeV/c POT
- Yields  $\approx 2 \times 10^{18}$  useful  $\nu$
- $\approx 2000$  m baseline
- 1.3 kT Minos-like detector



arXiv: 1205.6338

## *Concluding Remarks*

- ⌘ Several interesting, but inconclusive hints for sterile neutrinos
- ⌘ Global fit of world neutrino and anti-neutrino data in 3+1 scheme show considerable tension between various experiments
- ⌘ Need new powerful experiments to have a conclusive  $\geq 5\sigma$  results
- ⌘ Establishing the existence of sterile neutrinos would open a powerful window on new physics beyond the Standard Model

For More Discussions on Steriles : Take a look at !

[http://cnp.phys.vt.edu/white\\_paper/whitepaper.pdf](http://cnp.phys.vt.edu/white_paper/whitepaper.pdf)

**Thank You !**