

Exact Bosonization of Nonrelativistic Fermions and Applications in String Theory

Avinash Dhar

Tata Institute of Fundamental Research, Mumbai

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References

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$$S = \int dt \left\{ \frac{1}{2} \dot{M}^2 - V(M) \right\}$$

- In the $U(N)$ invariant sector, the matrix model is equivalent to a system of N NR fermions ^a
- Jevicki and Sakita ^b used this equivalence to develop a bosonization in the large- N limit - **collective field theory**

^aBrezin, Itzykson, Parisi and Zuber, Comm. Math. Phys.59, 35, 1978

^bNucl.Phys.B165, 511, 1980

Introduction and Motivation

- Bosonization in terms of Wigner phase space density ^a

$$u(p, q, t) = \int dx e^{-ipx} \sum_{i=1}^N \psi_i^\dagger(q - x/2, t) \psi_i(q + x/2, t)$$

- $u(p, q, t)$ satisfies two constraints:

- $\int \frac{dpdq}{2\pi} u(p, q, t) = N$

- $u * u = u$

^aDhar, Mandal and Wadia, hep-th/9204028; 9207011; 9309028

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Many more variables than are necessary

^aDhar, Mandal and Wadia, hep-th/9204028; 9207011; 9309028

Exact Bosonization

The Setup:

- each fermion can occupy a state in an infinite-dimensional Hilbert space \mathcal{H}_f
- there is a countable basis of $\mathcal{H}_f : \{|m\rangle, m = 0, 1, \dots, \infty\}$
- creation and annihilation operators ψ_m^\dagger, ψ_m create and destroy particles in the state $|m\rangle$, $\{\psi_m, \psi_n^\dagger\} = \delta_{mn}$
- total number of fermions is fixed:

$$\sum_n \psi_n^\dagger \psi_n = N$$

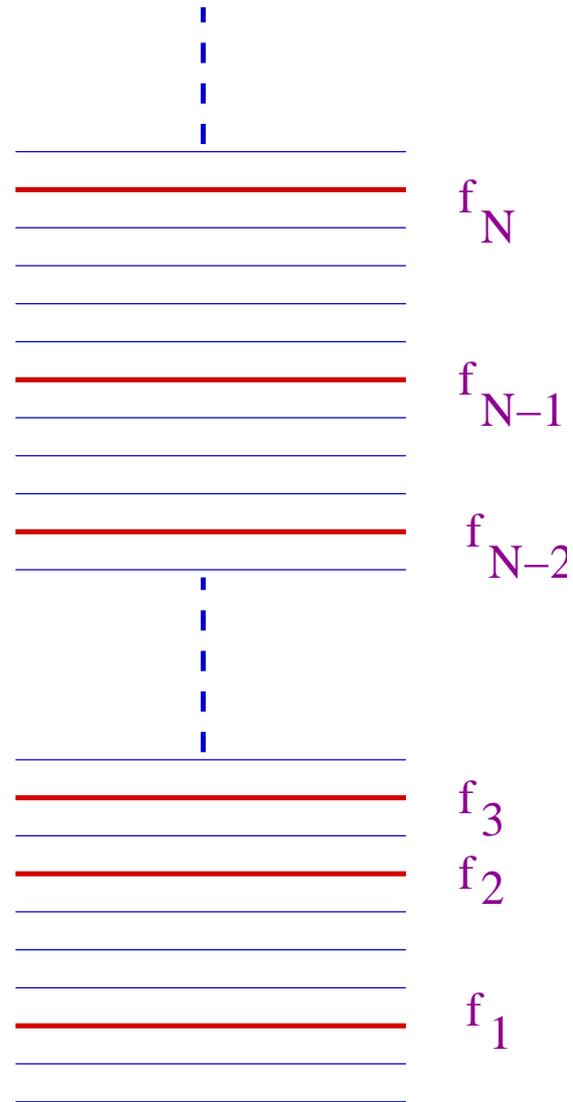
Exact Bosonization

- The N -fermion states are given by (linear combinations of)

$$|f_1, \dots, f_N\rangle = \psi_{f_N}^\dagger \cdots \psi_{f_2}^\dagger \psi_{f_1}^\dagger |0\rangle_F,$$

- $|0\rangle_F$ is Fock vacuum
- f_k are ordered $0 \leq f_1 < f_2 < \cdots < f_N$
- Repeated applications of the bilinear $\psi_m^\dagger \psi_n$ gives any desired state

Exact Bosonization



Exact Bosonization

Bosonization: ^a

- Introduce the bosonic operators

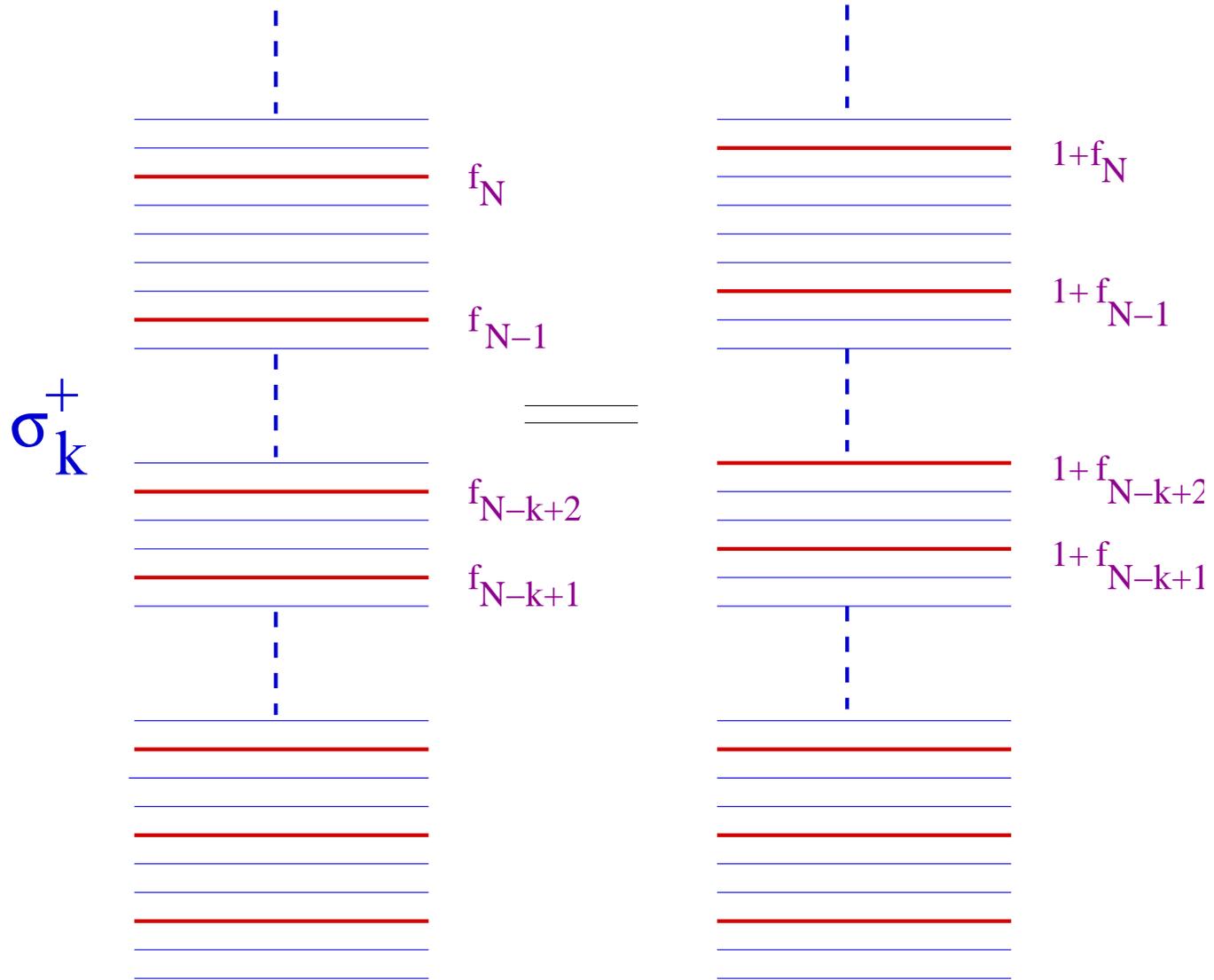
$$\sigma_k, \quad k = 1, 2, \dots, N$$

- and their conjugates

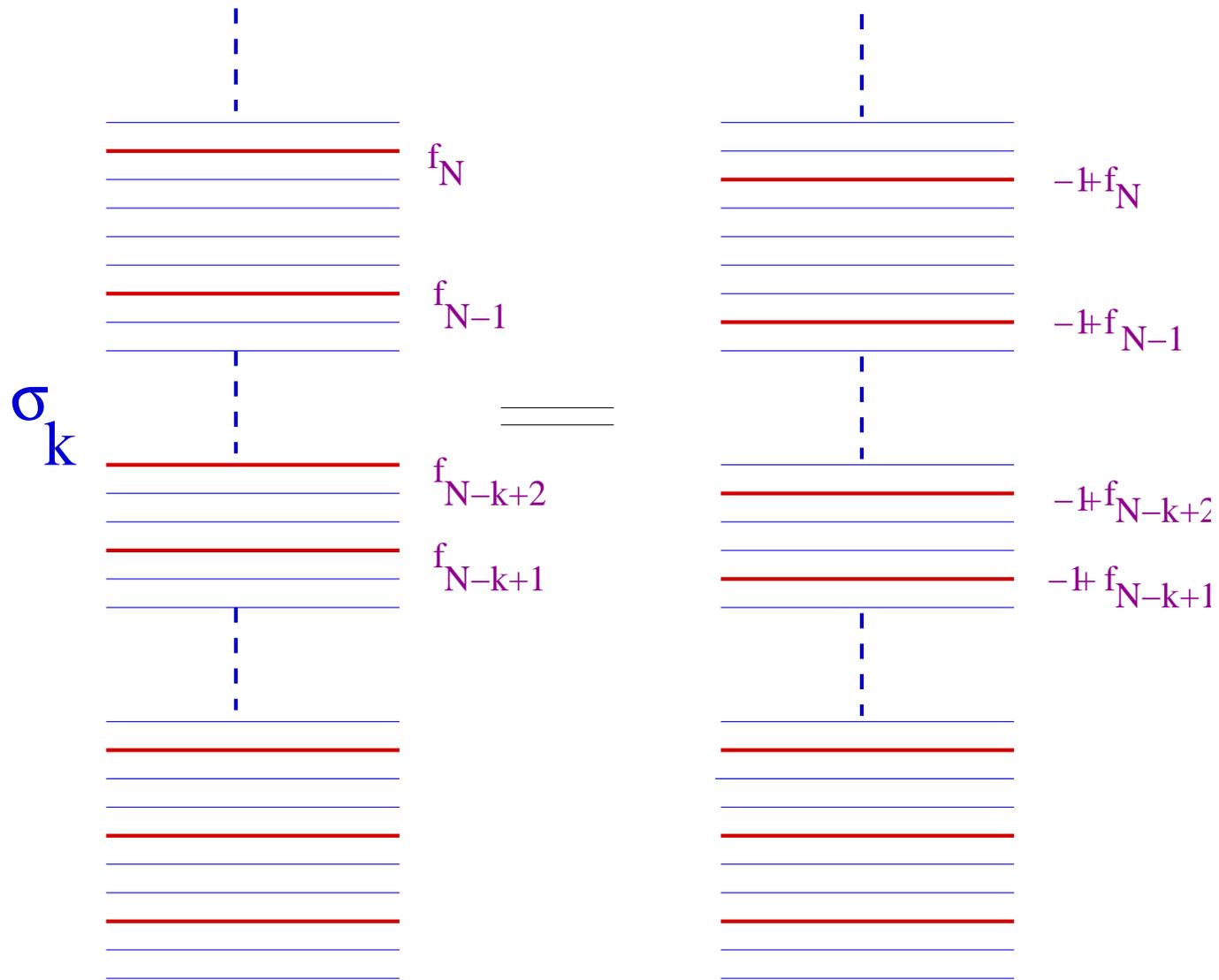
$$\sigma_k^\dagger, \quad k = 1, 2, \dots, N$$

^aDhar, Mandal and Suryanarayana, hep-th/0509164

Exact Bosonization



Exact Bosonization



Exact Bosonization

● By definition:

● $\sigma_k \sigma_k^\dagger = 1$

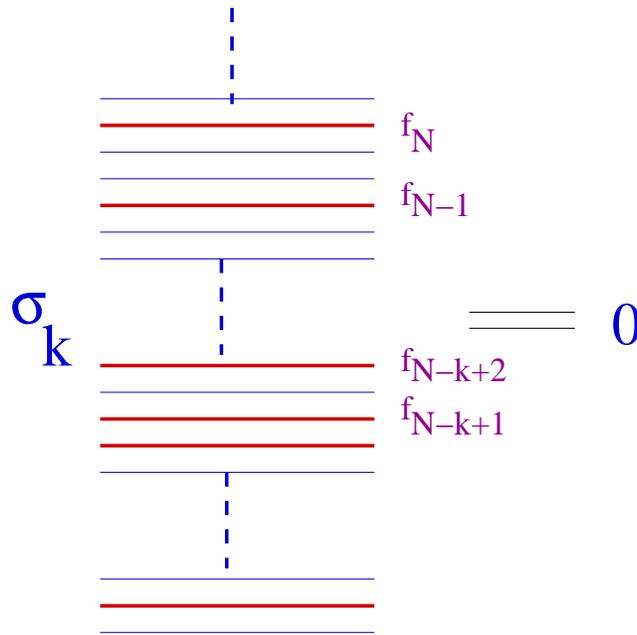
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- By definition:

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- For $k \neq l$, $[\sigma_k, \sigma_l^\dagger] = 0$

Exact Bosonization

- Introduce creation (annihilation) operators a_k^\dagger (a_k) which satisfy the standard commutation relations

$$[a_k, a_l^\dagger] = \delta_{kl}, \quad k, l = 1, \dots, N$$

- The states of the bosonic system are given by (a linear combination of)

$$|r_1, \dots, r_N\rangle = \frac{(a_1^\dagger)^{r_1} \dots (a_N^\dagger)^{r_N}}{\sqrt{r_1! \dots r_N!}} |0\rangle$$

Exact Bosonization

- Now, make the following identifications

$$\sigma_k = \frac{1}{\sqrt{a_k^\dagger a_k + 1}} a_k; \quad \sigma_k^\dagger = a_k^\dagger \frac{1}{\sqrt{a_k^\dagger a_k + 1}}$$

- together with the map ^a

$$r_N = f_1; \quad r_k = f_{N-k+1} - f_{N-k} - 1, \quad k = 1, 2, \dots, N - 1$$

- For the Fermi vacuum, $f_{k+1} = f_k + 1$ and so $r_k = 0$ for all $k \Rightarrow$ Fermi vacuum = Bose vacuum

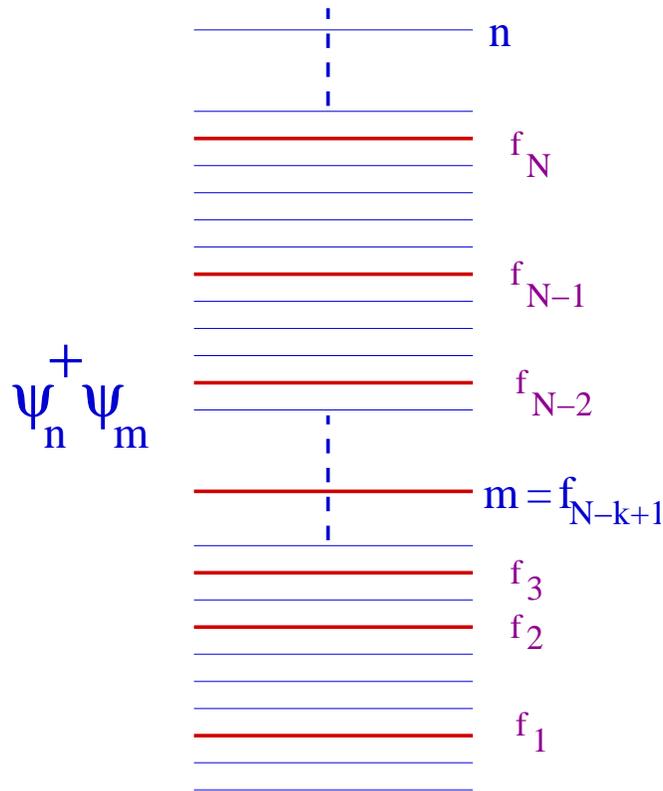
^aSuryanarayana, hep-th/0411145

Exact Bosonization

- The σ_k , $k = 1, 2, \dots, N$ are necessary and sufficient
 - Any bilinear $\psi_n^\dagger \psi_m$ can be built out of σ_k 's

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 - Any bilinear $\psi_n^\dagger \psi_m$ can be built out of σ_k 's

$$\psi_n^\dagger \psi_m = (-1)^{N-m-1} \sigma_{N-m}^\dagger \sigma_1^{+n-N}$$

Exact Bosonization

Generic properties of the bosonized theory:

- Each boson can occupy only a **finite** number of different states, as a consequence of a finite number of fermions
=> a cut-off or **graininess** in the bosonized theory!

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Generic properties of the bosonized theory:

- Each boson can occupy only a **finite** number of different states, as a consequence of a finite number of fermions => a cut-off or **graininess** in the bosonized theory!
- There is **no** natural “**space**” in the bosonic theory - in the examples we will discuss, a spatial direction will **emerge** in the low-energy large- N limit.

Exact Bosonization

- The non-interacting fermionic Hamiltonian:

$$H = \sum_n \mathcal{E}(n) \psi_n^\dagger \psi_n$$

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- What about fermion interactions? These can also be included since the generic bilinear $\psi_n^\dagger \psi_m$ has a bosonized expression

Half-BPS states and LLM geometries

- SYM - half-BPS states are described by a holomorphic sector of quantum mechanics of an $N \times N$ complex matrix Z in a harmonic potential
- This system can be shown ^a to be equivalent to the quantum mechanics of an $N \times N$ hermitian matrix Z in a harmonic potential

^aTakayama and Tsuchiya, hep-th/0507070

Half-BPS states and LLM geometries

- Gauge invariance \Rightarrow physical observables on boundary are $U(N)$ -invariant traces:

$$\text{tr} Z^k, \quad k = 1, 2, \dots, N$$

- Physical states \Leftrightarrow operators

$$(\text{tr} Z^{k_1})^{l_1} (\text{tr} Z^{k_2})^{l_2} \dots$$

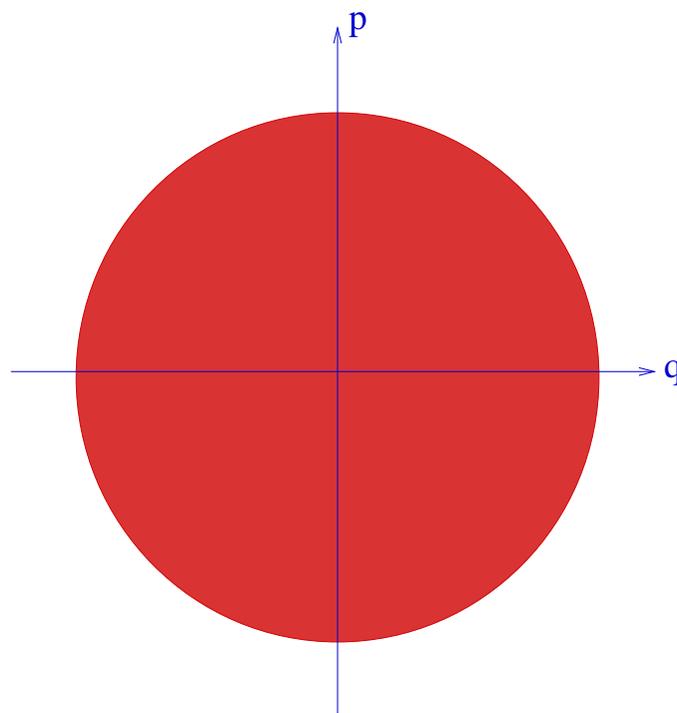
- Total number of Z 's is a conserved RR charge
 $Q = \sum_i k_i l_i$. BPS condition $\Rightarrow E = Q$

Half-BPS states and LLM geometries

- At large N there is a semiclassical picture of the states of this system in terms of droplets of fermi fluid in phase space

Half-BPS states and LLM geometries

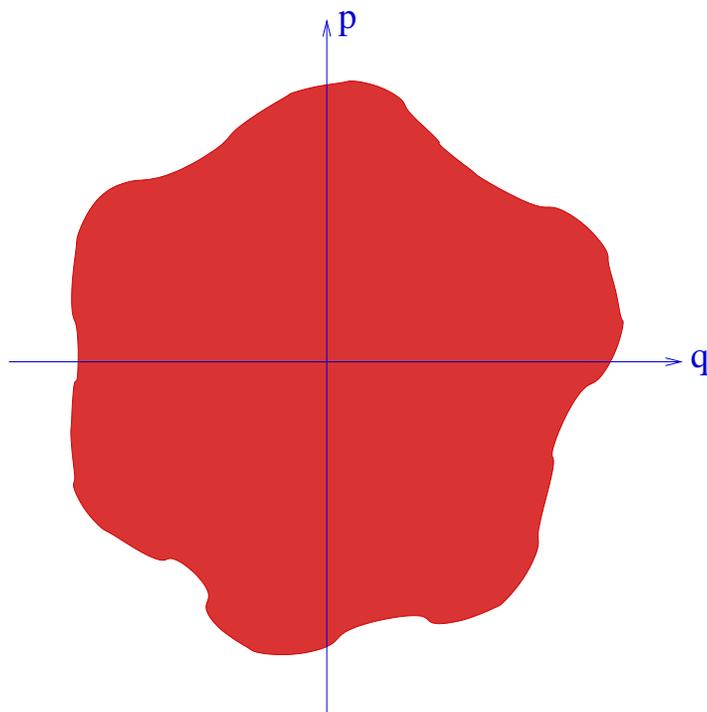
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ground state distribution

Half-BPS states and LLM geometries

- At large N there is a semiclassical picture of the states of this system in terms of droplets of fermi fluid in phase space



small fluctuations around the ground state

Half-BPS states and LLM geometries

- By explicitly solving equations of type IIB gravity, LLM showed that **there is a similar structure in the classical geometries** in the half-BPS sector!
- LLM solutions - two of the space coordinates are identified with the **phase space** of a single fermion => **noncommutativity in two space directions** in the semiclassical description ^a
- Small fluctuations around AdS space, i.e low-energy graviton excitations ^{b c} \equiv low-energy fluctuations of the fermi vacuum ^d

^aMandal, hep-th/0502104

^bGrant, Maoz, Marsano, Papadodimas and Rychkov, hep-th/0505079

^cMaoz and Rychkov, hep-th/0508059

^dDhar, hep-th/0505084

Half-BPS states and LLM geometries

- Motivation for our work ^a - on the CFT side the half-BPS system can be quantized exactly in terms of our bosons => window of opportunity to learn about aspects of quantum gravity.
- At finite N , only the low-energy excitations on the boundary can be identified with low-energy ($\ll N$) gravitons in the bulk
- The single-particle graviton excitations are related to our bosons. On the boundary, these states are:

$$\beta_m^\dagger |0\rangle = \sum_{n=1}^m (-1)^{n-1} \sqrt{\frac{(N+m-n)!}{2^m (N-n)!}} \sigma_1^{\dagger m-n} \sigma_n^\dagger |0\rangle$$

Half-BPS states and LLM geometries

- On the boundary, single-particle giant graviton states map to linear combinations of multi-graviton states ^a.
Example:

$$|\text{giant graviton of energy } 2\rangle = (\beta_1^\dagger{}^2 - \beta_2^\dagger)|0\rangle$$

^aBalasubramanian, Berkooz, Naqvi and Strassler, hep-th/0107119

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Half-BPS states and LLM geometries

- Boundary states corresponding to single-particle bulk giant states are our single-particle bosonic states:

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- Discrete space?

$$\phi(\theta_n) = \sum_{k=1}^N (e^{ik\theta_n} a_k + e^{-ik\theta_n} a_k^\dagger), \quad \theta_n = \frac{2\pi n}{N}$$

Black holes and 2-d YM on a circle

- Vafa ^a has argued that the partition function of $U(N)$ 2-d YM on a circle counts certain BPS D-brane black hole configurations in a CY compactification of type IIA string theory.
- The rank of the gauge group, N , maps to the number of $D4$ -branes and combinations of the YM coupling and the theta-angle map to chemical potentials for $D2$ and $D0$ -branes.

^aVafa, hep-th/0406058

Black holes and 2-d YM on a circle

- In the leading large N limit, the partition function satisfies the OSV ^a relation, $Z_{\text{bh}} = |\psi|^2$. But at finite N there are nonperturbative $\mathcal{O}(e^{-N})$ corrections to this relation ^b which can be attributed to multi-center black holes.
- 2-d YM on a circle can be mapped to free NR fermions on a circle ^c. This relation was exploited by DGOV to obtain the nonperturbative corrections.

^aOoguri, Strominger and Vafa, hep-th/0405146

^bDijkgraaf, Gopakumar, Ooguri and Vafa, hep-th/0504221

^cMinahan and Polychronakos, hep-th/9303153

Free fermions and 2-d YM on a circle

- In the gauge the gauge $A_0 = 0$, one solves the Gauss law constraint in terms of the Wilson line

$$W_{ab} = P \left(\exp \left[ig \int_a^b dx A_1 \right] \right)$$

- One gets

$$E(x) \equiv \dot{A}_1(x) = W_{x0} V W_{Lx}.$$

- This expression for $E(x)$ and its periodicity on the circle lead to the constraint

$$[W, \dot{W}] = 0, \quad W \equiv W_{0L}.$$

Free fermions and 2-d YM on a circle

- The 2-d YM hamiltonian becomes

$$H = \frac{1}{2} \int_0^L dx \operatorname{Tr} E^2 = -\frac{1}{2g^2 L} \operatorname{Tr}(W^{-1} \dot{W})^2.$$

- The constraint and the canonical structure implied by the original Poisson bracket of the potential A_1 with its conjugate field E give the standard matrix model structure for the dynamics. Thus, this hamiltonian describes the singlet sector of a unitary matrix quantum mechanics.
- Quantum mechanics of a unitary matrix is well-known to map to fermions on a circle.

Free fermions on a circle

- We will only discuss ^a the free fermion problem. Interactions can be taken into account once the free part has been dealt with properly.
- The free hamiltonian:

$$H = -\frac{\hbar^2}{2m} \int_0^L dx \chi^\dagger(x) \partial_x^2 \chi(x)$$

^aDhar and Mandal, hep-th/0603154

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- We will only discuss ^a the free fermion problem. Interactions can be taken into account once the free part has been dealt with properly.
- Hamiltonian in terms of fourier modes:

$$H = \omega \hbar \sum_{n=-\infty}^{\infty} n^2 \chi_n^\dagger \chi_n, \quad \omega \equiv \frac{2\pi^2 \hbar}{mL^2}$$

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Free fermions on a circle

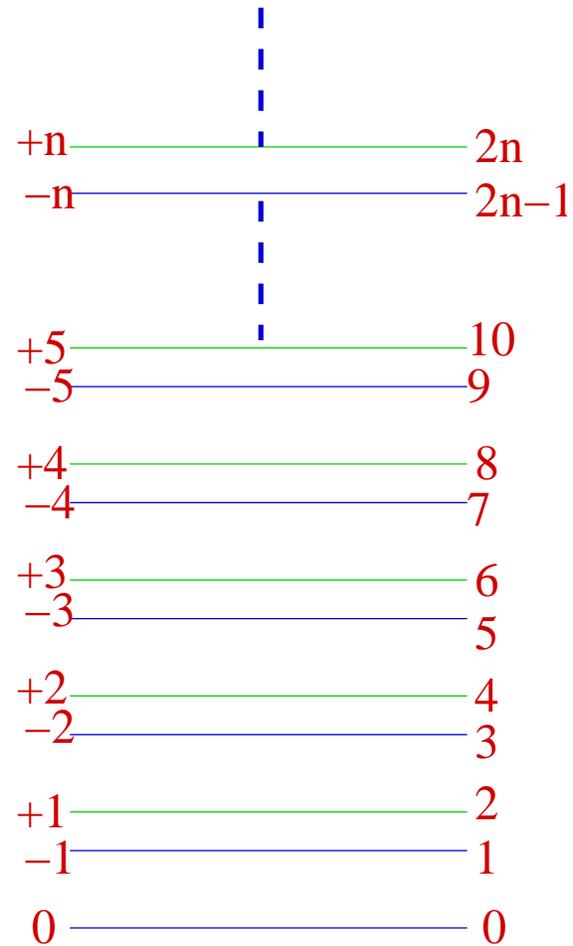
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- To apply our bosonization rules, need to introduce an ordering in the spectrum. For example, replace $n^2 \rightarrow (n + \epsilon)^2$

^aDhar and Mandal, hep-th/0603154

Free fermions on a circle



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- Bosonized hamiltonian:

$$H = \omega \hbar \sum_{k=1}^N \left(\frac{\hat{n}_k + e(\hat{n}_k)}{2} \right)^2$$

where $\hat{n}_k = \sum_{i=k}^N a_i^\dagger a_i + N - k$

Free fermions on a circle

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- $\hat{\nu} = N_- - N_{-F} = \sum_{k=1}^N (e(\hat{n}_k) - e(N - k))$ is the number of excess fermions in negative momentum states over and above the number in fermi vacuum
- H_1 is order one on excited states whose energy is low compared to N

Free fermions on a circle

- The operator $\hat{\nu}$ commutes with both H_0 and H_1 separately. States can therefore be labeled by the quantum number ν , the eigenvalue of this operator.
- These states can be explicitly constructed:

$$|\nu\rangle = \begin{cases} \sigma_{2\nu-1}^\dagger \sigma_{2\nu-2}^\dagger \cdots \sigma_1^\dagger |0\rangle, & \nu > 0 \\ \sigma_{2|\nu|}^\dagger \sigma_{2|\nu|-1}^\dagger \cdots \sigma_1^\dagger |0\rangle, & \nu < 0. \end{cases}$$

- These states satisfy

$$\hat{\nu}|\nu\rangle = \nu|\nu\rangle, \quad H_0|\nu\rangle = \hbar\omega N\nu^2|\nu\rangle, \quad H_1|\nu\rangle = 0$$

Free fermions on a circle

- Generalized partition function (H_0 part only), which keeps track of ν as well, is

$$Z_N(q, y) = \sum_{r_1, r_2, \dots, r_N=0}^{\infty} q^{\frac{1}{2} \sum_{k=1}^N k r_k} y^{\sum_{k=1}^N (-1)^{N-k} r_k} e^{(\sum_{i=1}^N r_i)},$$

where $q = e^{-\hbar\omega N\beta}$, $y = e^{-\mu}$.

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- The following recursion relation can be easily derived:

$$Z_N(q, y) = (1 - q^N)^{-1} [Z_{N-1}(q, y^{-1}) + q^{N/2} Z_{N-1}(q, y)].$$

Free fermions on a circle

- Exact partition function for finite N :

$$Z_N(q, y) = \sum_{\nu = -\frac{N-1}{2}}^{\frac{N+1}{2}} y^\nu q^{\nu(\nu - \frac{1}{2})} \prod_{n=1}^{\frac{N+1}{2} - \nu} (1 - q^n)^{-1} \prod_{n=1}^{\frac{N-1}{2} + \nu} (1 - q^n)^{-1}$$

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- Setting $y = q^{1/2}$, we get

$$Z_N(q) = \sum_{\nu = -\frac{N-1}{2}}^{\frac{N+1}{2}} q^{\nu^2} \prod_{n=1}^{\frac{N+1}{2} - \nu} (1 - q^n)^{-1} \prod_{n=1}^{\frac{N-1}{2} + \nu} (1 - q^n)^{-1}.$$

Free fermions on a circle

- Nonperturbative corrections for large but finite N :

$$Z_N(q) = \sum_{\nu=-\frac{N-1}{2}}^{\frac{N+1}{2}} q^{\nu^2} \times \left[\prod_{n=1}^{\infty} (1 - q^{\frac{N+1}{2} - \nu + n}) \prod_{n=1}^{\infty} (1 - q^{\frac{N-1}{2} + \nu + n}) \right] \times \left[\prod_{n=1}^{\infty} (1 - q^n)^{-1} \prod_{n=1}^{\infty} (1 - q^n)^{-1} \right]$$

SUMMARY

- We have developed a **simple and exact** bosonization of a finite number of NR fermions; we discussed here applications to problems in string theory, but our techniques are applicable in other areas of physics as well, e.g. to problems in condensed matter physics.
- Our bosonization trades finiteness of the number of fermions for **finite dimensionality** of the single-particle boson Hilbert space
- The bosonized theory is inherently grainy; in the specific applications we discussed, a local space-time field theory **emerges** only in the large- N and low-energy limit
- Bosonization of finite number of fermions in **higher dimensions?**