

Residual Flavor Symmetries in the $\nu_\mu\nu_\tau$ Sector

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PLAN OF THE TALK

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- NEUTRINO MIXING ANGLES AND PHASES
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1. INTRODUCTION

Regularities observed in neutrino mixing over the years:

1. Atmospheric mixing angle θ_{23} is close to maximal value $\pi/4$.
2. Solar mixing angle θ_{12} is not far from tribimaximal value $\sin^{-1}(\frac{1}{\sqrt{3}}) \sim 35.26^\circ$.
3. Reactor mixing angle θ_{13} not far from tribimaximal value 0° .
4. Dirac CP phase δ is close to the maximal value $3\pi/2$.

Current best-fit values

$$\theta_{12} = 33.82^\circ, \quad \theta_{23} = 49.6^\circ(\text{NO}), 49.8^\circ(\text{IO}), \quad \delta = 215^\circ(\text{NO}), 284^\circ(\text{IO})$$

\Rightarrow Some kind of discrete symmetry in the flavor space of neutrinos.



2. NEUTRINO RESIDUAL FLAVOR SYMMETRIES

Work with Majorana neutrinos

$$-\mathcal{L}_\nu^{\text{mass}} = \frac{1}{2} \overline{\nu_{\ell L}} (M_\nu)_{\ell m} \nu_m + \text{h.c.}, \quad (M_\nu)_{\ell m} = (M_\nu)_{m\ell}$$

$$U^T M_\nu U = M_d = \text{diag}(m_1, m_2, m_3), \quad \text{with } m_{1,2,3} \text{ assumed } > 0.$$

Perhaps there is a residual symmetry G with

$$G^T M_\nu G = ? \quad \text{RHS can be } +M_\nu, -M_\nu, +M_\nu^*, -M_\nu^*.$$

G is a discrete symmetry. Questions:

1. What is G ?
2. What are the characteristic phenomenological predictions of G ?
3. How do these predictions compare with current experiment?
4. Will distinctive predictions from G be testable in future set-ups?
5. Can G be embedded in a larger symmetry group which in turn comes from a GUT?

We deal with exact symmetries: perturbations introduce too many parameters.

1. Historically, first $\mu\tau$ exchange symmetry ($\mu\tau S$);

Fukushima and Nishiura (1997), Review by King (2017)

Invariance under $\nu_{L\ell} \rightarrow G_{\ell m} \nu_{Lm}$

$$G = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \text{ and } G^T M_\nu G = M_\nu. \text{ Obtainable from } S_4$$

Altarelli and Feruglio (2010)

$$M_\nu^{\mu\tau S} = \begin{pmatrix} x & a & -a \\ a & y & c \\ -a & c & y \end{pmatrix}, \quad x, a, c, y \text{ complex mass-dimensional}$$

$\Rightarrow \theta_{13} = 0$ ruled out at 10σ , $\theta_{23} = \pi/4$ (disfavored).

No observable Dirac CP violation. Strong experimental hints to the contrary.

Abandoned!



2. Next, $\mu\tau$ exchange antisymmetry ($\mu\tau A$).

Grimus et al (2006)

Same G but $G^T M_\nu G = -M_\nu$. Obtainable from \mathbb{Z}_4

Altarelli and Feruglio (2010), Joshipura (2015)

$$\Rightarrow M_\nu^{\mu\tau S} = \begin{pmatrix} 0 & a & a \\ a & y & 0 \\ a & 0 & -y \end{pmatrix}$$

$\Rightarrow \theta_{13} = 0$ ruled out at 10σ , $\theta_{23} = \pi/4$ (disfavored).

Same consequences as $\mu\tau S$ + one massless and two degenerate neutrinos (ruled out by $\Delta m_{21}^2 \neq 0 \neq \Delta m_{32}^2$). Proponents considered perturbations \rightarrow too complicated.

Abandoned!

3. Now, CP extended $\mu\tau$ symmetry ($CP_{\mu\tau S}$)

Harrison and Scott (2002)

Grimus & Lavoura (2004)

Mohapatra & Nishi (2015)

$G^T M_\nu G = M_\nu^*$. Obtainable from S_4

$$\Rightarrow M_\nu^{CP_{\mu\tau S}} = \begin{pmatrix} x_1 & a & -a \\ a & y & c_1 \\ a^* & c_1 & y^* \end{pmatrix}$$

Symmetry transformation: $\nu_{Ll} \rightarrow iG_{lm}\gamma^0\nu_{Lm}^C$.

Admits $\theta_{13} \neq 0$, Majorana phases 0 or π . $\theta_{23} = \pi/4$ and Dirac phase δ either $\pi/2$ or $3\pi/2$ (both in tension with latest data).

4. Next, CP extended $\mu\tau$ antisymmetry ($CP_{\mu\tau A}$)

Samanta, PR, Ghosal (2018)

$$G^T M_\nu G = -M_\nu^*.$$

Obtainable from $\nu_{L\ell} \rightarrow -G_{\ell m} \gamma^0 \nu_{Lm}^C$

$$M_\nu^{CP_{\mu\tau S}} = -iM_\nu^{CP_{\mu\tau S}}$$

Phenomenology identical to that of $CP_{\mu\tau S}$. Leptogenesis with minimal seesaw (two heavy RH neutrinos N_1, N_2) worked out in detail by SRG.

5. Mixed (θ) $\mu\tau$ exchange symmetry ($\mu\tau\theta S$)

Introduces one extra parameter: mixing angle θ . Now,

$$G^\theta = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -\cos\theta & \sin\theta \\ 0 & \sin\theta & \cos\theta \end{pmatrix} \text{ and } G^T M_\nu G = M_\nu.$$

$\theta \rightarrow \pi/2$ lets $\mu\tau\theta S \rightarrow \mu\tau S$.

$$M_\nu^{\mu\tau\theta S} = \begin{pmatrix} x & a & -a\frac{1-c_\theta}{s_\theta} \\ a & y & c \\ -a\frac{1-c_\theta}{s_\theta} & c & y + 2c\frac{c_\theta}{s_\theta} \end{pmatrix}.$$

Though $\theta_{23} \neq \pi/4, \theta_{13} = 0$.

Excluded!

A modification, proposed by **Samanta, Sinha, Ghosal (2018)** is still allowed.

6. Mixed (θ) $\mu\tau$ exchange antisymmetry ($\mu\tau\theta A$).

Same G^θ but $G^T M_\nu G = -M_\nu$.

$$M_\nu^{\mu\tau\theta A} = \begin{pmatrix} x & a & a \frac{1+c_\theta}{s_\theta} \\ a & y & y \frac{c_\theta}{s_\theta} \\ a \frac{1+c_\theta}{s_\theta} & y \frac{c_\theta}{s_\theta} & -y \end{pmatrix}.$$

$\theta \rightarrow \pi/2$ lets $\mu\tau\theta A \rightarrow \mu\tau A$. Once again, $\theta_{13} = 0$.

Excluded!

7. CP-transformed mixed $\mu\tau$ symmetry ($\text{CP}_{\mu\tau\theta S}$).

Chen et al (2016)

$$\text{Now, } (G^\theta)^T M_\nu G^\theta = M_\nu^*.$$

$$M_\nu^{\text{CP}_{\mu\tau\theta S}} = \begin{pmatrix} x_1 & a_1 + ia_2 & -a_1 \frac{1-c_\theta}{s_\theta} + ia_2 \frac{1+c_\theta}{s_\theta} \\ a_1 + ia_2 & y_1 + iy_2 & c_1 + iy_2 \frac{c_\theta}{s_\theta} \\ -a_1 \frac{1-c_\theta}{s_\theta} + ia_2 \frac{1+c_\theta}{s_\theta} & c_1 + iy_2 \frac{c_\theta}{s_\theta} & -iy_2 + 2c_1 \frac{c_\theta}{s_\theta} \end{pmatrix}.$$

with $x, a_{1,2}, y_{1,2}$ and c_1 as real mass-dimensional parameters.

$\Rightarrow \theta_{13} \neq 0, \theta_{23} \neq \pi/4$ and δ not fixed:

$$\sin \delta = \pm \frac{\sin \theta}{\sin 2\theta_{23}}.$$

Note that, for $\theta \rightarrow \pi/2$, $\text{CP}_{\mu\tau\theta S} \rightarrow \text{CP}_{\mu\tau S}$.

8. CP-transformed mixed $\mu\tau$ antisymmetry ($CP_{\mu\tau\theta A}$).

Sinha, Roy, Ghosal (2018)

Now, $(G^\theta)^T M_\nu G^\theta = -M_\nu^*$.

One obtains

$$M_\nu^{CP_{\mu\tau\theta A}} = iM_\nu^{CP_{\mu\tau S}}.$$

Phenomenology identical to that of $M_\nu^{CP_{\mu\tau\theta S}}$.

Implications of leptonic CP violation in long-baseline experiments, $0\nu\beta\beta$ decay and flavor flux ratios at neutrino telescopes worked out in detail by SRG.

3. NEUTRINO MIXING ANGLES AND PHASES

Lam's observation:

Lam (2007)

$$\mathcal{G}^\theta U^* = U \tilde{d}, \quad \tilde{d} = \text{diag}(\tilde{d}_1, \tilde{d}_2, \tilde{d}_3), \quad d_{1,2,3} = \pm 1.$$

$$U = \text{diag}(e^{i\phi_1}, e^{i\phi_2}, e^{i\phi_3}) U_{\text{PMNS}},$$

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}c_{13} & e^{i\frac{\alpha}{2}} s_{12}c_{13} & s_{13}e^{-i(\delta-\frac{\beta}{2})} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & e^{i\frac{\alpha}{2}}(c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta}) & c_{13}s_{23}e^{i\frac{\beta}{2}} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & e^{i\frac{\alpha}{2}}(-c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta}) & c_{13}c_{23}e^{i\frac{\beta}{2}} \end{pmatrix}.$$

Algebraic matching leads to

$$e^{i\alpha} = \tilde{d}_1 \tilde{d}_2, \quad e^{2i(\delta-\frac{\beta}{2})} = \tilde{d}_1 \tilde{d}_3$$

$$\Rightarrow \alpha = 0 \text{ or } \pi, \text{ and } \beta = 2\delta \text{ or } (2\delta - \pi).$$

Moreover, $\cot 2\theta_{23} = \cot \theta \cos(\phi_2 - \phi_3)$, $\sin \delta = \pm \sin \theta / \sin 2\theta_{23}$,
i.e. $\theta \rightarrow \pi/2 \Rightarrow \theta_{23} \rightarrow \frac{\pi}{4}$. In general, $\theta_{23} \neq \pi/4$ and $\delta \neq 0$ or π .

4. NUMERICAL ANALYSIS

Input mixing angles and mass-squared differences from latest global analysis. Esteban et al (2017)

Neutrino mass sum $m_1 + m_2 + m_3 < 0.17$ eV from Planck data. Aghanim et al (2016)

Table: Input 3σ ranges used in the analysis

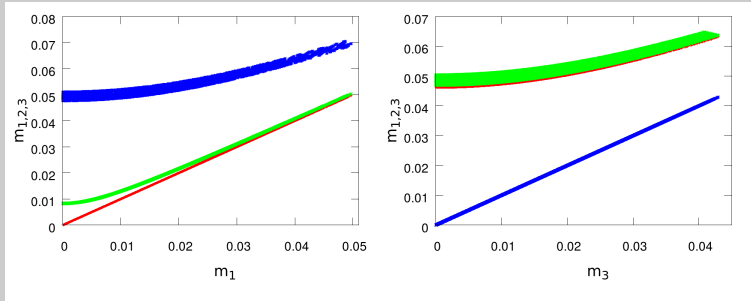
Values	θ_{12} degrees	θ_{23} degrees	θ_{13} degrees	Δm_{21}^2 10^{-5}eV^2	$ \Delta m_{31}^2 $ $10^{-3} (\text{eV}^2)$
NO	31.42 to 36.05	40.3 to 51.5	8.09 to 8.98	6.80 to 8.02	2.399 to 2.593
IO	31.43 to 36.06	41.3 to 51.7	8.14 to 9.01	6.80 to 8.02	2.399 to 2.593

Table: Output values of the parameters of M_ν

Values	$10^3 x$	$10^3 a_1$	$10^3 a_2$	$10^3 y_1$	$10^3 y_1$	$10^3 c$	$\theta(^{\circ})$
NO	-22 to 22	-45 to 45	-32 to 32	-35 to 35	-45 to 45	-35 to 35	12 to 164
IO	-25 to 25	-45 to 45	-4 to 4	-25 to 25	-35 to 35	-25 to 25	2 to 156

Table: Predictions on the light neutrino masses.

Normal Ordering ($m_3 > m_2$)			Inverted Ordering ($m_3 < m_1$)		
$10^3 m_1$ (eV)	$10^{-3} m_2$ (eV)	$10^3 m_3$ (eV)	$10^3 m_1$ (eV)	$10^3 m_2$ (eV)	$10^3 m_3$ (eV)
$8.4 \times 10^{-2} - 49$	9 - 51	50 - 71	48 - 64	49 - 66	$4.4 \times 10^{-2} - 42$



Neutrino masses for normal (left) and inverted (right) ordering against the lightest mass eigenvalue. The red, green and blue bands refer to m_1 , m_2 and m_3 respectively.

5. NEUTRINOLESS DOUBLE BETA DECAY



Half-life $T_{1/2}^{0\nu} = G_{0\nu} |\mathcal{M}|^2 |M_\nu^{ee}|^2 m_e^{-2}$,
 $G_{0\nu}$ = two-body phase space factor, \mathcal{M} = nuclear matrix element,

$$M_\nu^{ee} = c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 e^{i\alpha} + s_{13}^2 m_3 e^{i(\beta-2\delta)}$$

Four cases in our model.

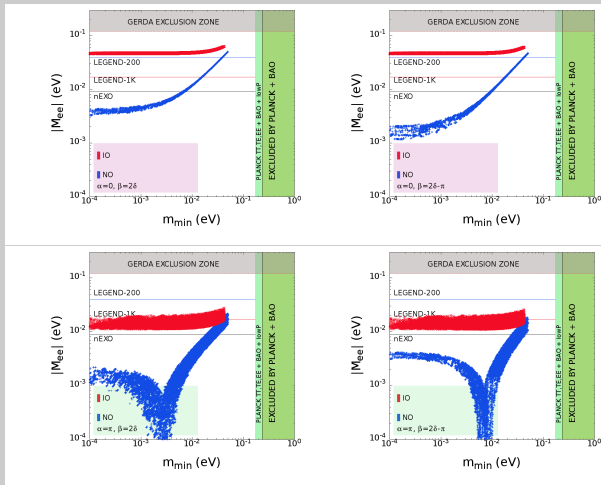
(i) $|M_\nu^{ee}| = c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 + s_{13}^2 m_3$ for $\alpha = 0, \beta = 2\delta$,

(ii) $|M_\nu^{ee}| = c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 - s_{13}^2 m_3$ for $\alpha = 0, \beta = 2\delta - \pi$,

(iii) $|M_\nu^{ee}| = c_{12}^2 c_{13}^2 m_1 - s_{12}^2 c_{13}^2 m_2 + s_{13}^2 m_3$ for $\alpha = \pi, \beta = 2\delta$ and

(iv) $|M_\nu^{ee}| = c_{12}^2 c_{13}^2 m_1 - s_{12}^2 c_{13}^2 m_2 - s_{13}^2 m_3$ for $\alpha = \pi, \beta = 2\delta - \pi$.

Plots of $|M_{\nu}^{ee}|$ versus the minimum neutrino mass m_{min}



The four plots correspond to four possible choices of α and β .

Predicted signal below the reach of GERDA phase II but reachable by LEGEND-200, LEGEND-1K and nEXO. Failure of nEXO to see any signal would rule out our model for IO.

6. CP ASYMMETRY IN NEUTRINO OSCILLATIONS

Experimental CP asymmetry

$$A_{\mu e} = \frac{2\sqrt{P_{\text{atm}}}\sqrt{P_{\text{sol}}}\sin\Delta_{32}\sin\delta}{P_{\text{atm}} + P_{\text{sol}} + 2\sqrt{P_{\text{atm}}}\sqrt{P_{\text{sol}}}\cos\Delta_{32}\cos\delta}$$

with

$$\sqrt{P_{\text{atm}}} \equiv s_{23}s_{13} \frac{\sin(\Delta_{31} - aL)}{\Delta_{31} - aL} \sin\Delta_{31},$$

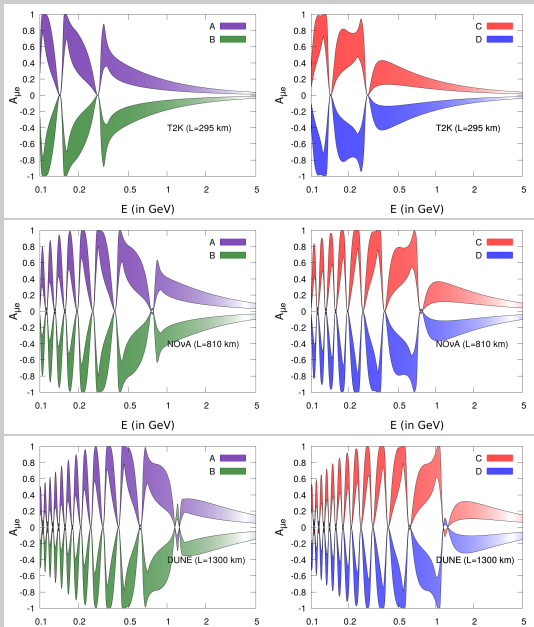
$$\sqrt{P_{\text{sol}}} \equiv 2c_{12}s_{12}c_{23}c_{13} \frac{\sin(aL)}{aL} \sin\Delta_{21},$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^2 L}{4E}, \quad a = \frac{G_F N_e}{\sqrt{2}} \simeq 3500\text{km}^{-1}, \quad N_e = \text{electron density in the medium}$$

$\sin\delta$ and $\cos\delta$ can have four different combinations.

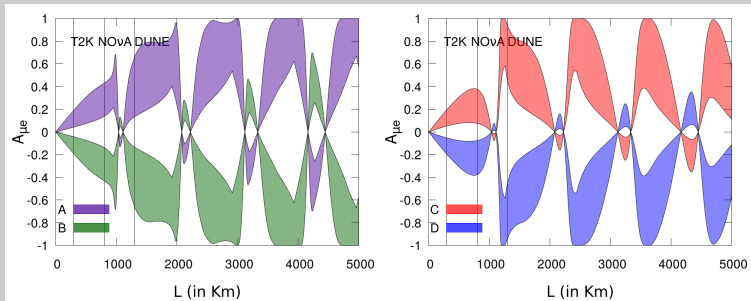
Table: Four possibilities for $A_{\mu e}$

Possibilities	$\sin\delta$	$\cos\delta$
Case A	$+\sin\theta(\sin 2\theta_{23})^{-1}$	$+(\sin 2\theta_{23})^{-1}\sqrt{\cos^2\theta\sin^2 2\theta_{23} - \sin^2\theta\cos^2 2\theta_{23}}$
Case B	$-\sin\theta(\sin 2\theta_{23})^{-1}$	$+(\sin 2\theta_{23})^{-1}\sqrt{\cos^2\theta\sin^2 2\theta_{23} - \sin^2\theta\cos^2 2\theta_{23}}$
Case C	$+\sin\theta(\sin 2\theta_{23})^{-1}$	$-(\sin 2\theta_{23})^{-1}\sqrt{\cos^2\theta\sin^2 2\theta_{23} - \sin^2\theta\cos^2 2\theta_{23}}$
Case D	$-\sin\theta(\sin 2\theta_{23})^{-1}$	$-(\sin 2\theta_{23})^{-1}\sqrt{\cos^2\theta\sin^2 2\theta_{23} - \sin^2\theta\cos^2 2\theta_{23}}$



Plots of $A_{\mu e}$ against beam energy E for different baselines lengths of T2K, NO ν A and DUNE respectively.

The numerical distinction between NO and IO is insignificant for the 3σ range of θ_{23} .



CP asymmetry parameter $A_{\mu e}$ vs. baseline length L for cases A,B,C,D.

1. For a fixed beam energy of $E = 1\text{GeV}$.
2. Plots are practically indistinguishable for NO and IO.
3. The bands are due to 3σ range θ_{23} while the other parameters are kept at their best fit values.

7. FLAVOR FLUX RATIOS AT NEUTRINO TELESCOPES

Source: Cosmic pp collisions (TeV-PeV)

$$\rightarrow \pi^+\pi^- \rightarrow \mu^+\mu^-\nu_\mu\bar{\nu}_\mu \rightarrow e^+e^-2\nu_\mu2\bar{\nu}_\mu\nu_e\bar{\nu}_e$$

$$\Rightarrow \{\phi_{\nu_e}^S, \phi_{\bar{\nu}_e}^S, \phi_{\nu_\mu}^S, \phi_{\bar{\nu}_\mu}^S, \phi_{\nu_\tau}^S, \phi_{\bar{\nu}_\tau}^S\} = \phi_0 \left\{ \frac{1}{6}, \frac{1}{6}, \frac{1}{3}, \frac{1}{3}, 0, 0 \right\}.$$

Source: Cosmic $p\gamma$ collisions (GeV- 10^2 GeV)

$$\rightarrow \pi^+ \rightarrow \mu^+\nu_\mu \rightarrow e^+\nu_e + \bar{\nu}_\mu.$$

$$\Rightarrow \{\phi_{\nu_e}^S, \phi_{\bar{\nu}_e}^S, \phi_{\nu_\mu}^S, \phi_{\bar{\nu}_\mu}^S, \phi_{\nu_\tau}^S, \phi_{\bar{\nu}_\tau}^S\} = \phi_0 \left\{ \frac{1}{3}, 0, \frac{1}{3}, \frac{1}{3}, 0, 0 \right\}.$$

With $\phi_\ell^S \equiv \phi_{\nu_\ell}^S + \phi_{\bar{\nu}_\ell}^S$,

$$\{\phi_e^S, \phi_\mu^S, \phi_\tau^S\} = \phi_0 \left\{ \frac{1}{3}, \frac{2}{3}, 0 \right\}$$

for both sources, ϕ_0 = overall normalization.

Flux at source $S \rightarrow$ flux at telescope T changed by neutrino oscillations averaged over many periods.

Effectively, $P(\nu_m \rightarrow \nu_\ell) = P(\bar{\nu}_m \rightarrow \bar{\nu}_\ell) \simeq \sum_i |U_{ei}|^2 |U_{mi}|^2$ and

$$\phi_\ell^T = \sum_i \sum_m \phi_m^S |U_{\ell i}|^2 |U_{mi}|^2 = \frac{\phi_0}{3} \sum_i |U_{\ell i}|^2 (|U_{ei}|^2 + 2|U_{\mu i}|^2).$$

It follows from the unitarity of U that

$\phi_\ell^T = \frac{\phi_0}{3} [1 + \sum_i |U_{\ell i}|^2 (|U_{\mu i}|^2 - |U_{\tau i}|^2)]$ which vanishes for exact $\mu\tau$ symmetry or antisymmetry, but is nonzero in general.

Neglect $\mathcal{O}(\sin^2 \theta_{13}) \approx 0.01$ terms and define flavor flux ratios

$$R_e \equiv \phi_e (\phi_\mu + \phi_\tau)^{-1}, R_\mu \equiv \phi_\mu (\phi_e + \phi_\tau)^{-1}, R_\tau \equiv \phi_\tau (\phi_\mu + \phi_e)^{-1}.$$

Now,

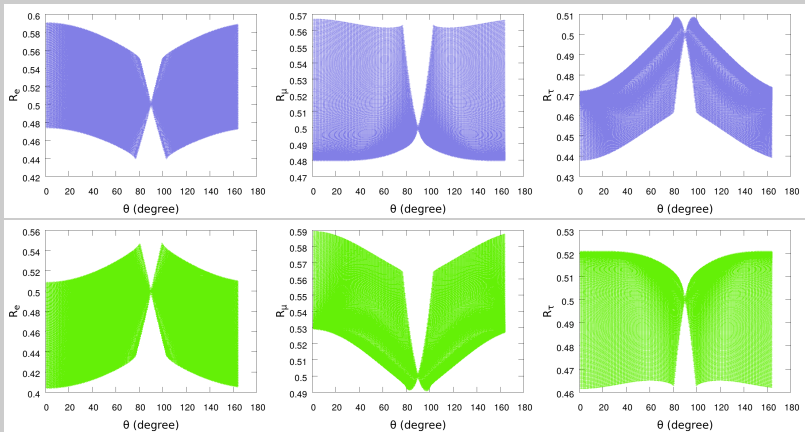
$$R_e \approx \frac{1 + \frac{1}{2} \sin^2 2\theta_{12} \cos 2\theta_{23} + \frac{1}{2} \sin 4\theta_{12} \sin 2\theta_{23} s_{13} \cos \delta}{2 - \frac{1}{2} \sin^2 2\theta_{12} \cos 2\theta_{23} - \frac{1}{2} \sin 4\theta_{12} \sin 2\theta_{23} s_{13} \cos \delta},$$

$$R_\mu \approx \frac{1 + \{c_{23}^2 (1 - \frac{1}{2} \sin^2 2\theta_{12}) - s_{23}^2\} \cos 2\theta_{23} - \frac{1}{4} \sin 4\theta_{12} \sin 2\theta_{23} s_{13} \cos \delta (4c_{23}^2 - 1)}{2 - \cos^2 2\theta_{23} + \frac{1}{2} \sin^2 2\theta_{12} \cos 2\theta_{23} c_{23}^2 + \frac{1}{4} (3 - 4s_{23}^2) \sin 4\theta_{12} \sin 2\theta_{23} s_{13} \cos \delta},$$

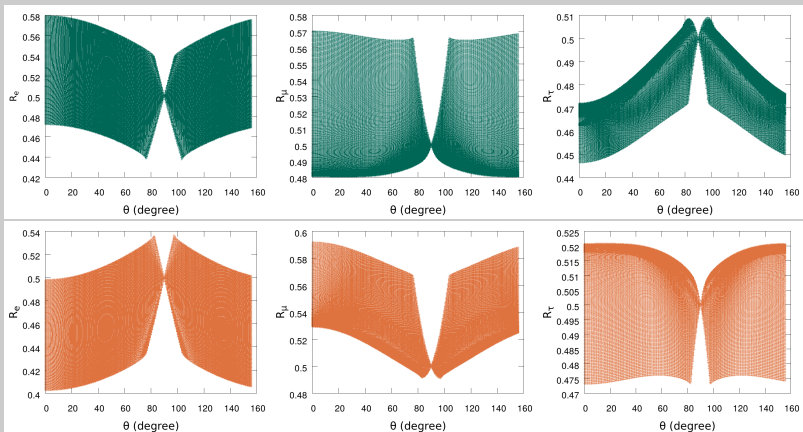
$$R_\tau \approx \frac{1 + \{s_{23}^2 (1 - \frac{1}{2} \sin^2 2\theta_{12}) + c_{23}^2\} \cos 2\theta_{23} - \frac{1}{4} \sin 4\theta_{12} \sin 2\theta_{23} s_{13} \cos \delta (4s_{23}^2 - 1)}{2 + \cos^2 2\theta_{23} + \frac{1}{2} \sin^2 2\theta_{12} \cos 2\theta_{23} c_{23}^2 + \frac{1}{4} (3 - 4c_{23}^2) \sin 4\theta_{12} \sin 2\theta_{23} s_{13} \cos \delta}.$$

Dependence on $\cos \delta$ makes R_ℓ double-valued except at $\theta = \pi/4$

($\cos \delta = 0$ when $R_e = R_\mu = R_\tau = \frac{1}{3}$).



Flux ratios $R_{e,\mu,\tau}$ vs. θ for NO; range of θ : $12^\circ - 164^\circ$



Flux ratios $R_{e,\mu,\tau}$ vs. θ for IO; range of θ : $2^\circ - 156^\circ$

Continuous bands because of 3σ variation in input parameters.

Drastic change in R_e from $1/2$ (as θ moves away from $\pi/2$) can be used to pinpoint θ .

8. CONCLUSIONS

- Different aspects of flavor symmetries in the $\nu_\mu\nu_\tau$ sector outlined.
- CP transformed mixed ν_μ - ν_τ antisymmetry in M_ν proposed.
- With input neutrino neutrino mixing angles and mass-squared differences (3σ), ranges of values of neutrino masses for NO and IO given.
- Specific prediction on the $\beta\beta 0\nu$ process to be tested crucially by nEXO.
- Neutrino flavor flux ratios, when measured, will give information on θ .
- Specific predictions on neutrino-antineutrino flavor flux ratios to be measured in neutrino telescopes.