

# UNIFICATION OF FORCES AND THE EVOLUTION OF THE UNIVERSE

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# I. Introduction

Starting With Maxwell's Unification of Electricity & Magnetism, the quest for unification of fundamental particles & their forces has progressed remarkably well over the last four decades Through an interplay Between Theory & Experiments

↓

Probing BOTH into the World  
Of the

VERY SMALL & Of The VERY LARGE

$$10^{-16} \rightarrow 10^{-32} \text{ cm}$$

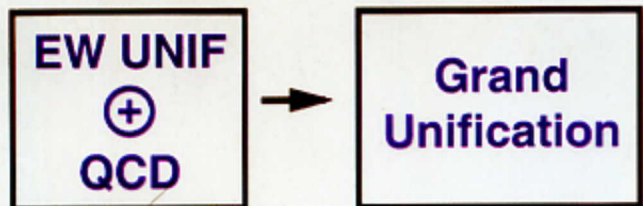
$$10^{+28} \text{ cm}$$

A PREVIEW → Chart 1



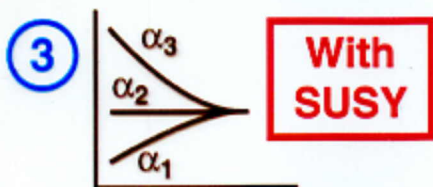
# VERY SMALL

$10^{-16}$  cm to  $10^{-30}$  cm



Standard Model  
~100 GeV

- ① Spectrum of  $(q, \ell)$
- ② Quantize  $Q_{em}$



- ④ "m( $\nu_3$ )" ~ 1/20 eV
- ⑤  $(n_b/s)_{Theor} \sim 10^{-10}-10^{-11}$
- ⑥ SUSY LSP or Axion as CDM

# VERY LARGE

$10^{28}$  cm

Stand. Big Bang Model

⊕  
Inflation

$T \sim 10^{16} - 10^{14}$  GeV;  $t \sim 10^{-38}-10^{-34}$  sec  
 $T_{rh} \sim 10^9-10^6$  GeV

- ① Gross Homog/Isotropy
- ② Flatness:  $\Omega_{Tot}^{obs} = \Omega_M + \Omega_\Lambda = 1.01 \pm 0.01$
- ③  $\delta\rho/\rho \sim \delta T/T \sim 10^{-5}$

- ④  $(n_b/s)^{obs} \approx 10^{-10}$
- ⑤ Dark Matter:  $\Omega_{CDM} \approx 0.23$ ;  $\Omega_B \approx 0.04$

- ⑥ • Galaxy Formation  
• Stars Born / Shine / Die
- ⑦ Evolution of Life!

- ⑧ Dark Energy  $\Omega_\Lambda^{obs} = 0.73$   
**A Total Mystery**

$10^{-32}$  cm

String/M Theory

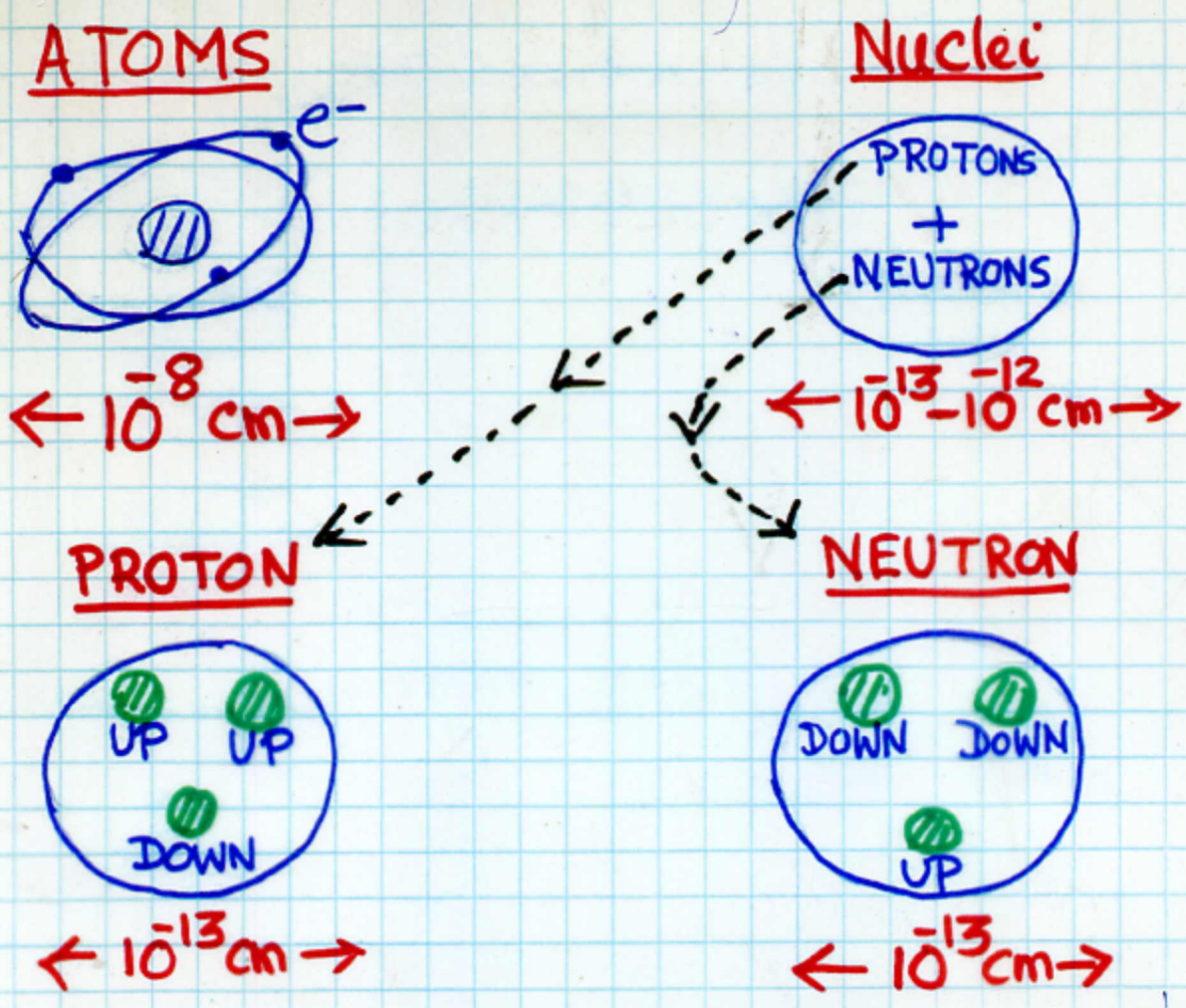
dim.  $d = 10/11$

Scope for a Truly Unified Theory with Good Quantum Gravity

Still Evolving



# II Building Blocks: The Fundamental Particles



	Spin	Charge	Size
up quark (u)	$\frac{1}{2}$	$+\frac{2}{3} e $	$< 10^{-17} \text{ cm}$
Down Quark (d)	$\frac{1}{2}$	$-\frac{1}{3} e $	$< 10^{-17} \text{ cm}$

PROTON = uud  
 NEUTRON = ddu

ALL "STABLE" MATTER MADE OF JUST 3 OBJECTS → up quark (u), down quark (d), electron (e<sup>-</sup>)



# 2(b) Three Families of Quarks and Leptons

Classification of Forces, Modified on Jan 11, 2013, 1:50 PM

QUARKS	Charge	Mass	LEPTONS	Mass
$\begin{pmatrix} u \\ d \end{pmatrix}$ up down	$+2/3$ $-1/3$	3 MeV 6 MeV	$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}$	$< 2 \text{ ev}$ 0.5 MeV
$\begin{pmatrix} c \\ s \end{pmatrix}$ charm strange	$+2/3$ $-1/3$	1300 MeV 110 MeV	$\begin{pmatrix} \nu_\mu \\ \mu^- \end{pmatrix}$	$< 2 \text{ ev}$ 6 MeV
$\begin{pmatrix} t \\ b \end{pmatrix}$ top bottom	$+2/3$ $-1/3$	170 GeV 4.4 GeV	$\begin{pmatrix} \nu_\tau \\ \tau^- \end{pmatrix}$	$< 2 \text{ ev}$ 1.8 GeV

1) Why 3 Families? Why Nature Replicates?

2) Mass-Hierarchies (charged quarks and leptons)?

a) Inter-Family:  $m_u: m_c: m_t \sim 10^{-5}: 10^{-2}: 1$

b) Intra-Family:  $m_t: m_b: m_\tau \sim 10^{-2}: 1/40: 1$

3) Neutrino Masses Way Out of Line

So So Light:  $m(\nu_i) \neq 0$ , But  $\frac{m(\nu_\tau) \sim 10^{-12}}{m_{top}}$



Suggest New Physics Involved.

# B. Quarks possess "Flavor" & "Color" charges <sup>3</sup>

Unification of Forces... Modified on Jan 31-2013 : 6 of 53.

Quark-picture incompatible with Pauli Principle unless assume each quark comes in 3 types  $\leftrightarrow$  "colors"

$$N^{*++} (1238 \text{ MeV}) = (u \uparrow u \uparrow u \uparrow) \leftrightarrow E_{\alpha\beta\gamma} u_{\alpha} u_{\beta} u_{\gamma}$$

Spin 3/2

Baryons =  $E_{\alpha\beta\gamma} q_{\alpha} q_{\beta} q_{\gamma}$  ; Mesons =  $\sum_{\alpha} q_{\alpha} \bar{q}_{\alpha}$   
 $\alpha = \text{red, yel, blue}$

They are color "neutral"  $\leftrightarrow$  Singlets of SU(3)-color

	Color $\longleftrightarrow$			
Flavor $\downarrow$	$\begin{pmatrix} u_{\text{red}} \\ d_{\text{r}} \end{pmatrix}$	$\begin{pmatrix} u_{\text{yel}} \\ d_{\text{y}} \end{pmatrix}$	$\begin{pmatrix} u_{\text{blue}} \\ d_{\text{b}} \end{pmatrix}$	$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}$
	$\begin{pmatrix} c_{\text{r}} \\ s_{\text{r}} \end{pmatrix}$	$\begin{pmatrix} c_{\text{y}} \\ s_{\text{y}} \end{pmatrix}$	$\begin{pmatrix} c_{\text{b}} \\ s_{\text{b}} \end{pmatrix}$	$\begin{pmatrix} \nu_{\mu} \\ \mu^- \end{pmatrix}$
	$\begin{pmatrix} t_{\text{r}} \\ b_{\text{r}} \end{pmatrix}$	$\begin{pmatrix} t_{\text{y}} \\ b_{\text{y}} \end{pmatrix}$	$\begin{pmatrix} t_{\text{b}} \\ b_{\text{b}} \end{pmatrix}$	$\begin{pmatrix} \nu_{\tau} \\ \tau^- \end{pmatrix}$

$$\left. \begin{aligned} m(u_{\text{red}}) &= m(u_{\text{yel}}) = m(u_{\text{blue}}) ; \\ Q(u_{\text{red}}) &= Q(u_{\text{yel}}) = Q(u_{\text{blue}}) = \frac{2}{3} |e| \end{aligned} \right\}$$

Color degree of freedom turned out to be essential to generate satisfactory quark-quark force & thereby nuclear force (p, n)



# C. The Four Basic Forces

Unification of Forces.. Modified on Jan 31-2013 : 7 of 53.

Force	Acts on	Messengers (Quanta)	Strength	Range
Strong	<ul style="list-style-type: none"> <li>(p, n) → Nuclear Force</li> </ul>	Pions ( $\pi$ )	$\frac{g^2}{4\pi}$ O(1)	$10^{-13}$ cm.
	<ul style="list-style-type: none"> <li>q-q Force</li> </ul>	Gluons (g)	—	—
ELECTRO MAGNETIC	e-e, e-p	Photon ( $\gamma$ )	$\frac{1}{137}$	Infinite
WEAK RADIO- ACTIVE	<ul style="list-style-type: none"> <li><math>n \rightarrow p e^- \bar{\nu}_e</math></li> <li><math>\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu</math></li> <li><math>c \rightarrow s e^+ \nu_e</math></li> </ul>	$W^\pm$	$10^{-5}$	$10^{-16}$ cm
	<ul style="list-style-type: none"> <li><math>\nu_\mu e^- \rightarrow \nu_\mu e^-</math></li> </ul>	$Z^0$	$10^{-5}$	$10^{-16}$ cm
* Gravity	Mass & Energy	Graviton	$10^{-39}$	Infinite

## \* Superstrong Near Blackholes

All Four Forces crucial To The Cosmic Drama

→ Evolution of The Universe From Big Bang to Now /  
Origin of Life.

→ Even Neutrinos - Almost massless & Electrically neutral, with only Weak & Gravit. Forces - play such crucial roles.

→ Pre-designed ?

# IV

## Steps in the Unification Ladder

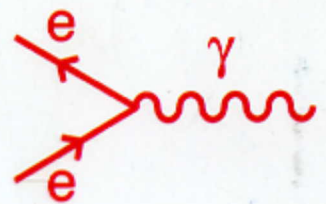
S-1) Maxwell  $\vec{E} \leftrightarrow \vec{B}$  (Late 19th Century)

S-2&3) Relativity & QM (2 Big Steps)

S-4) General Relativity (1915)

S-5) Relativistic QFT (1929-1950)

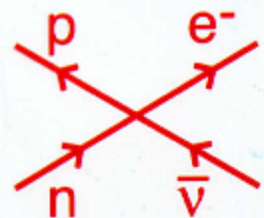
$$\begin{aligned} \mathcal{L}_{em} &= e j_{em}^\mu(x) A_\mu(x) \\ &= e [\bar{\psi}_e(x) \gamma^\mu \psi_e(x)] A_\mu(x) \end{aligned}$$



(i) Particle Creation/Annihilation

e.g.  $\mathcal{L}_{weak} = G_F (\bar{p}(x) \Gamma^\mu n(x)) (e(x) \Gamma_\mu \bar{\nu}(x))$

$n \rightarrow p e^- \bar{\nu}$



(ii) Matter ↔ Anti-matter (Dirac)

$$\begin{aligned} e^- &\leftrightarrow e^+ \\ p &\leftrightarrow \bar{p} \\ n &\leftrightarrow \bar{n} \\ \nu &\leftrightarrow \bar{\nu} \end{aligned}$$

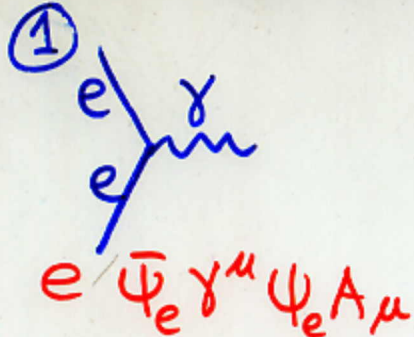
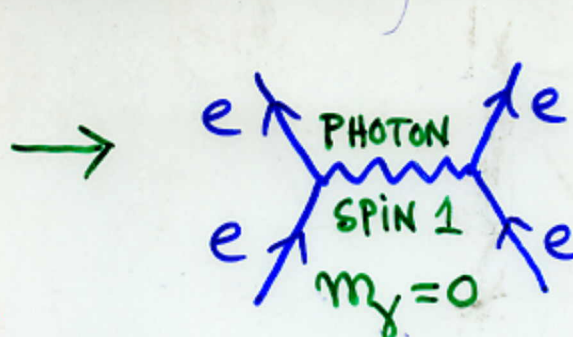
$$\frac{n_B - n_{\bar{B}}}{\eta_\gamma} \sim 10^{-10}$$

$e^- e^+ \rightarrow$  Photons

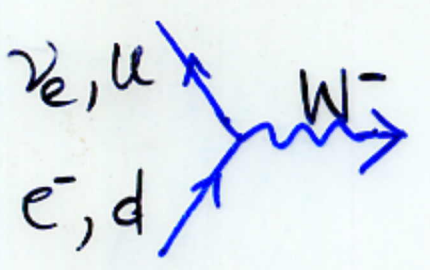
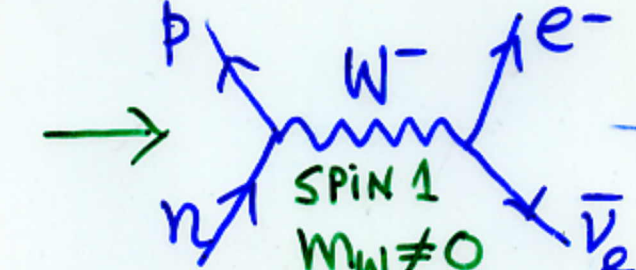
$p \bar{p} \rightarrow$  Mesons



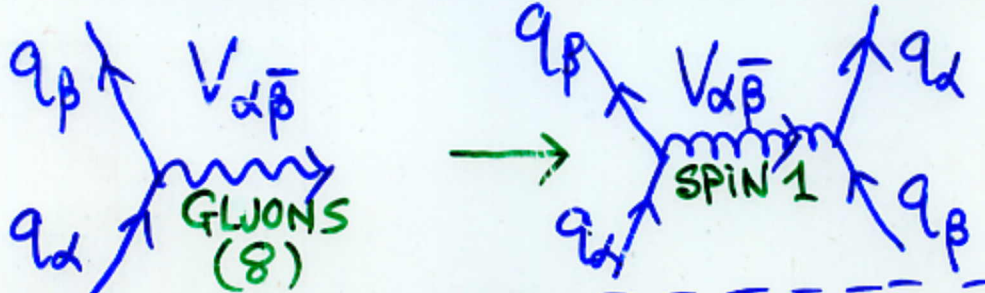
(iii) Quantum Origin of Forces: Messengers

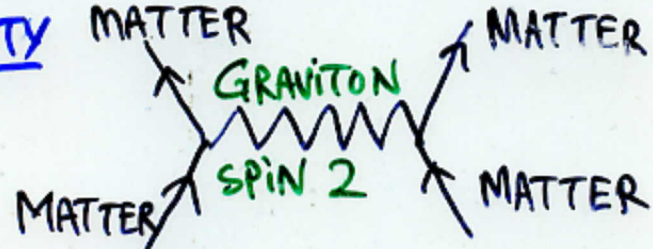
①   $e \bar{\Psi}_e \gamma^\mu \Psi_e A_\mu$   $\rightarrow$    $\rightarrow$  Long Range  $V(r) = \frac{e^2}{r}$

② THE WEAK FORCE  $g_W J_\mu^{(W^\pm)} W_\mu^\pm + hc$

  $\rightarrow$    $\rightarrow$  Short Range Force

③ The STRONG q-q Force  $g_s J_\mu^{\alpha\beta} V_\mu^{\alpha\beta} + hc$

  $\rightarrow$  NOVEL PROPERTIES  
(1) ASYMP. FREE  
(2) CONFINEMENT

④ GRAVITY   $\rightarrow$  Long Range  $V(r) \propto \frac{1}{r}$   $m_{\text{graviton}} = 0$

# UNIFICATION OF FUNDAMENTAL PARTICLES & FORCES

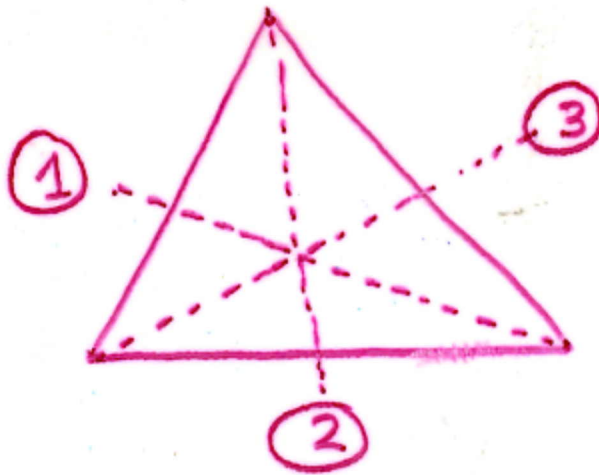
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- 1) SYMMETRY IN THE LAWS  
OF NATURE
- 2) SPONTANEOUS BREAKING  
OF SYMMETRIES



# Symmetries of Form

1)

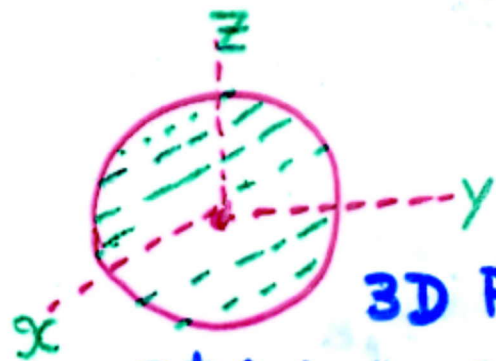


2) Circle



2D - Rotation

3) Sphere



3D Rotation

$$R^+(3) \leftrightarrow SU(2)$$

## Symmetries In The Laws of Nature

<u>Symmetry</u>	<u>Conserved Entity</u>
1) space Translation: $\vec{x} \rightarrow \vec{x}' = \vec{x} + \vec{a}$	Momentum $\vec{p}$
2) Time Translation $t \rightarrow t' = t + t_0$	Energy E
3) 3D Rotation	Angular Mom. $\vec{J}$

# GAUGE SYMMETRY: A PRINCIPLE TO GENERATE FUNDAMENTAL INTERACTIONS

## ① QUANTUM ELECTRODYNAMICS (QED)

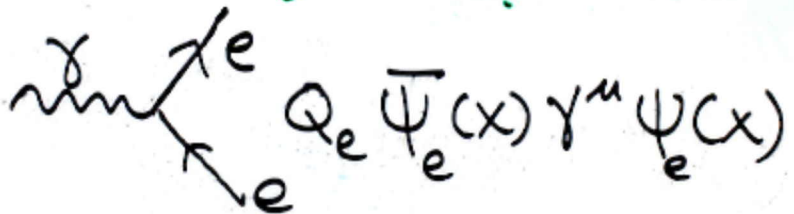
$$\mathcal{L}_{free} = \bar{\Psi}_e(x) [i\gamma^\mu \partial_\mu - m] \Psi_e(x) \quad \text{DIRAC}$$

Invariant under  $\Psi_e(x) \rightarrow e^{iQ_e\Lambda} \Psi_e(x)$

if  $\Lambda = \text{const}$ . But if demand Invariance with  $\Lambda = \Lambda(x)$ , Need to introduce a massless spin-1 field  $A_\mu(x)$  with

$$A_\mu(x) \rightarrow A_\mu(x) + \partial_\mu \Lambda(x) \rightarrow$$

$$\mathcal{L} = \bar{\Psi}_e(x) [i\gamma^\mu (\partial_\mu - iQ_e A_\mu(x)) - m] \Psi_e(x) - \frac{1}{4} F_{\mu\nu}^2 + m_A^2 A^\mu A_\mu \quad (F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu)$$



→ A PRINCIPLE TO GENERATE FUND. INTERACTIONS

→ QED Extremely Successful

→ Anom mag. moment  $(g-2)_e \rightarrow$  Verified to 10th decimal place.



# b) TRANSFORMING ONE FIELD TO ANOTHER

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$$\Psi_N = \begin{pmatrix} p(x) \\ n(x) \end{pmatrix} \rightarrow \left\{ \begin{array}{l} p \leftrightarrow n \\ p \leftrightarrow p \\ n \leftrightarrow n \end{array} \right\} \rightarrow \text{YANG \& MILLS} \\ \text{NON-ABELIAN} \\ \text{SU(2) SYMMETRY}$$

$$\Psi_N \xrightarrow{\text{SU(2)}} \left[ e^{i g \sum_{i=1}^3 \tau_i^2 / 2 \cdot A^i(x)} \right] \Psi_N(x)$$

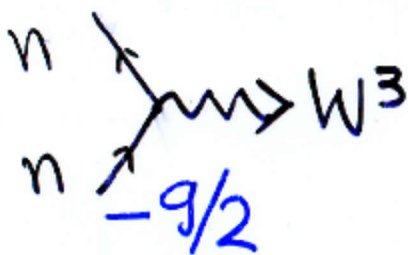
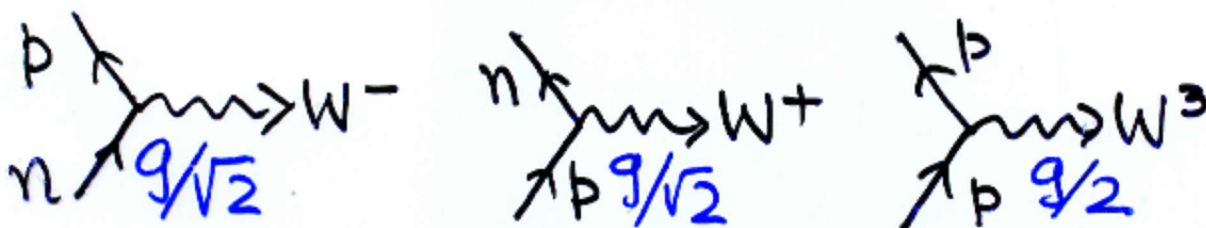
2x2 PAULI MATRICES

FOR GAUGE INVARIANCE NEED

3 MASSLESS SPIN 1 FIELDS

CAN BE  
→ MESSENGERS  
OF  
WEAK FORCE

$W^+, W^-, W^3$

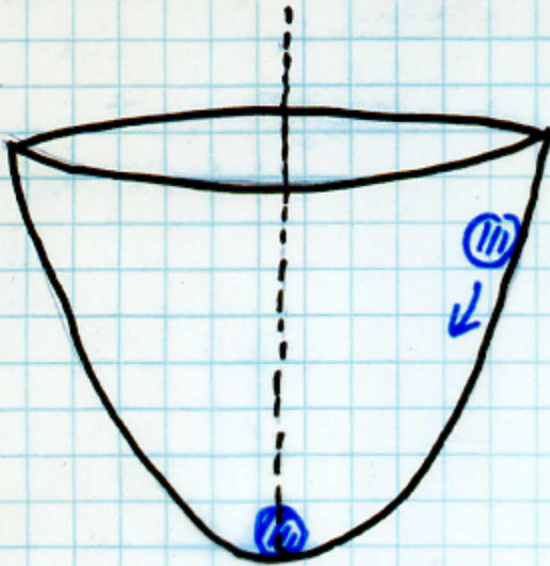


NON-ABELIAN

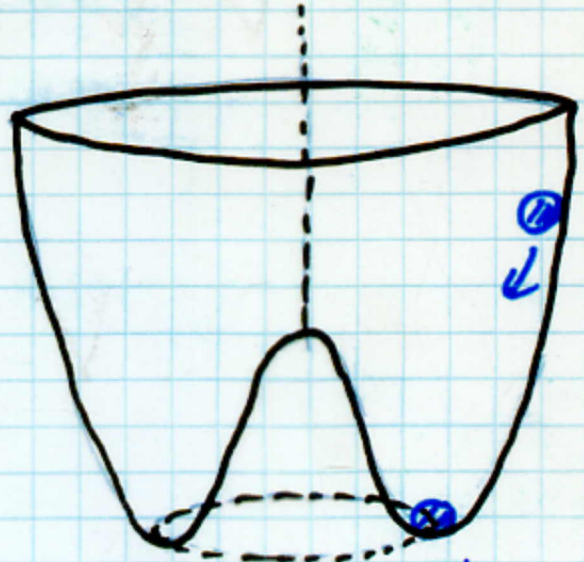
BUT HOW TO GIVE MASSES  
TO W'S ?



# S-8 Spontaneous Breaking Of Symmetry: Higgs Mechanism



Ground State Preserves Symmetry



Ground state Breaks Symmetry

(Higgs / Englert & Brout / Kibble.. ← Anderson)

Even if Symmetry exact in Basic Eqns, Solutions need not preserve the Symmetry!

$W^+, W^-, W^3$  become massive through SSB

① Preserves Good Quantum Behaviour // Renormalizable like QED

't Hooft  
Veltman

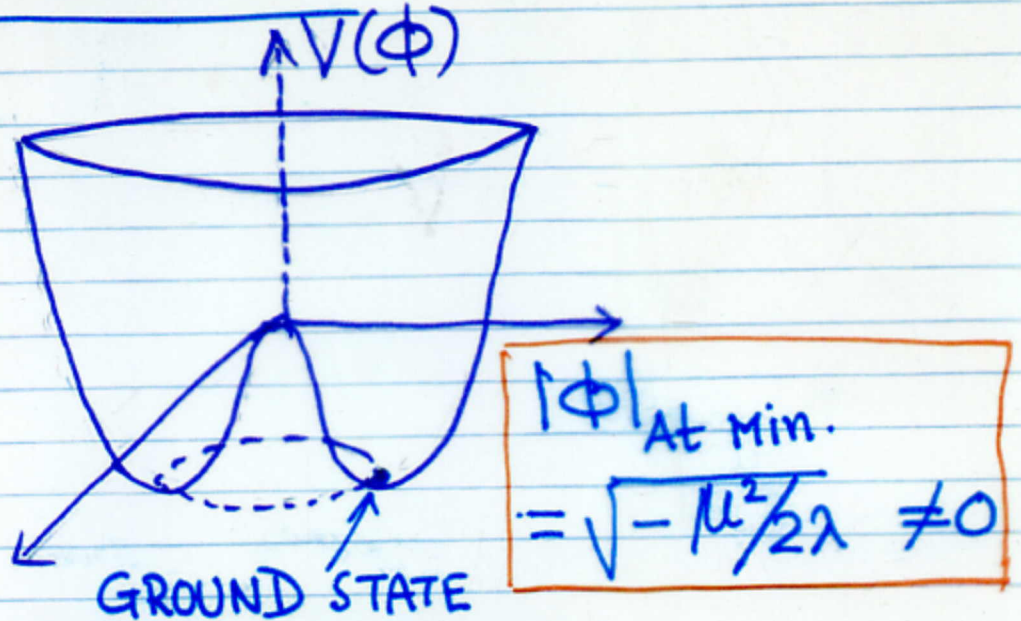
② Opens The Door To Higher Unification



## spin-0 Higgs Field $\phi(x)$

$$V(\phi) = \mu^2 \phi(x) \phi^*(x) + \lambda (\phi \phi^*)^2$$

$$\mu^2 < 0, \lambda > 0$$



$$\langle \text{Ground state} | \phi | \text{Ground state} \rangle \neq 0$$

Short Hand  $\langle 0 | \phi | 0 \rangle$  or  $\langle \phi \rangle \neq 0$

$\uparrow$  VEV  $\rightarrow$  Vacuum Exp. Value

$$\langle \phi \rangle \neq 0 \rightarrow \text{symmetry Spont'ly Broken}$$

# S-9 ELECTROWEAK UNIFICATION (SU(2) x U(1))

Weinberg / Salam / Glashow (1961-68)

$$\psi^e = \left(\frac{1+\gamma_5}{2}\right) \psi^e + \left(\frac{1-\gamma_5}{2}\right) \psi^e$$

$$\equiv \psi_L^e + \psi_R^e$$

If  $m_e=0 \rightarrow$   $\left( \begin{array}{c} \text{MOMENTUM} \rightarrow \\ \leftarrow \text{SPIN} \end{array} \right)$  LEFT CHIRAL

$\left( \begin{array}{c} \text{MOMENTUM} \rightarrow \\ \Rightarrow \text{SPIN} \end{array} \right)$  RIGHT CHIRAL

$SU(2)_L \rightarrow$	$\begin{pmatrix} u \\ d \end{pmatrix}_L$	$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L$	$u_R$	$d_R$	$e_R$	$\begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}$	$\text{NO } \psi_R$
$Y \rightarrow$	$\frac{1}{3}$	$-1$	$\frac{4}{3}$	$-\frac{2}{3}$	$-2$	$1$	

$$SU(2)_L \times U(1)_Y \xrightarrow[\text{SSB}]{\langle \phi \rangle \sim 250 \text{ GeV}} U(1)_{em}$$

$\downarrow$   
PHOTON

$(W^+, W^-, W^3) (B^0)$

$W^+, W^-$  massive  $\rightarrow$  Yet Theory Renormalizable

$$\begin{pmatrix} W^3 \\ B^0 \end{pmatrix} \rightarrow \begin{cases} Z^0 = \cos\theta W^3 + \sin\theta B^0 \rightarrow \text{Massive} \\ A = -\sin\theta W^3 + \cos\theta B^0 \rightarrow \text{Massless} \end{cases}$$

Predict  $m_{W^\pm} \approx 80 \text{ GeV}$ ,  $m_Z \approx 90 \text{ GeV}$   
 MANY MORE // EXPECT HIGGS AT TEVATRON OR LHC



$$\underline{SU(2)_L \times U(1)}$$

$$\begin{aligned} \text{PHOTON} &= W_3 \cos\theta + B^0 \sin\theta \\ Z^0 &= -W_3 \sin\theta + B^0 \cos\theta \end{aligned}$$

PREDICT

$$\begin{aligned} \textcircled{1} \quad m_{W^\pm} &\approx 80 \text{ GeV} \\ m_{Z^0} &\approx 90 \text{ GeV} \end{aligned} \quad \left. \vphantom{\begin{aligned} m_{W^\pm} \\ m_{Z^0} \end{aligned}} \right\} \text{ FOUND}$$

Host of  
 $\textcircled{2}$  NEUTRAL CURRENT INT

$$\begin{array}{c} \nu_\mu \\ \nu_\mu \end{array} \left. \begin{array}{c} \nearrow \\ \searrow \end{array} \right\} Z^0 \left. \begin{array}{c} \nearrow \\ \searrow \end{array} \right\} \begin{array}{c} e \\ e \end{array}$$

$\nu_\mu e \rightarrow \nu_\mu e$   
 $\nu p \rightarrow \nu p$   
 $\bar{\nu} N \rightarrow \bar{\nu} N$   
 $e p \rightarrow e p$  (Parity Violation)

} FOUND

$\textcircled{3}$  EXPECT HIGGS BOSON  $\sim 100 - 200 \text{ GeV}$   
 $m_{H^0}$  AT TEVATRON OR  
 AT LEAST AT LHC!

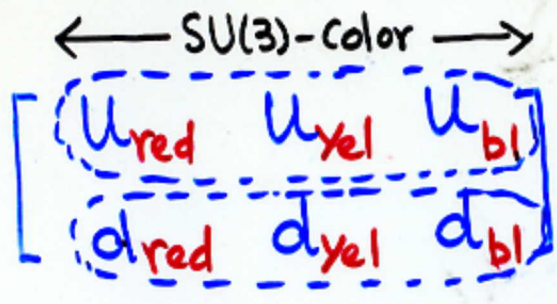
# ③ Color Gauge Force: Origin of Strong Interaction

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Need Color degree of freedom for quarks

Greenberg/Han & Nambu 1964/65

$$\pi^+ p \rightarrow \Delta^{++} (J=3/2^+) \rightarrow u \uparrow u \uparrow u \uparrow \rightarrow \epsilon_{\alpha\beta\gamma} u_\alpha u_\beta u_\gamma$$



Nambu (65)  
Superstrong Color Force  
⊕  
Med. Strong (p,w) Force  
Fundamental

1972  $SU(2)_L \times U(1)_Y \times SU(3)_C$

- The only way to generate strong Int. by a gauge principle, together with weak & EM int.
- SU(3) color gauge force → The ONLY SOURCE of Fund. strong Int →  $N\pi, NP, NW$  are eff. int. (1972)
- Asymptotic Freedom: (Gross & Wilczek/Politzer → 1973)

$$q^u = \begin{pmatrix} q^u_{red} \\ q^u_{yel} \\ q^u_{bl} \end{pmatrix} \rightarrow e^{i \vec{\lambda}/2 \cdot \vec{\Lambda}(x)} q^u(x)$$

↓

$$SU(3)^f \Rightarrow 3^2 - 1 = \boxed{8} \text{ spin 1 gluons}$$







B) SM Now Brilliantly Successful

since 1972, both the  $SU(2) \times U(1)$  EW Theory & QCD have been confirmed by numerous experiments

→ A Triumph of Gauge Principle & SSB

→ Waiting To see Higgs at LHC/Fermilab

But ∃ Clear Evidence For Physics Beyond SM

- 1) 2) Masses ( $\sqrt{\Delta m^2(\nu)_{23}} \sim 1/20 \text{ eV}$ )
- 2) Higgs Mass Fine Tuning
- 3) Need For Inflation  $\begin{cases} \rightarrow \text{Horizon} \\ \rightarrow \text{Flatness } \Omega = 1 \end{cases}$
- 4) Dark Matter (Cold):  $\Omega_{CDM} \approx 0.23$
- 5) Baryogenesis  $\leftrightarrow$  Leptogenesis

All Five Go Well With SUSY Grand Unification!

There exists  $\Downarrow$  PHYSICS BEYOND SM

- 6) Dark Energy: Cosm. Const.  $\Omega_{\Lambda} \approx 0.72$  } A MYSTERY FOR ALL THEORIES



# II) GRAND UNIFICATION: OVERVIEW

## A) MOTIVATIONS (1972) → PURELY AESTHETIC

- ① Remove arbitrariness in choice of SM Quantum NOS. ( $U(1)_Y, SU(2)_L, SU(3)_C$ )
- ② Quantization of  $Q_{em}$
- ③  $Q_{e^-} = -Q_p$
- ④ Co-existence of  $q$  &  $\bar{q}$
- ⑤ Co-existence of (W, EM, strong) ( $g_1, g_2, g_3$ )

## MAIN IDEA (1972-74)

- ① Unify  $\{q \& \bar{q}\} \rightarrow$  { One kind of Matter  
Symmetry Group  $G$
  - ② Unify  $\{W, EM \& strong\} \rightarrow$  { ASPECTS OF ONE FORCE  
Gauge symm.  $G$
- $G \xrightarrow[\text{SSB}]{\langle \Sigma_i \rangle \sim M} SU(2)_L \times U(1)_Y \times SU(3)_C \xrightarrow[\sim 250 \text{ GeV}]{\langle \Phi_H \rangle} U(1)_{em} \times SU(3)_C$

Distinctions between  $\{q \& \bar{q}\}$  &  $\{W, EM \& strong\}$  forces → Low Energy Phenomena arising from SSB → To disappear at predictably high energies

↓  
MANY TESTABLE PREDICTIONS



# B) Alt. Routes To Grand Unification

$$G(213) = SU(2)_L \times U(1)_Y \times SU(3)_C$$

$$\left( \begin{matrix} u_r & u_y & u_b \\ d_r & d_y & d_b \end{matrix} \right)_L^{1/3}, \left( \begin{matrix} u_r & y & b \end{matrix} \right)_R^{4/3}, \left( \begin{matrix} d_r & y & b \end{matrix} \right)_R^{-2/3}, \left( \begin{matrix} \nu_e \\ e^- \end{matrix} \right)_L^{-1}, \left( e^- \right)_R^{-2}$$

5 disconn. multiplets // Arb.  $Y_W, SU(2), SU(3) \leftarrow Q.NOS.$

1972  $G(224) = SU(2)_L \times SU(2)_R \times SU(4)_{L+R}^C \times (L+R)$

$$F_{L,R}^e = \begin{bmatrix} u_r & u_y & u_b & \nu_e \\ d_r & d_y & d_b & e^- \end{bmatrix}_{L,R} \quad \begin{matrix} F_L^e = (2, 1, 4) \\ F_R^e = (1, 2, 4) \end{matrix}$$

$$Q_{em} = I_{3L} + I_{3R} + \frac{B-L}{2}$$

## Advantages of G(224)

- All 16 in one L-R Conj. multiplet // L-R Symm
- Explain  $Y_W$  & All Q.NOS. // Quantize  $Q_{em}$  //
- $Q_{e^-} = -Q_p$  //  $\nu_R // B-L$   $\leftarrow$  Need For Seesaw & Leptogenesis

All Advantages of G(224) retained by SO(10), not SU(5)

$$\downarrow$$

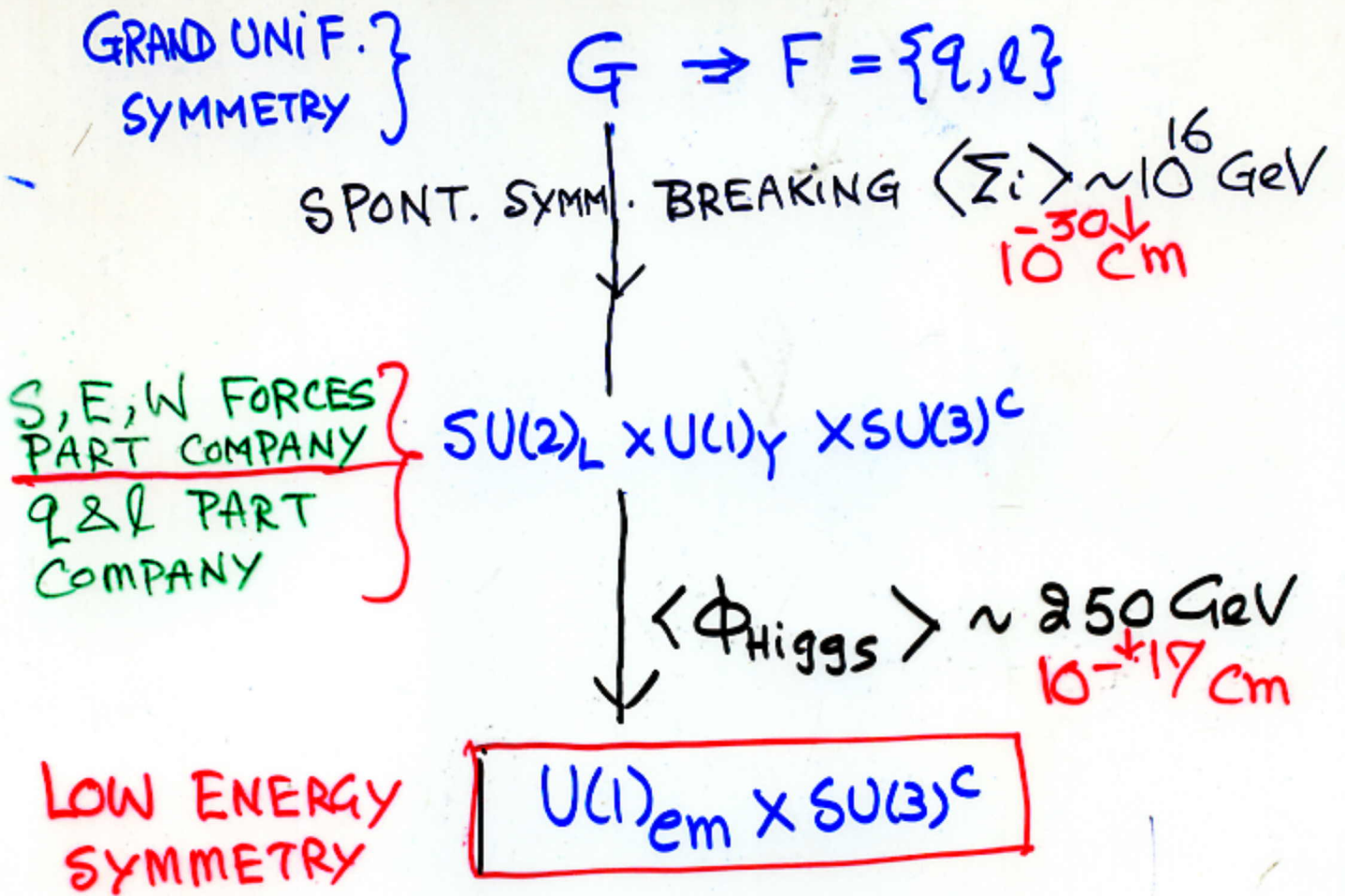
SO(10): 16 (one coupling)

SU(5):  $\bar{5} + 10$   
NO  $\nu_R, NO B-L$  }  $\rightarrow$  Problem with  $\nu$  Masses & Leptogenesis.



# V SOME PREDICTIONS OF GRAND UNIFICATION

## Main IDEA



EVEN THOUGH GRAND UNIF SYMM. HOLDS AT VERY VERY HIGH ENERGIES  $\sim 10^{16} \text{ GeV}$ , FORTUNATELY IT MAKES MANY PREDICTIONS THAT CAN BE TESTED AT LOW ENERGIES.

# Four Striking Consequences of These Ideas

① Meeting of <sup>The</sup> Gauge Couplings

②  $m_1 \neq 0$  &  $m_2$  small as observed

③ Proton Decay



$p \rightarrow e^+ \pi^0$

With SUSY ( $d=5$ )

$p \rightarrow \bar{\nu} K^+$   
 $\rightarrow \bar{\nu} \pi^+$

$d=6 \rightarrow \tau^{-1}(p \rightarrow e^+ \pi^0) \approx 10^{35 \pm 1}$  yrs. Theory

$d=5 \rightarrow \tau^{-1}(p \rightarrow \bar{\nu} K^+) \approx 10^{30-34}$  yrs. SUSY SO(10) or SUSY G(224)

④ A General Framework, especially with  $\nu_R$  & B-L as above, for Matter-Antimatter Asymmetry.



(C) Supersymmetry

Golfand, Likhtman (71)  
Wess, Zumino (74)

Fermions  $\xleftrightarrow{Q}$  Bosons  
Spin  $1/2$  Spin 0 or 1

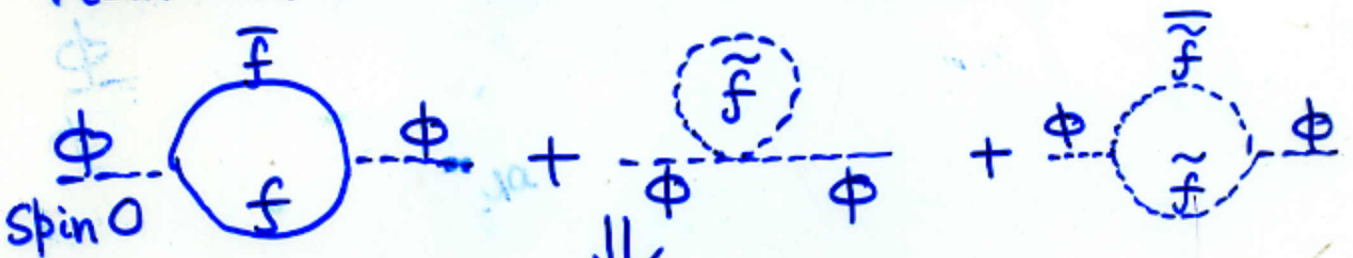
$$\{Q, \bar{Q}\} = 2\sigma^\mu P_\mu$$

(Quark) $_{1/2} \iff$  squark ( $\tilde{q}$ ) $_0$

(lepton) $_{1/2} \iff$  slepton ( $\tilde{l}$ ) $_0$

(photon) $_1 \iff$  photino ( $\tilde{\gamma}$ ) $_{1/2}$

Remarkable quantum properties



$$\delta m_{\text{Higgs}}^2 \sim \frac{\alpha_Y}{\pi} \left| m_f^2 - m_{\tilde{f}}^2 \right|$$

Fermion      Boson

Expect  $(m_{\tilde{q}}, m_{\tilde{l}}, m_{\tilde{g}}) \lesssim 1\text{TeV}$  (LHC)

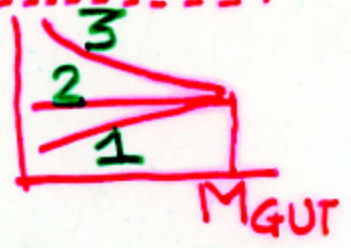
- Goes Well with Grand Unification //
- Needed by String Theory
- Lightest SUSY Particle  $\rightarrow$  Candidate for Cold Dark Matter.



# D) EVIDENCE FOR GRAND UNIFICATION

- 1) Family structure - Quantum Nos.
- 2) Charge Quantization
- 3)  $Q_{e^-} = -Q_p$

4) Meeting of The 3 gauge Couplings  
 SUSY Grand Unification



$M_{GUT} \approx 2 \times 10^{16} \text{ GeV}$

$\sqrt{\Delta m^2(\nu)_{23}} \approx 1/20 \text{ eV}$

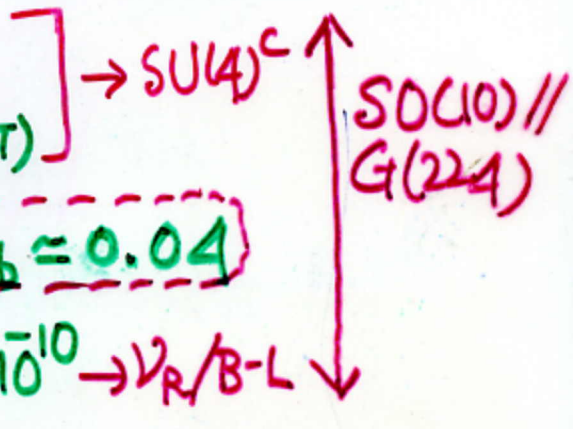
$\leftrightarrow SU(4)^c \rightarrow \nu_R // B-L$   
 $m(\nu^c)_{Dirac} //$   
 $M_{GUT} \rightarrow M_{\nu} \nu_R$   
 Seesaw

6)  $m_b(GUT) = m_e$

7)  $m(\nu^c)_{Dirac} = m_{top}(GUT)$

8)  $\theta(\nu_\mu - \nu_e) \approx \pi/4 \leftrightarrow V_{cb} = 0.04$

9) LEPTOGENESIS  $\rightarrow Y_B \sim 10^{-10} \rightarrow \nu_R/B-L$



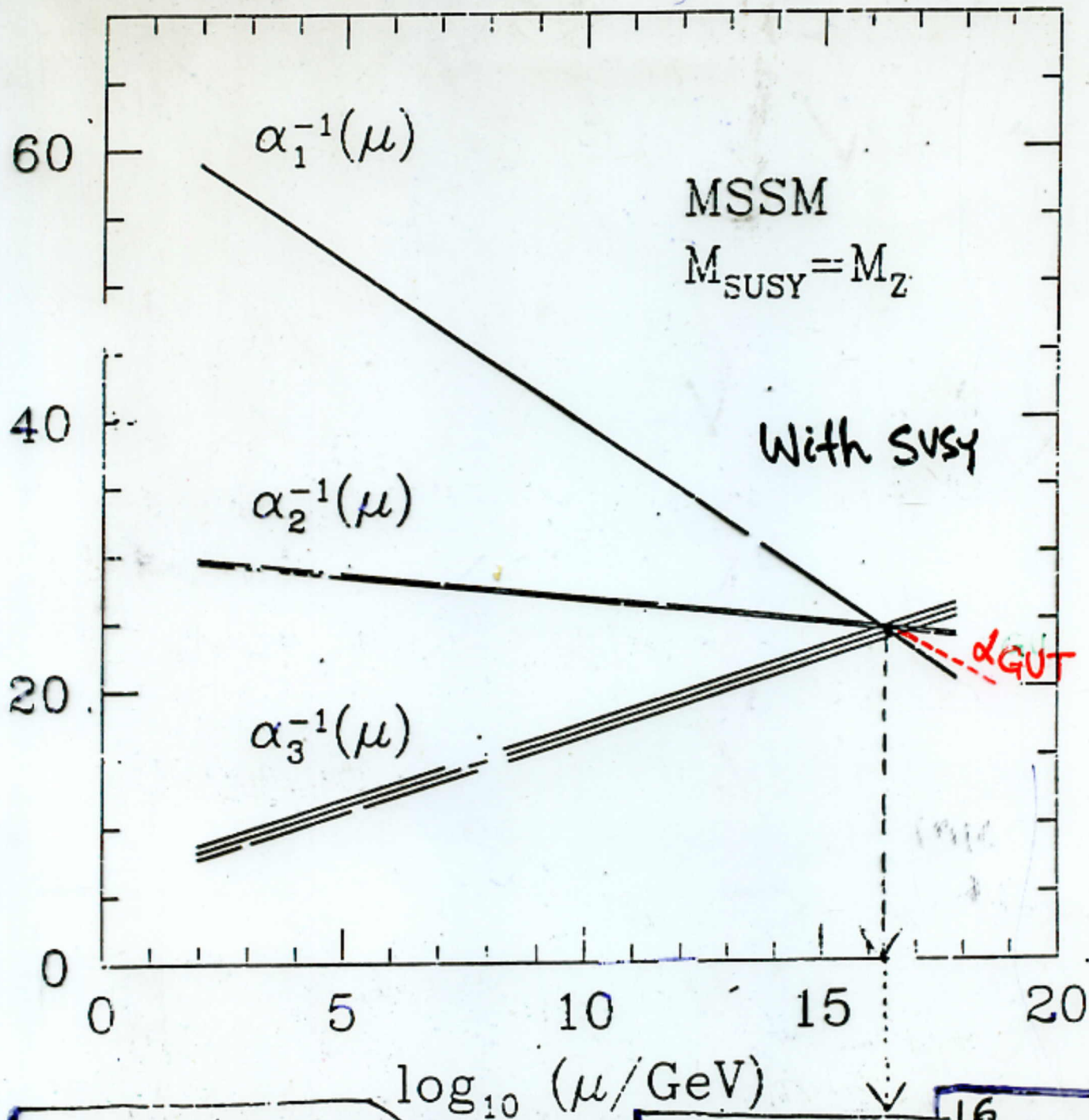
SUCCESS OF ALL 9 FEATURES NON-TRIVIAL!

TOGETHER MAKE A STRONG CASE FOR SUSY GRAND UNIF // SIMULT. FOR AN EFF. SYMMETRY LIKE SO(10) OR MINIMALLY A STRING-DERIVED G(224) SYMMETRY



8.1  
7.2

# Gauge Coupling Unification With SUSY



Supports SUSY Unification

$M_{GUT} \approx 2 \times 10^{16} GeV$

$\sin^2 \theta_W(m_Z)_{th} = 0.2315 \pm 0.003$

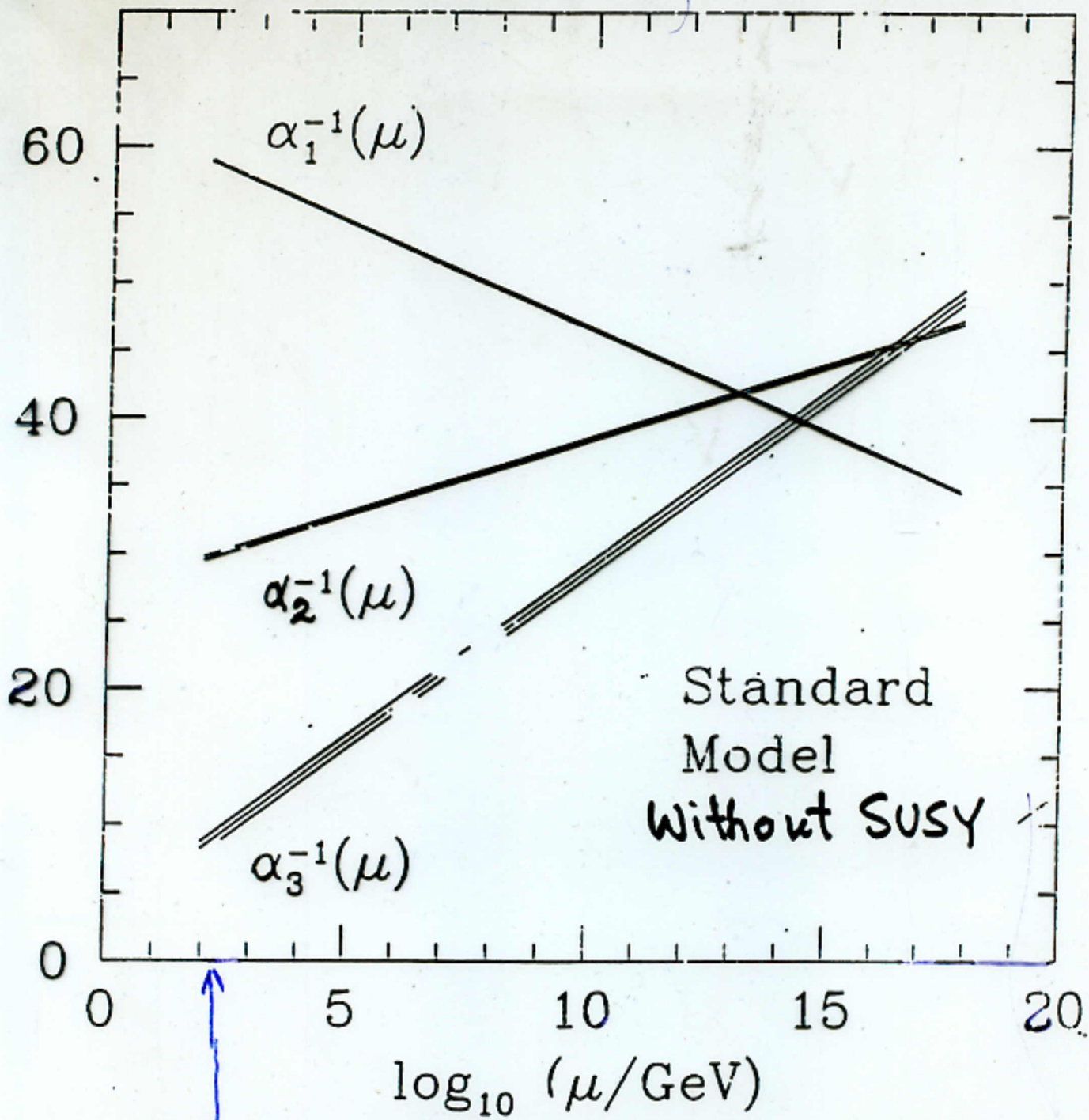
$\sin^2 \theta_W(m_Z)_{EXPT} = 0.23124 \pm 0.00017$

$\alpha_3(m_Z)_{theory}^0 \approx 0.125 - 0.13$

$\alpha_3(m_Z)_{obs} = 0.118 \pm 0.003$

GUT THRESHOLD CORRECTION

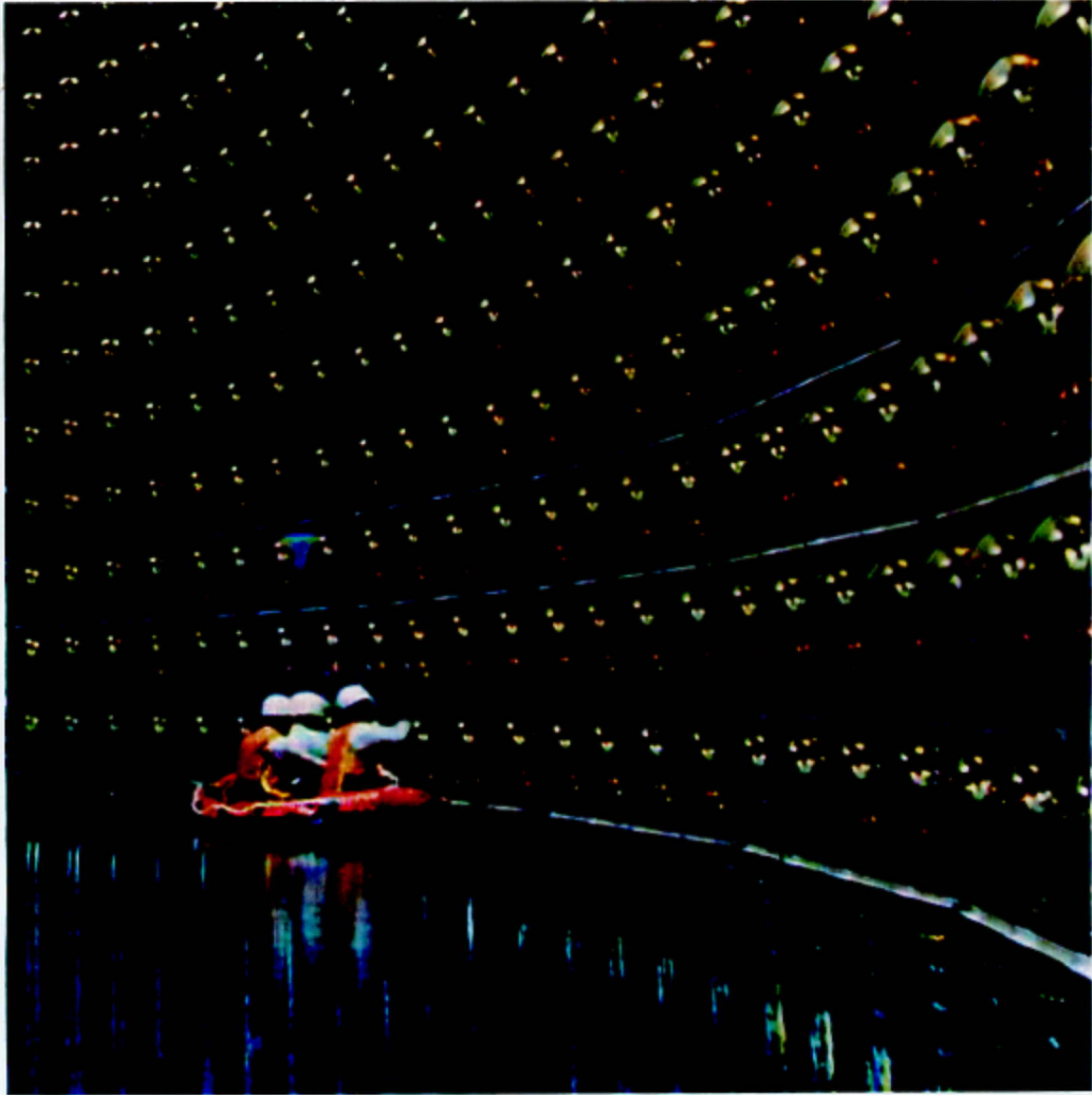
# Coupling Unification Without SUSY



$\alpha_i$ 's Measured at LEP  
at  $\sim 100$  GeV



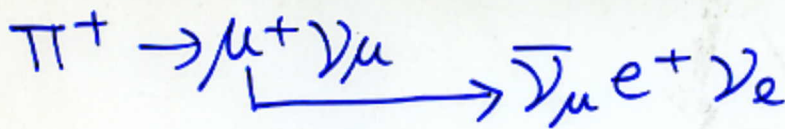
a



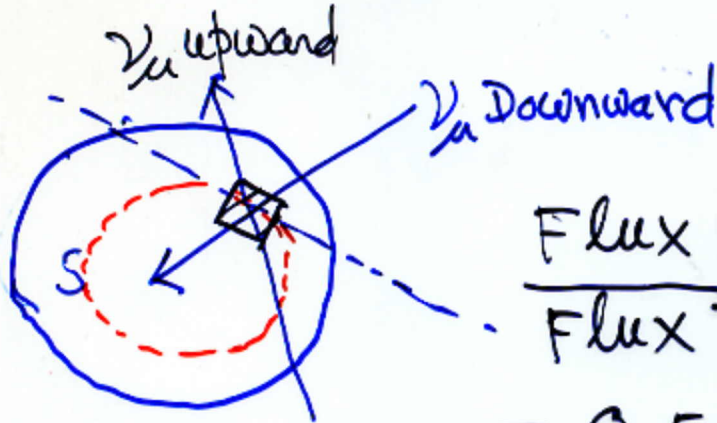
**SUPERKAMIOKANDE** water Cerenkov detector  
Discovery of Atmospheric Neutrino Oscillation, 1998

# Discovery of $\nu$ Oscillations

(1) Atmospheric (SuperK) 1998  
50 KT Water Cherenkov Detector



For  $E_\nu > \text{A Few GeV}$   
Cosmic ray flux isotropic



With MultiGeV  $\nu$ 's

$$\text{Flux up } (-1 < \cos \theta_z < -0.2)$$

$$\text{Flux Down } (+0.2 < \cos \theta_z < +1)$$

$$= 0.54 \pm 0.04$$

$\Rightarrow \nu_\mu$  has converted to  $\nu_x = \nu_\tau$



$$|\Delta m_{23}^2(\nu)| = |m_3^2 - m_2^2| = (2.5 \pm 0.3) \times 10^{-3} \text{ eV}^2 \approx (\frac{1}{20} \text{ eV})^2$$

$$\sin^2 2\theta_{23} = 1.02 \pm 0.04$$

$$P(\nu_\mu \rightarrow \nu_x)_L = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

$$= \sin^2 2\theta \sin^2 \left[ \frac{1.27 \Delta m^2(\text{eV}^2) L(\text{Km})}{E(\text{GeV})} \right]$$



Neutrino Mass with  $\nu_L$  &  $\nu_R$  as in  $SU(4)$  - Color

① Can have Dirac Mass

$$h_{\phi}^i \bar{\nu}_R^i \nu_L^i \langle \phi \rangle + h.c. \quad (\Delta L = 0)$$

$$\Rightarrow m(\nu^i)_{\text{Dirac}} = h_{\phi}^i \langle \phi \rangle \approx h_{\phi}^i (200 \text{ GeV})$$

② BUT  $\nu_R$  can have superheavy Majorana Mass

$$h_M \nu_R^T \nu_R \langle \Sigma^{\text{eff}} \rangle \Rightarrow M(\nu_R) = h_M \langle \Sigma \rangle \sim h_M (\text{GUT-Scale})$$

$\Delta L = -2$

$$\begin{bmatrix} \nu_L & \bar{\nu}_R \\ \nu_L & 0 \\ \bar{\nu}_R & m(\nu)_D \\ \bar{\nu}_R & M(\nu_R) \end{bmatrix} \rightarrow \approx \begin{bmatrix} \nu_L & \bar{\nu}_R \\ -m(\nu)_D^2 / M(\nu_R) & 0 \\ 0 & M(\nu_R) \end{bmatrix}$$

$\Rightarrow$  SeeSaw  $m(\nu)_L \approx m(\nu)_D^2 / M(\nu_R)$

$\lll m(\nu)_D$   
 ↓  
 NATURALLY SUPERLIGHT

# III A) Insight From SuperK Result: $\sqrt{\Delta m_{23}^2} \approx 1/30 \text{ eV}$ 49

SeeSaw  
ignore mixing  
for a moment

$$m(\nu_L^c) \approx \frac{m(\nu_{\text{Dirac}}^c)^2}{M(\nu_R^c)}$$

(a)  $m(\nu_{\text{Dirac}}^c) \approx m_t (M_x) \approx 120 \text{ GeV}$  ←  $SU(4) - \text{Color}$   
 $SU(5), [SU(3)]^3$

$m_b \approx m_c$

(b) Get  $M(\nu_R^c)$  from SUSY uni f. scale:  $M_x \approx 2 \times 10^{16} \text{ GeV}$

$$f_{33} \frac{16_3 16_3 \langle \overline{16}_H \rangle \langle \overline{16}_H \rangle}{M} \Rightarrow M(\nu_R^c) \sim \frac{(2 \times 10^{16} \text{ GeV})^2}{10^{18} \text{ GeV}}$$

$(\approx 1)$   $M \rightarrow \sim 10^{18} \text{ GeV}$   $\approx 4 \times 10^{14} \text{ GeV } (\frac{1}{2} - 2)$

$$m(\nu_L^c) \sim \frac{(120 \text{ GeV})^2}{4 \times 10^{14} \text{ GeV}} \approx \left(\frac{1}{30} \text{ eV}\right) \left(\frac{1}{2} \text{ to } 2\right)$$

Also get  $m(\nu_L^{\nu}) \sim \frac{m(\nu_L^c)}{10} \Rightarrow \sqrt{\Delta m_{23}^2} \approx \left(\frac{1}{30} \text{ eV}\right) \left(\frac{1}{2} - 2\right)$

Thus SuperK result brings to light the existence of  $\nu_R$  // reinforces the ideas of  
a) SeeSaw // (b)  $SU(4)$  color // & (c) SUSY Unif



# Summary on Fermion Masses & Mixings (Babu, Pati Wilczek)

Unification of Forces... Modified on Jan 31-2013 : 33 of 53.

## Predictions

$$m_b(m_b) \approx (4.7 - 4.9) \text{ GeV}$$

$$\sqrt{\Delta m_{23}^2(\nu)} \sim (1/24 \text{ eV}) (\frac{1}{2} - 2)$$

$$V_{cb} \approx 0.043$$

$$\sin^2 2\theta_{\nu\mu\nu}^{\text{osc}} \approx \boxed{0.92} \leftrightarrow \boxed{0.995}$$

$m_{\nu_2}/m_{\nu_3} \approx 1/10 - 1/5$

$$V_{us} \approx 0.23$$

$$|V_{ub}| \approx 0.0032$$

$$m_d(1 \text{ GeV}) \approx 8 \text{ MeV}$$

$$m(\nu_2) \approx (2 - 10) \times 10^{-3} \text{ eV} \leftrightarrow$$

$$m(\nu_1) \sim (1 \text{ to few}) \times 10^{-3} \text{ eV}$$

## Observations

$$\approx 4.2 \text{ GeV}$$

$$\approx (1/15 - 1/25) \text{ eV} \otimes$$

$$\approx 0.04$$

$$\approx 0.92 \leftrightarrow 1$$

$$\approx 0.22$$

$$\approx 0.003 - 0.004$$

$$\approx 6 - 8 \text{ MeV}$$

$$\left\{ \begin{array}{l} \text{SMA} \sim 3 \times 10^{-3} \text{ eV} \\ \text{LMA} \approx 7 \times 10^{-3} \text{ eV} \end{array} \right.$$

Consistent with the framework

$$M(\nu_R^3, \nu_R^2, \nu_R^1) \approx (10^{15}, 2 \times 10^{12}, (1/3 - 3) \times 10^{10}) \text{ GeV}$$

Just right for leptogenesis

NOTE  $\nu_R$  Masses Necessarily Hierarchical



# 2) Masses $\leftrightarrow$ Matter - AntiMatter Asymmetry

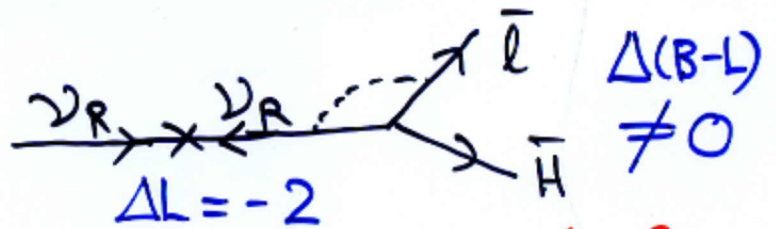
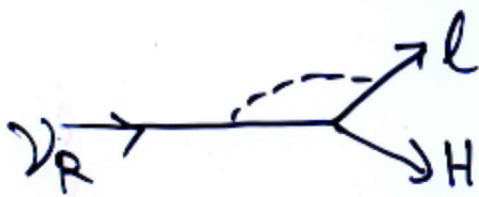
Starting at baryon-symmetric Universe at  $t=0$  (Big Bang) or just at the beginning of reheating after inflation, what eventually caused

$$Y_B \equiv \frac{n_B - n_{\bar{B}}}{s} = 8.7 \times 10^{-11} \quad (\text{WMAP})$$

Sakharov Need (1967)  $\left\{ \begin{array}{l} \textcircled{1} C \ \& \ CP \ \text{Non-Conservation} \\ \textcircled{2} \Delta B \neq 0 \ \text{Processes} \\ \textcircled{3} \text{Thermodynamic Non-equilibrium} \end{array} \right.$

## Most Promising Explanation

$M(\nu_R) \nu_R^T \nu_R + \text{h.c.} \quad (\Delta L = \pm 2)$



Fukugita Yanagida  $\Rightarrow n_l \neq n_{\bar{l}} \quad \text{With } T_{RH} \sim 10^6 - 10^8 \text{ GeV}$   
 $Y_L \neq 0 \xrightarrow{SU(2) \times U(1)} Y_B \neq 0 \quad (\text{sphaleron Effect})$

Quantitatively NATURALLY Get

$$Y_B \approx (10 - 100) \times 10^{-11} \quad (\text{As Needed})!$$

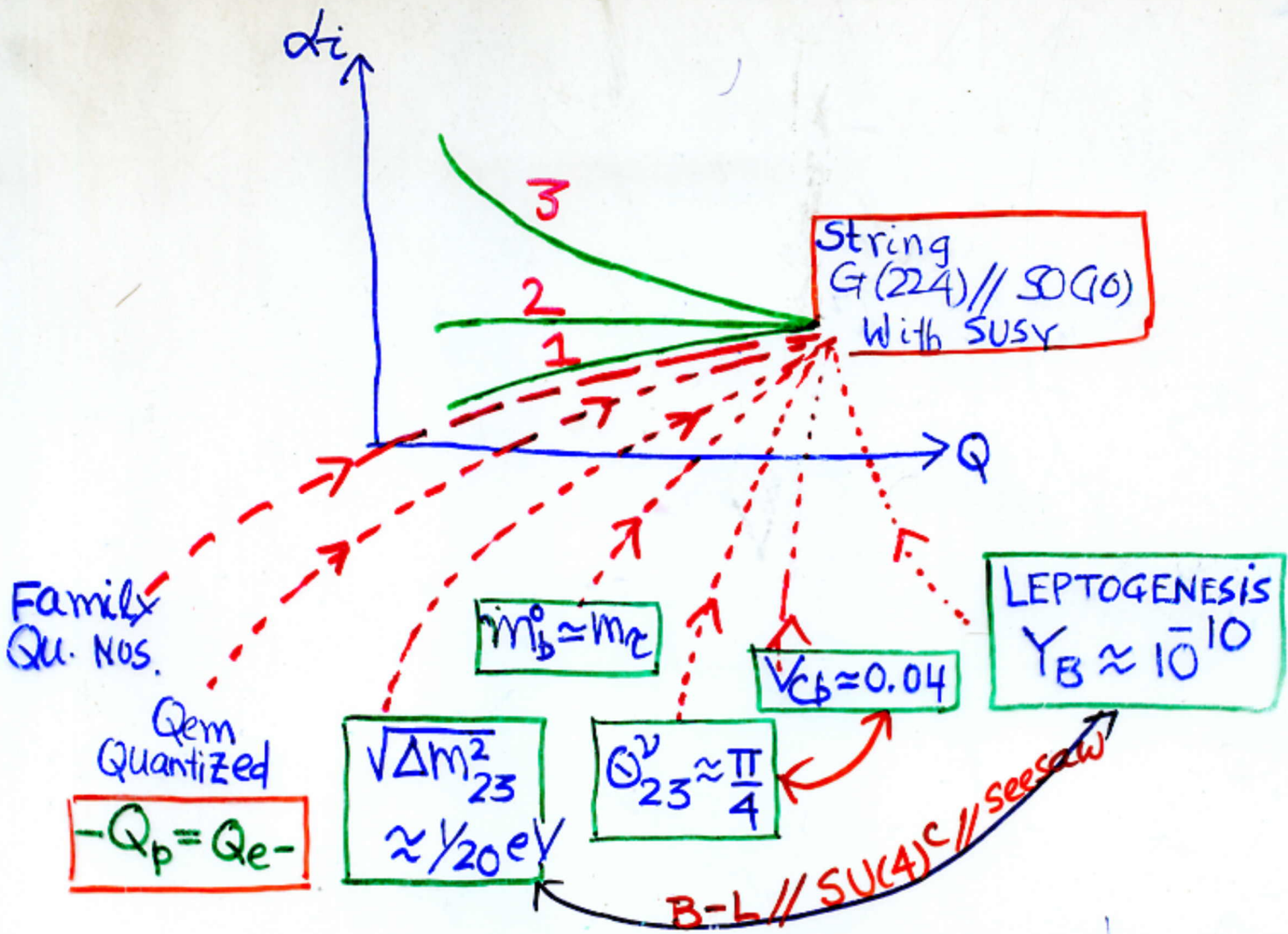
For  $\Phi_{CP} \sim 1/10 - 1$



# Rendezvous In Particle Physics

Unification of Forces... Modified on Jan 31-2013 : 35 of 53.

25  
27



ALL THESE FEATURES & MORE HANG TOGETHER NEATLY IN A SINGLE UNIFIED FRAMEWORK → HARD TO IMAGINE THIS CAN BE MERE COINCIDENCE

⇓  
TWO MISSING PIECES

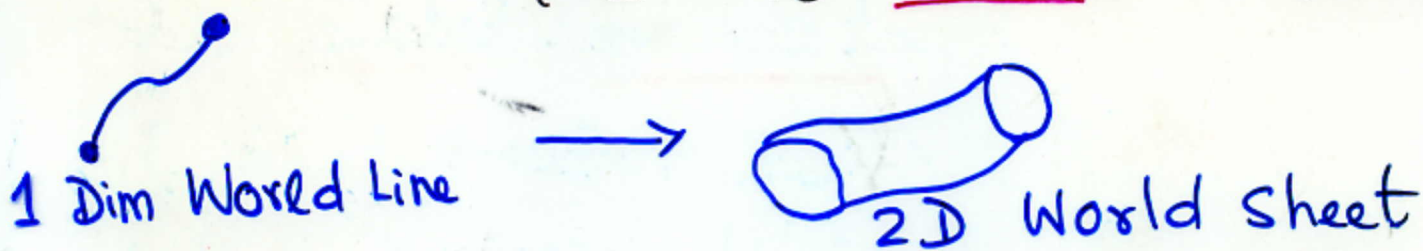
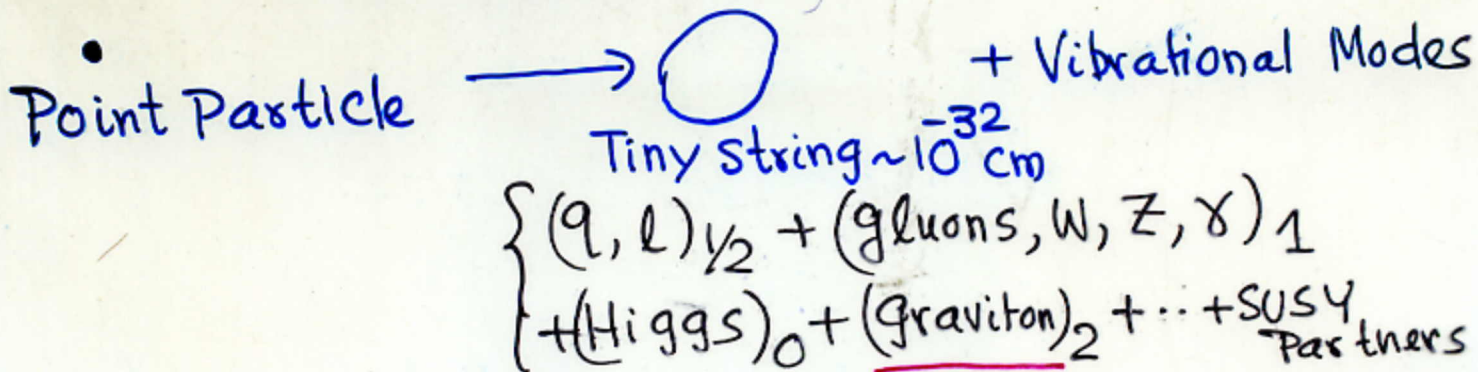
- PROTON DECAY → Need NEXT GEN. DETECTOR
- SUPERSYMMETRY → LHC

# S-14 Superstring / M-Theory

Unification of Forces... Modified on Jan 31-2013 : 36 of 53.

22  
Green, Schwarz Z (84)  
/ Witten (95) .....

$$d = 10 / 11$$



- THE MOST UNIFYING THEORY OF ALL
- Predicts gravity, together with the other forces — Unifies all four forces
- Different Elementary Entities  $\rightarrow$  Diff. Vibrational Modes of one & the same Entity — Unifies All matter
- Good Quantum Theory of Gravity
- In principle Can go sufficiently beyond Grand Unification in predictions
  - (i)  $\neq$  Families = 3 ?
  - (ii) Hierarchical Masses & Mixings ?



- In practise, not yet possible to extract either a unique or a non-densely discrete set of solns for the ground state of the theory.
- Thus not yet possible to compare string theory with experiments
- The theory still poorly understood both in formulation and in its non-perturbative aspects
- Very likely some essential ingredients still missing
- A GREAT CHALLENGE TO FIND A TRULY UNIFIED QUANTUM THEORY OF GRAVITY, which is PREDICTIVE

Einstein  $\rightarrow$  Friedman / Lemaitre :  $d(t) = R(t) d(t_0)$

Unification of Forces... Modified on Jan 31, 2013 : 38 of 53

Universal Scale Factor

$$\left(\frac{\dot{R}}{R}\right)^2 + \frac{K}{R^2} = \frac{8\pi G \rho}{3} + \frac{\Lambda}{3}$$

If  $\Lambda = 0$

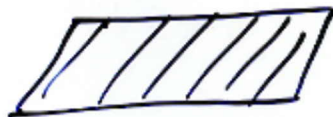
$K$  = curvature of space  $> 0$  +ve curvature

$K < 0$



Sphere (closed, finite)  
Saddle (Infinite, open)

$K = 0$



Flat (Infinite, open)

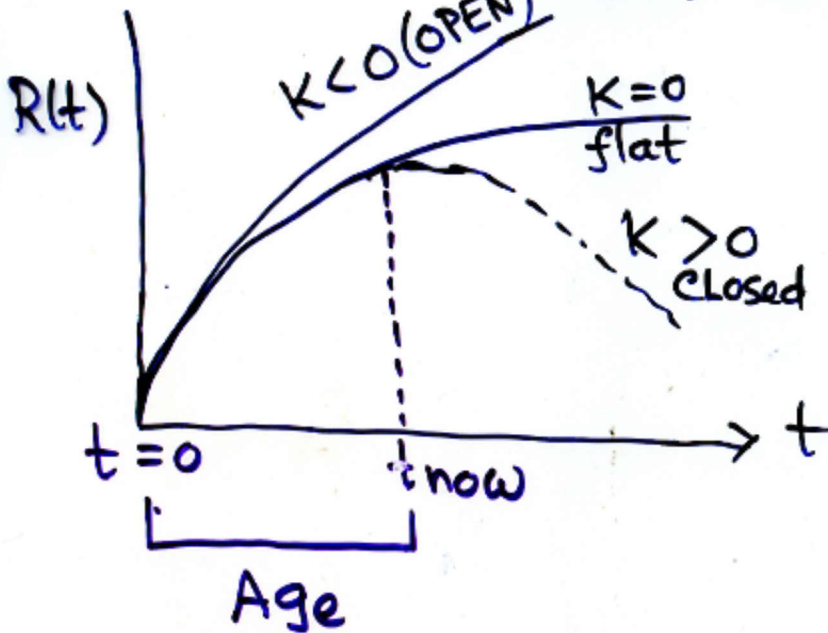
$$H(t) \equiv \dot{R}/R$$

$$\Rightarrow d(t) = v(t)/H(t)$$

Big Bang : Universe Now Expanding.

$\Rightarrow$  Going Backwards in time, separations among the objects in the Universe  $\rightarrow 0$

$$d(t) = R(t) d(t_0)$$



If  $v$  const

$$t_{now} = d_{now}/v = \frac{1}{H} \approx 15 \text{ billion yrs.}$$

Allowing for varying  $H(t)$

$$t_{Age} \approx (13.7) \text{ billion yrs}$$

WMAP



~~2.3~~ Critical density

$$\rho_c \equiv \frac{3H^2}{8\pi G} \approx 8 \times 10^{-30} \text{ gm/cm}^3$$

(For  $H = 17 \text{ km/sec} / 1 \text{ million Lyr}$ )

$$\rho_{\text{visible}} \approx \frac{1}{20} \rho_{\text{critical}}$$

But see strong evidences for dark matter

$$\rho_{\text{Tot}} = \rho_{\text{vis}} + \rho_{\text{dark Matter}} + \rho_{\text{Dark Energy}}$$

$$\Omega_{\text{Tot}} = \frac{\rho_{\text{Tot}}}{\rho_c} \approx 1$$

x x x x x  
4% x x x 23%

$$\rho_{\text{Dark Energy}} \approx 73\%$$

1998 Supernovae  
WMAP

Thermal History

From Einstein's Eqn:

$$t_{\text{sec}} \approx \frac{1}{(KT)_{\text{MeV}}^2}$$

Typical times vs Temp

$$t \rightarrow 0, KT \rightarrow \infty$$

$$T \approx 10^{32} \text{ K} \Rightarrow KT \sim 10^{19} \text{ GeV} \Rightarrow t_{\text{sec}} \approx 10^{-44} \text{ sec}$$

PLANCK TIME

$$T \approx 10^{29} \text{ K} \Rightarrow KT \sim 10^{16} \text{ GeV} \Rightarrow t \approx 10^{-38} \text{ sec}$$

GRAND UNIF ERA

$$KT \sim 300 \text{ MeV} \Rightarrow t \approx 10^{-5} \text{ sec}$$

$$KT \sim 1/10 \text{ MeV} \Rightarrow t \approx 100 \text{ sec}$$

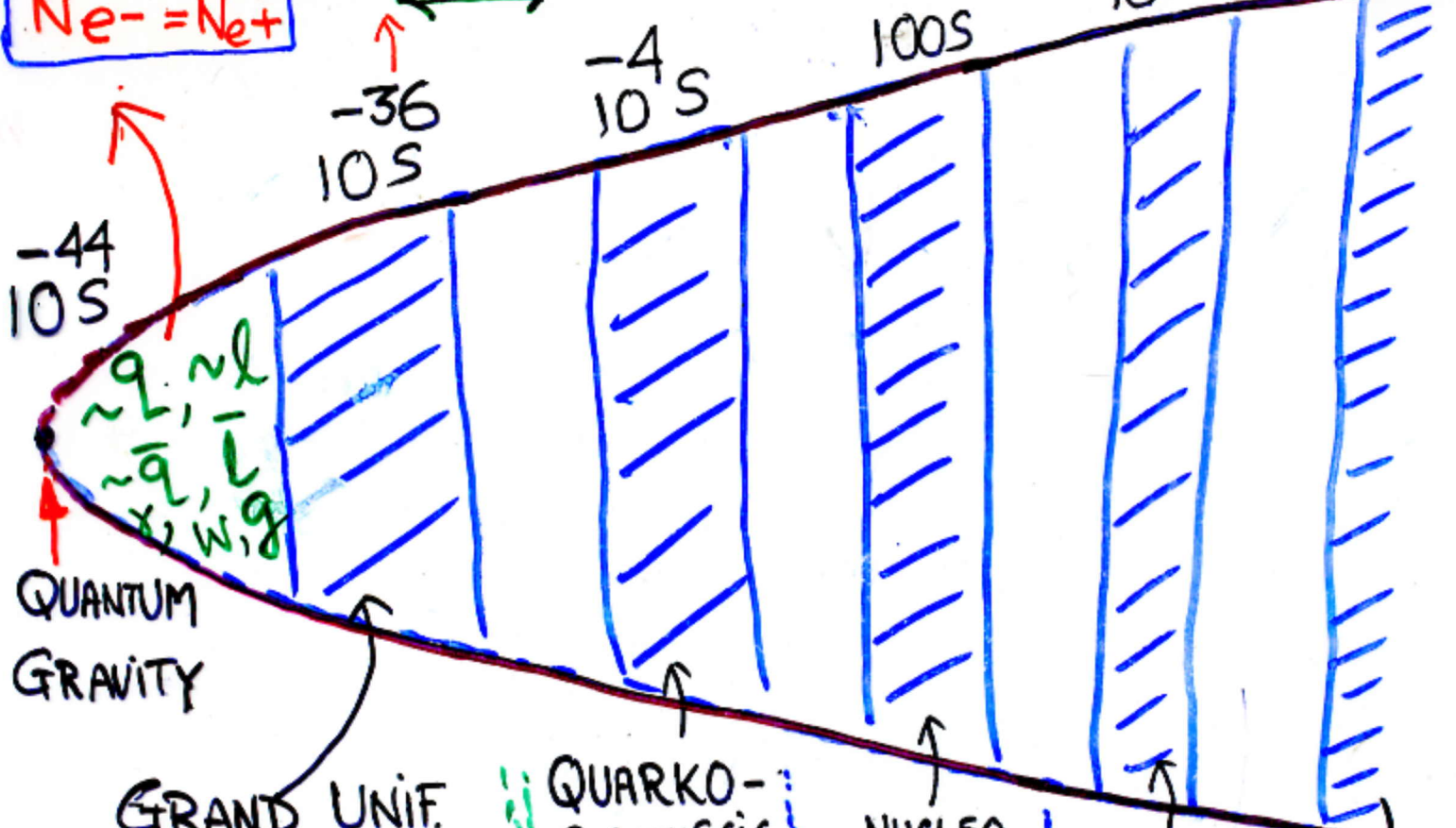
$$1 \text{ MeV} = (K) (10^{10} \text{ Kelvin})$$

# AN INCREDIBLE STORY!

$N_q = N_{\bar{q}}$   
 $N_{e^-} = N_{e^+}$

$N_q > N_{\bar{q}}$

Accelerated Expansion ↗



-44  
10<sup>5</sup>

$\sim q, \bar{q}, l, \bar{l}, \nu, \bar{\nu}, \chi, W, Z, g$

QUANTUM GRAVITY

-36  
10<sup>5</sup>

-4  
10<sup>5</sup>

100s

5  
10<sup>9</sup> YRS

9  
10<sup>9</sup> YRS

GRAND UNIF. ERA  
↓  
INFLATION/  
MATTER-ANTI  
MATTER ASYM/  
SMALL INHOMOGENEITY.

QUARKO-SYNTHESIS  
 $3q \rightarrow p, n$   
 $q\bar{q} \rightarrow$   
RADIATION

NUCLEO-SYNTHESIS  
 $p+n \rightarrow d$   
"2p+2n"  
→ Helium

ATOMS FORM  
 $e^- + p$   
→ H

GALAXIES FORM  
↓  
STARS + PLANETS  
↓  
US

- ①  $\delta\rho/\rho \sim 10^{-5}$
- ②  $\frac{N_q - N_{\bar{q}}}{N_q + N_{\bar{q}}} \approx 10^{-10}$

NOW 10  
 $1.3 \times 10^{10}$   
YRS



ON THE EXPERIMENTAL FRONT

EXPECT GREAT INSIGHTS FROM

1) LARGE HADRON COLLIDER (LHC)

- GENEVA (2007)



2) Facilities in the SKY

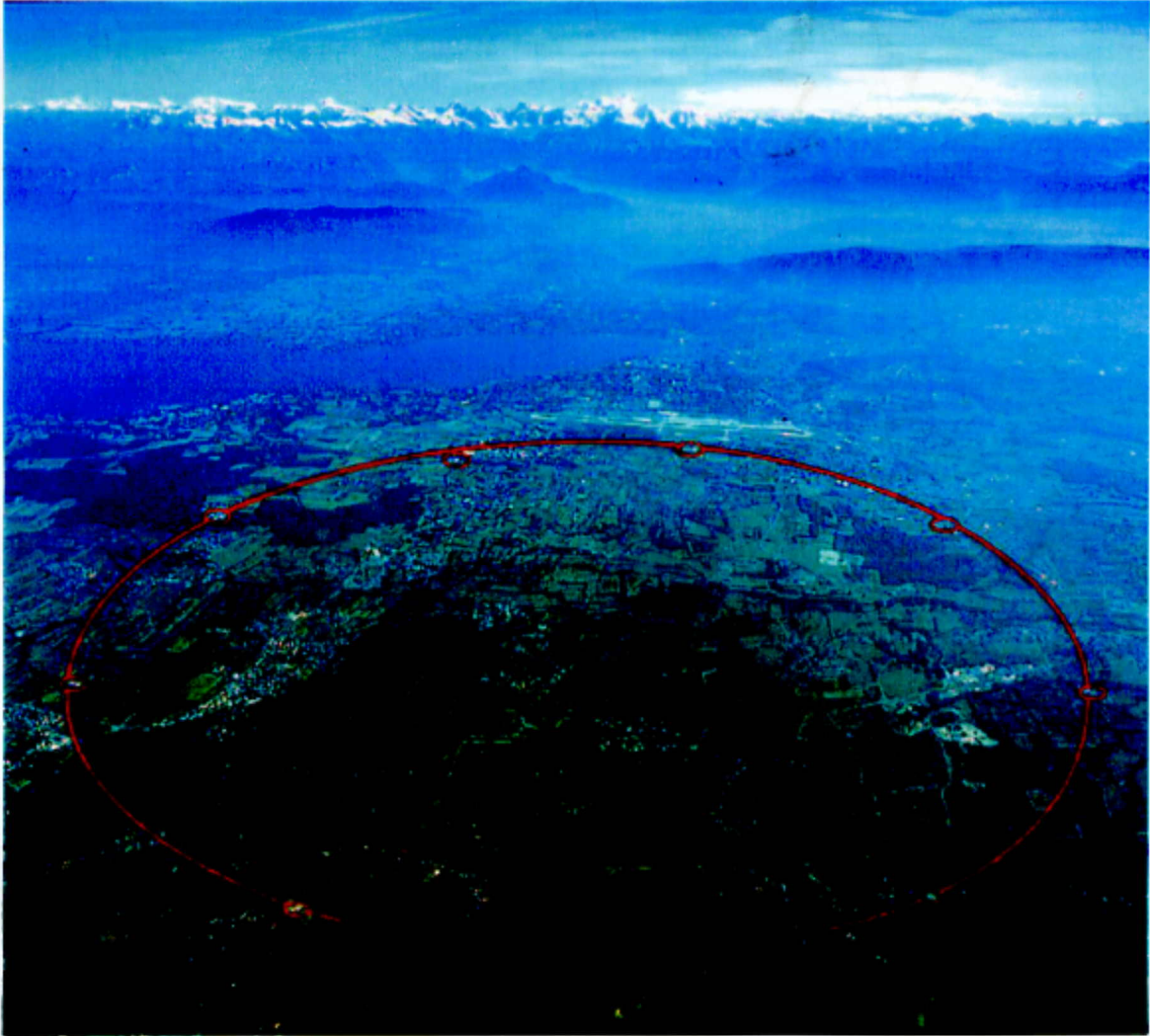
Hubble / COBE / WMAP / PLANCK / GROUND BASED TELESCOPE

PRECISION STUDIES OF COSMIC MICROWAVE BACKGROUND RADIATION (CMBR) // GALAXIES, STARS //  $\rightarrow$  EARLY UNIVERSE

3) Major Underground Detector

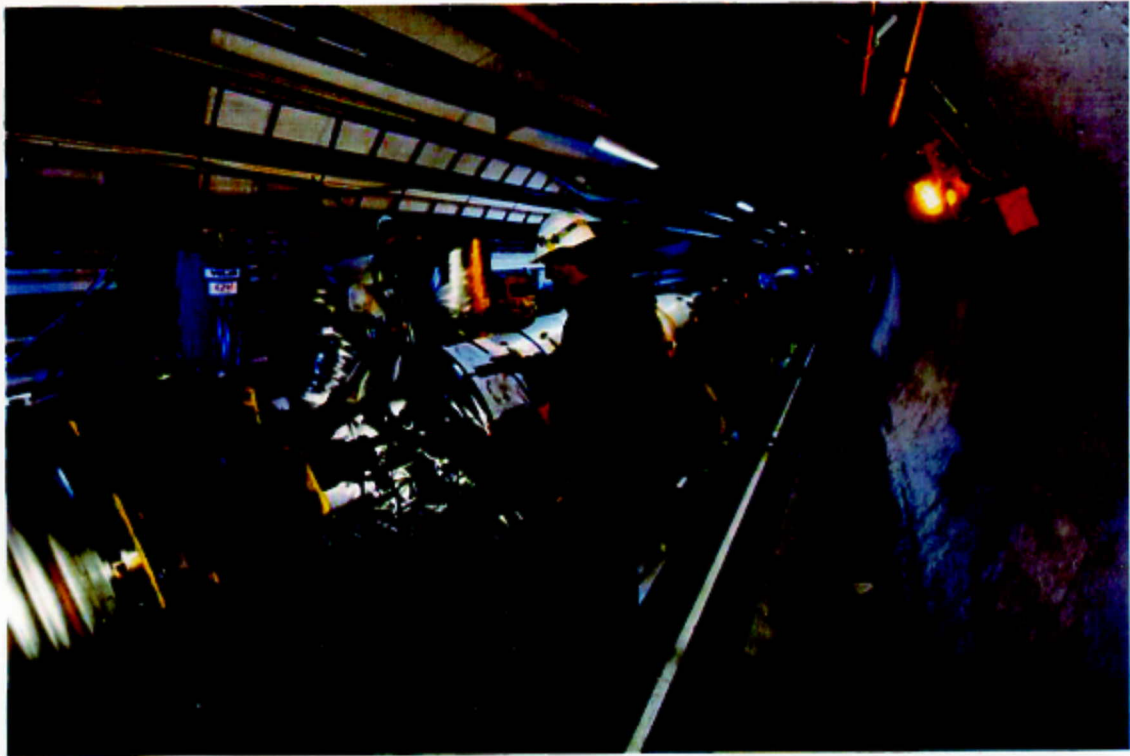
- In Planning (MEGATON OF WATER)

Study { Proton decay ( $p \rightarrow e^+ \pi^0$   
 $p \rightarrow \bar{\nu} k^+$ )  
NEUTRINO OSCILLATIONS  
SUPERNOVA  
& MORE

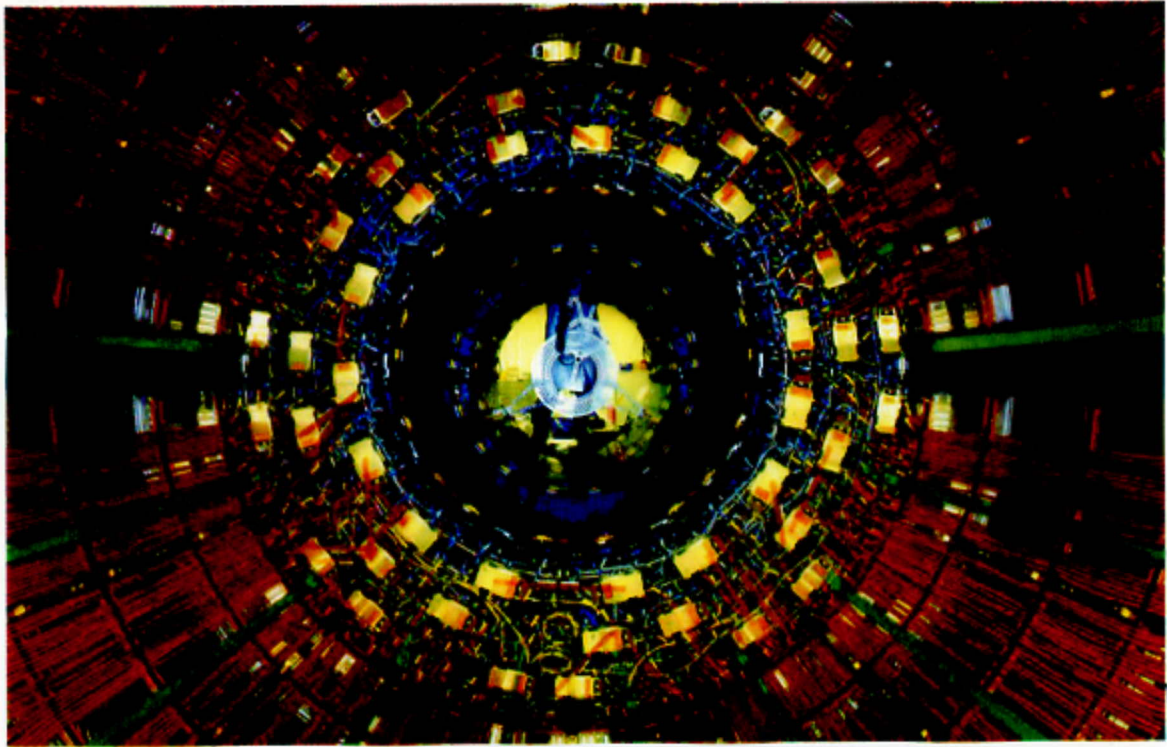


**LHC RING OVER SWITZERLAND AND FRANCE ( 27 k.m. Ring)**



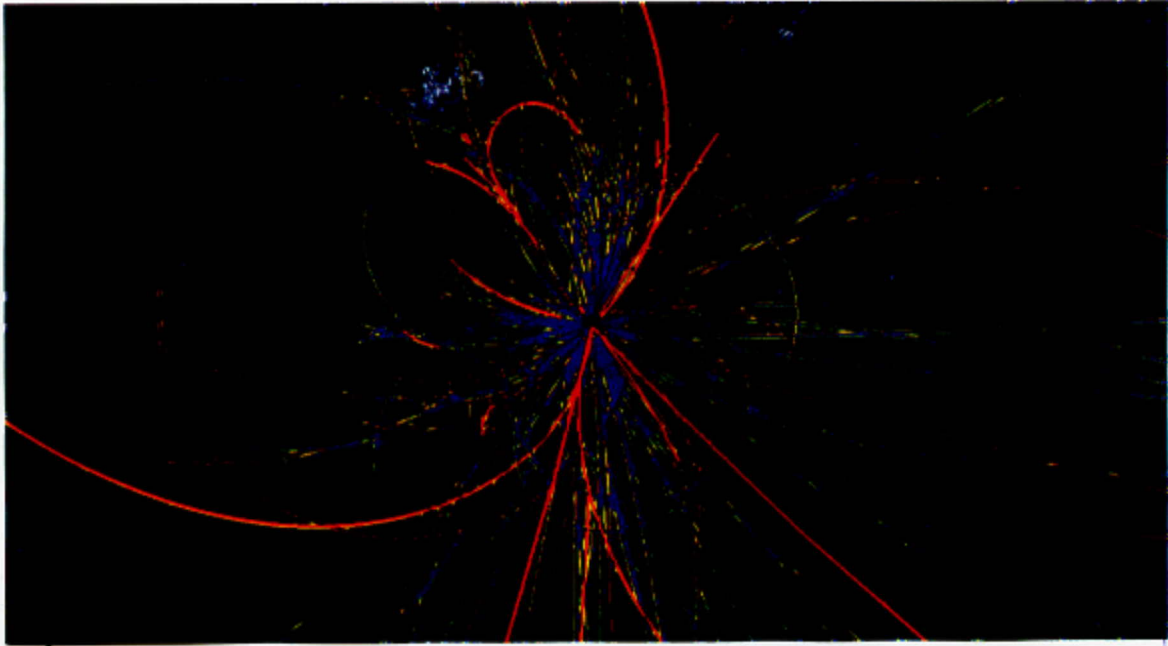


**LHC - SECTION**

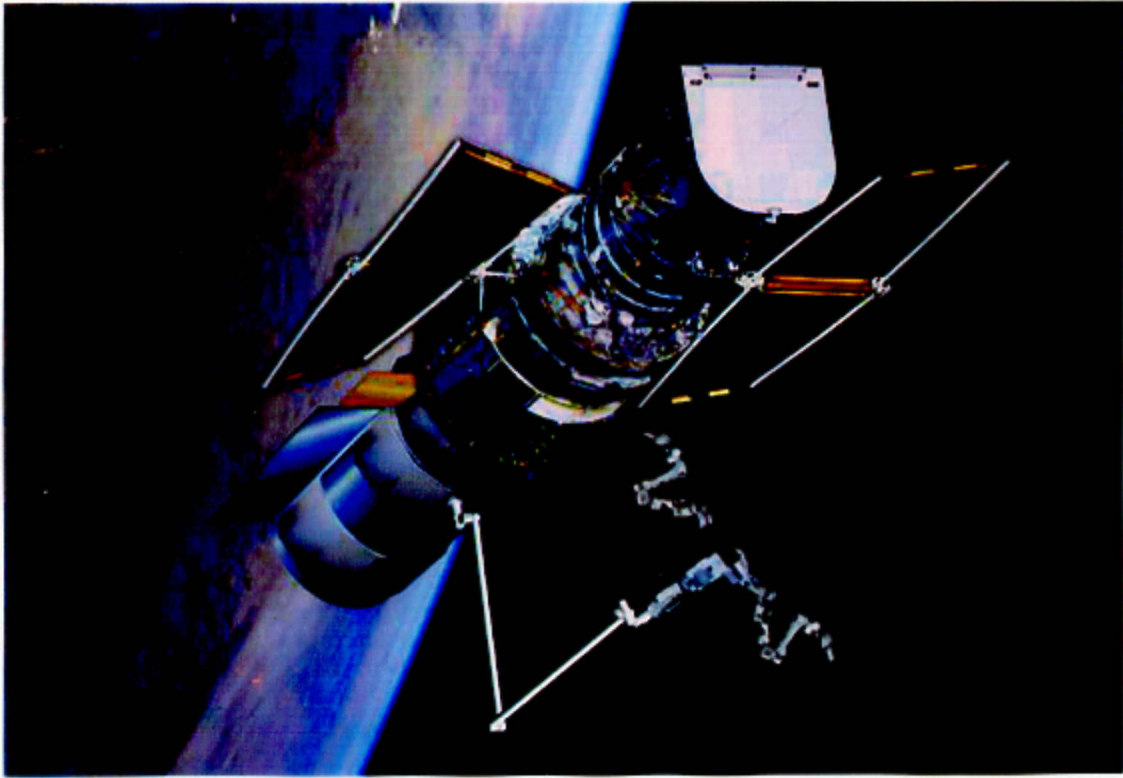


**LHC - DETECTOR**



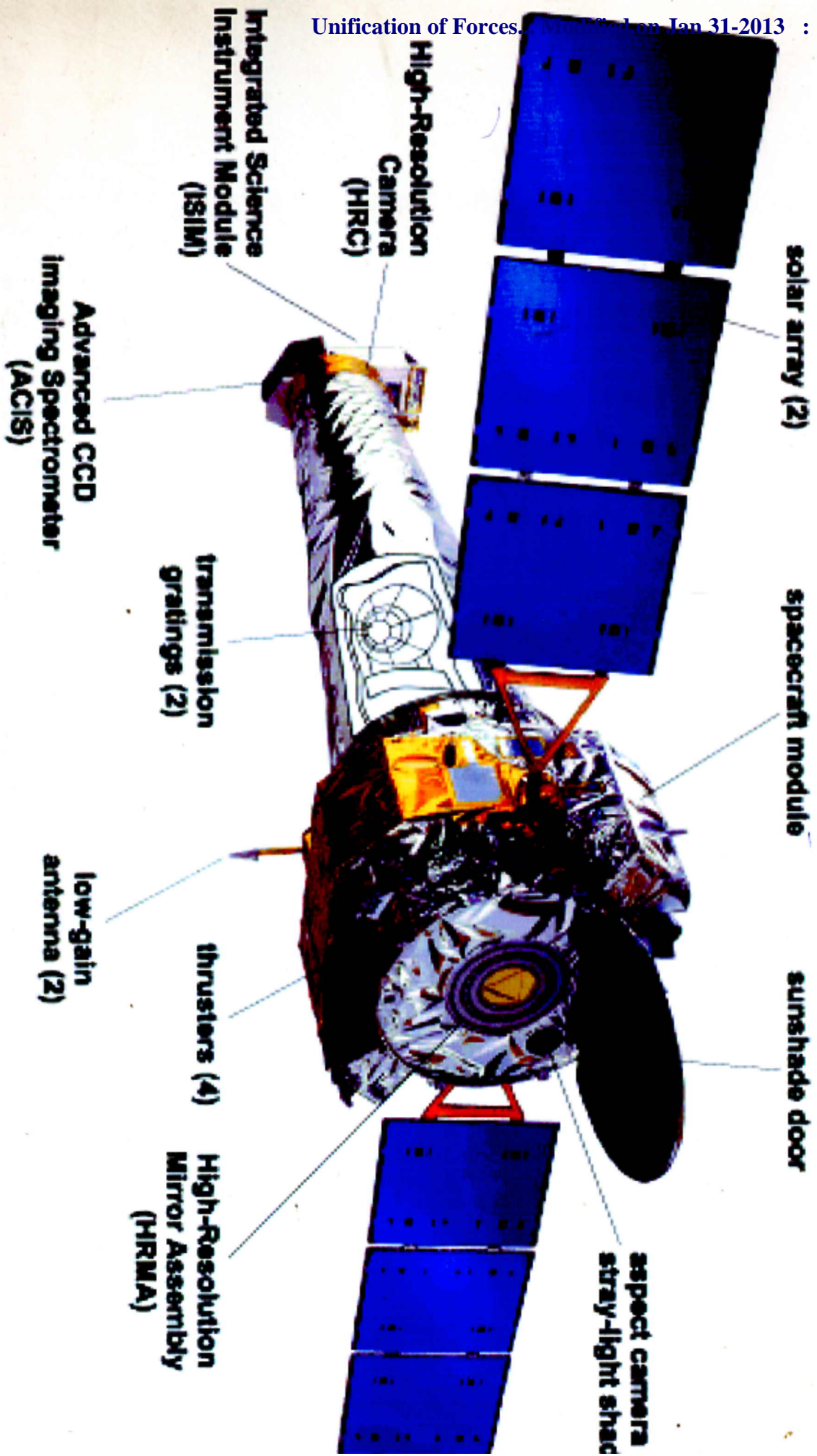


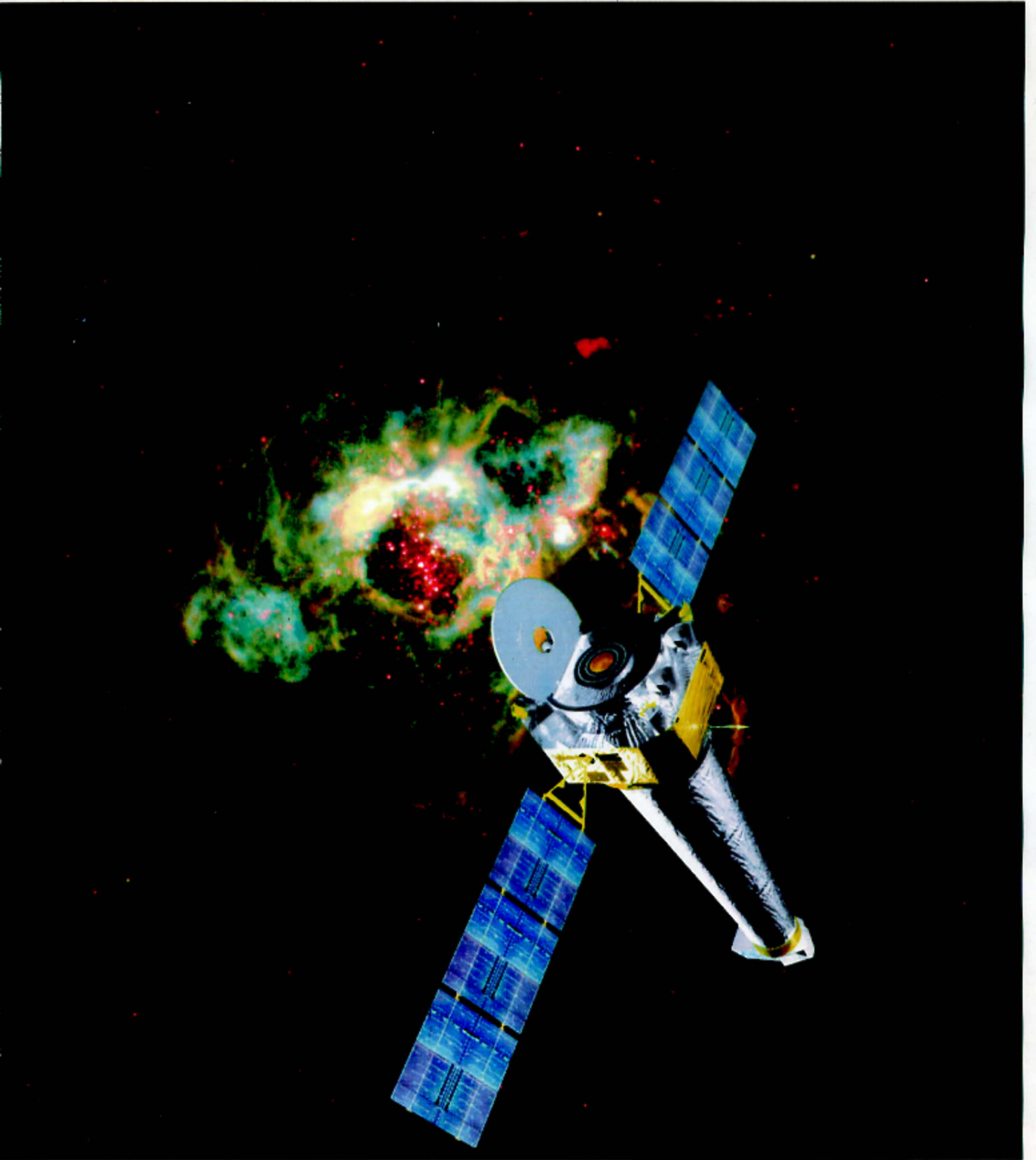
**LHC Interactions**



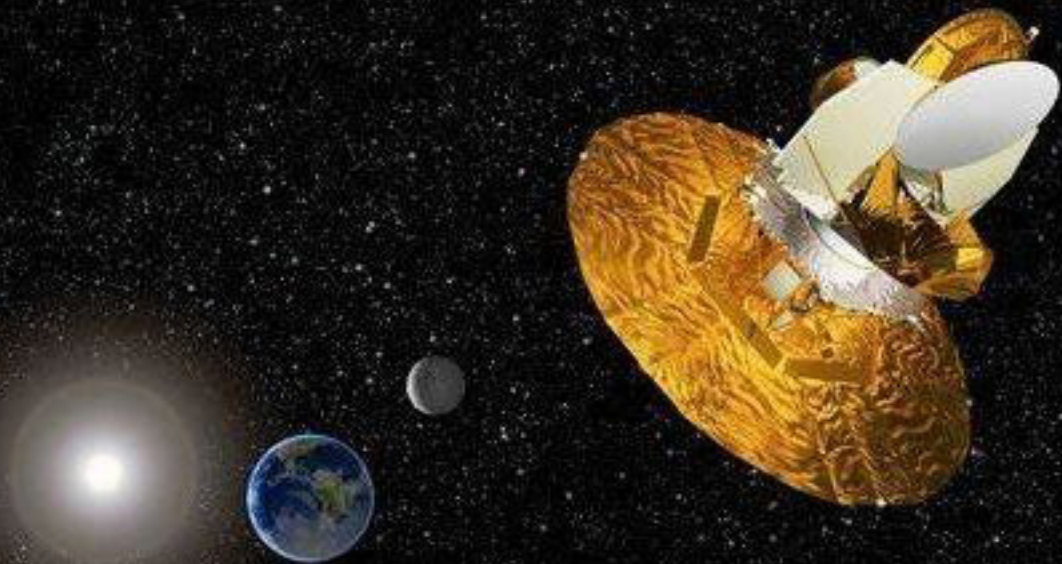
**HUBBLE SPACE TELESCOPE**

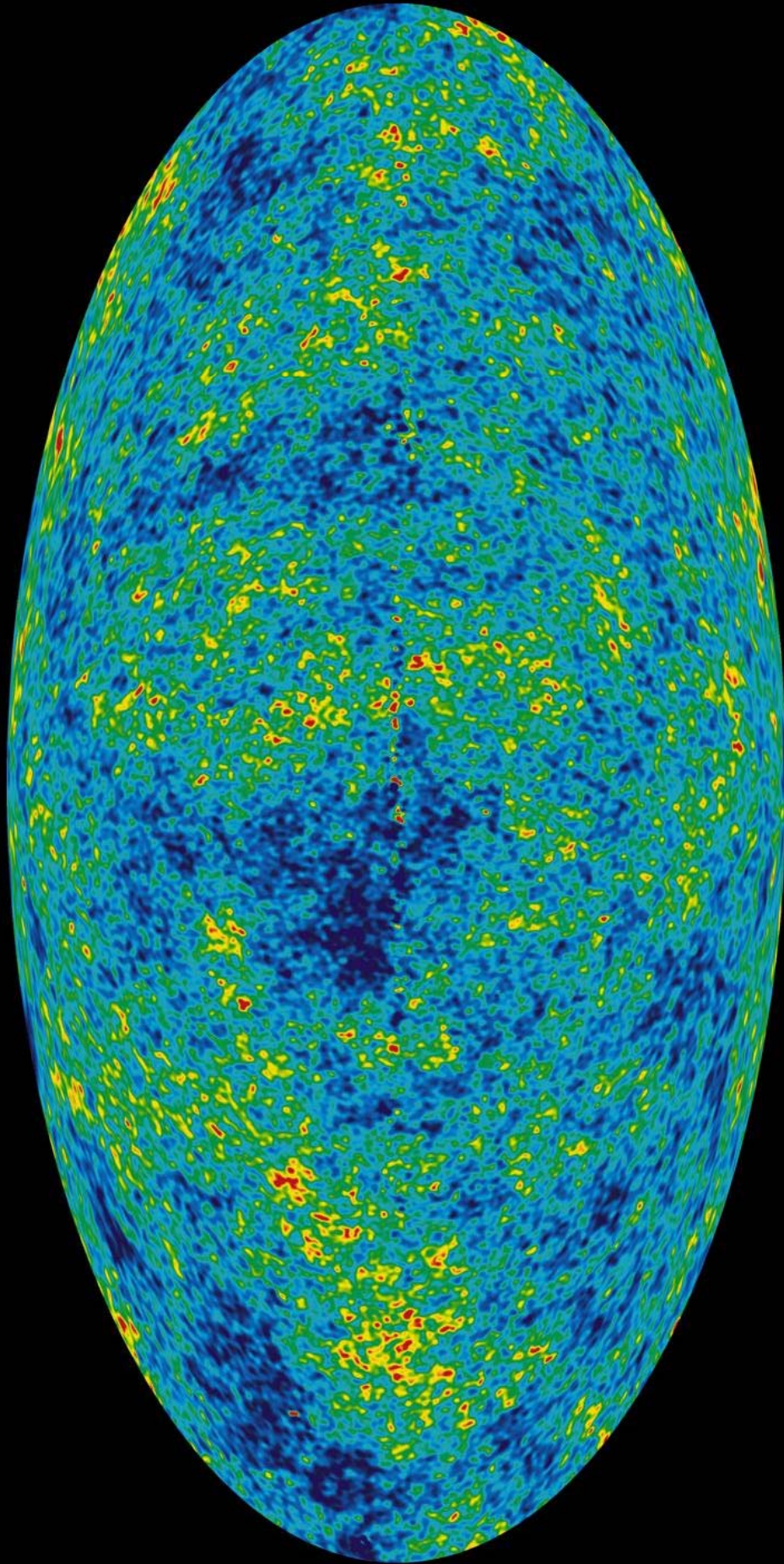




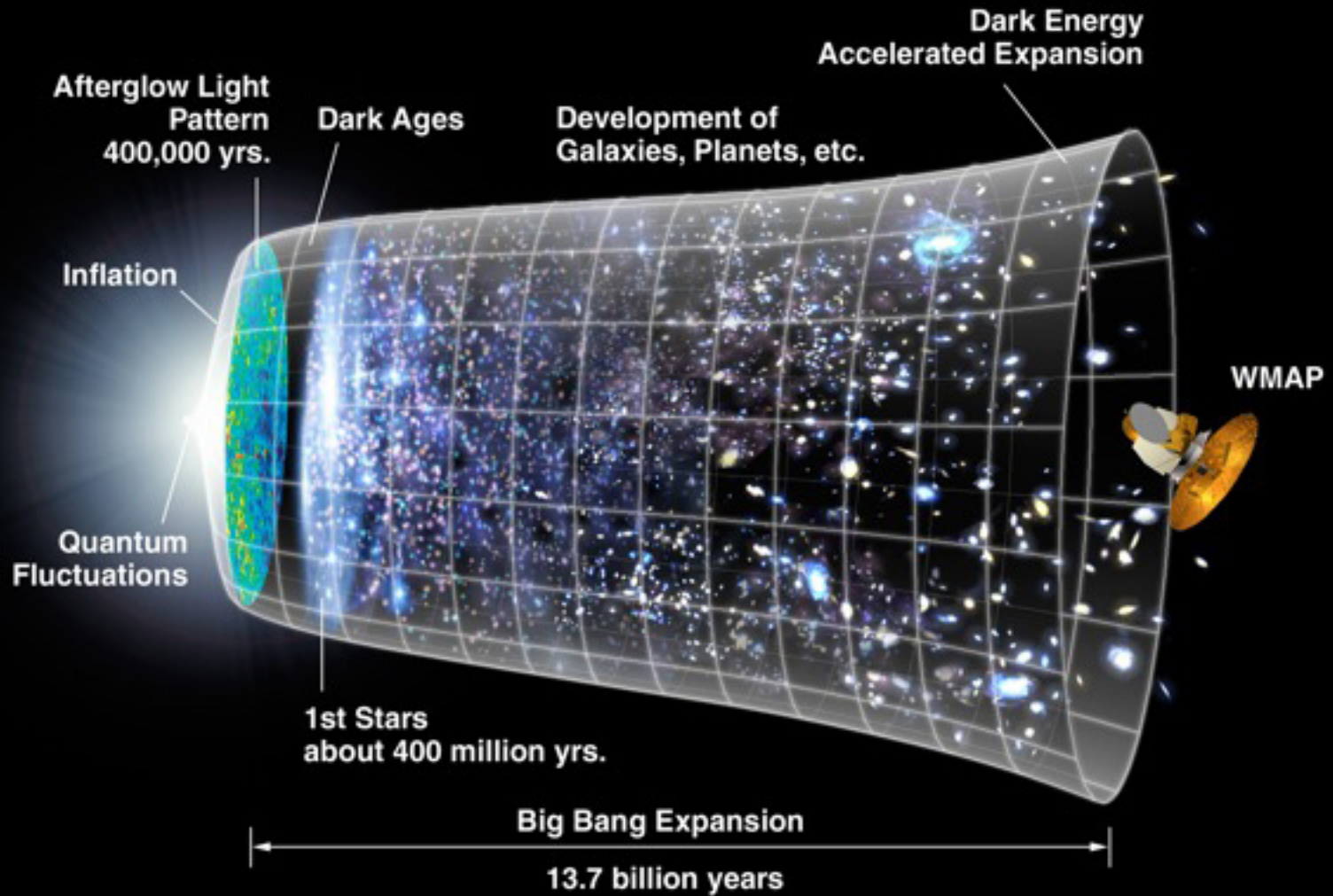












# VERY SMALL

$10^{-16}$  cm to  $10^{-30}$  cm

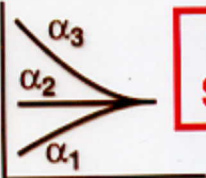
EW UNIF  
⊕  
QCD

Grand Unification

Standard Model  
~100 GeV

① Spectrum of  $(q, \ell)$

② Quantize  $Q_{em}$

③  With SUSY

④ "m( $\nu_3$ )" ~ 1/20 eV

$\frac{n_{\bar{q}} - n_{\bar{q}}}{n_q + n_{\bar{q}}} \approx$

⑤  $(nb/s)_{Theor} \sim 10^{-10} - 10^{-11}$

⑥ SUSY LSP or Axion as CDM

# VERY LARGE

$10^{28}$  cm

Stand. Big Bang Model

⊕  
Inflation

$T \sim 10^{16} - 10^{14}$  GeV;  $t \sim 10^{-38} - 10^{-34}$  sec  
 $T_{rh} \sim 10^9 - 10^6$  GeV

① Gross Homog/Isotropy

② Flatness:  $\Omega_{Tot}^{obs} = \Omega_M + \Omega_\Lambda = 1.01 \pm 0.01$

③  $\delta\rho/\rho \sim \delta T/T \sim 10^{-5}$

④  $(nb/s)^{obs} \approx 10^{-10}$

⑤ Dark Matter:  $\Omega_{CDM} \approx 0.23$ ;  
 $\Omega_B \approx 0.04$

$10^{-32}$  cm

String/M Theory

dim. d = 10/11

Scope for a Truly Unified Theory with Good Quantum Gravity

Still Evolving

⑥ • Galaxy Formation  
• Stars Born / Shine / Die

⑦ Evolution of Life!

⑧ Dark Energy  $\Omega_\Lambda^{obs} = 0.73$

**A Total Mystery**



# CONCLUSION

EINSTEIN'S DREAM OF UNIFYING GRAVITY WITH EM FORCE, & FROM THE PRESENT VIEWPOINT UNIFYING GRAVITY WITH EM, WEAK & STRONG FORCES STILL REMAINS TO BE FULFILLED → **THOUGH GREAT PROGRESS HAS OCCURRED IN <sup>(LAST)</sup> 4 DECADES**

→ **STRONG EVIDENCE FOR GRAND UNIFICATION (WEAK, EM & STRONG FORCES) → CRUCIAL FURTHER TEST (PROTON DECAY)**

**NEED MEGATON DETECTOR**

→ **STRING/M THEORY SHOWS PROMISE TO UNIFY ALL THE FORCES // REMAINS A CHALLENGE**

→ **WHATEVER THE EVENTUAL FORM OF THE "ULTIMATE" THEORY, ONE EXPECTS TO SEE UNITY BEHIND FAR GREATER DIVERSITY**

→ **A SYNTHESIS FAR GREATER THAN ONE CAN CONCEIVE OF AT PRESENT**

→ **THAT IS THE CHALLENGE !**